



## LIST OF SCANNED DOCUMENTATION

### Hamlet Evaluation System 1970 (HES70), 1969-1971

#### Records of the Office of the Secretary of Defense Record Group 330

**NN3-330-75-141**

#### **Scanned documentation**

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| c. User's Guide to the Hamlet Evaluation System 1970 (HES/70)  |          |
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Total: 176 pgs.

#### **Available upon request**

NIPSTRAN generated layouts for ASCII rendered files  
NIPSTRAN ASCII rendered file reports  
NIPSTRAN program users guide  
NARA-prepared documentation for HES70 de-NIPS'd files

Electronic Records Division, Reference Branch (RRER)  
September 30, 2022

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**SUPPLEMENTAL USER NOTE 3:  
Known missing data for January 1970 - June 1971**

Hamlet Evaluation System 1970 (HES70), 1969-1971  
Records of the Office of the Secretary of Defense  
Record Group 330  
NN3-330-75-141

The agency transferred the HES70 data to NARA in 1976. Our administrative documentation indicates one of the HES70 data sets, covering the period of January 1970 through June 1971, should have consisted of five 9-track magnetic tape reels. However, NARA only received reels 1, 3, 4, and 5 of the five reels.

Because of this, while NARA staff were able to retrieve data from the tapes received, it is likely the resulting file, HES70.7071NIPS (XMIS 015430) and any converted versions, does not contain all records for January 1970 through June 1971.

Electronic Records Division, Reference Branch (RRER)  
Prepared: September 30, 2022



## USER NOTE

**Hamlet Evaluation System Files (HAMLA), 1967-1969;  
Hamlet Evaluation System 1970 (HES70), 1969-1971;  
Hamlet Evaluation System 1971 (HES71), 1971-1974;  
Hamlet Derived File (HAMDA), 1967-1969;  
All Derived Hamlet Evaluation System (ADHES), 1969-1974;  
and Vietnam Special Studies Group (VSSG), 1971-1974**

### **Records of the Office of the Secretary of Defense Record Group 330**

**NN3-330-75-141**

#### **I. Introduction**

The Assistant Secretary of Defense (Program Analysis and Evaluation) (ASDPA&E) created the Hamlet Evaluation System (HES), on instructions from the Secretary of Defense, to measure the degree of security the American and South Vietnam governments maintained for the South Vietnamese people. It is a noncumulative database containing reports on the security of South Vietnamese villages and hamlets from North Vietnamese and Viet Cong harassment and encroachment. The evaluation of the security came from military, economic, and political data about each village or hamlet. The files contain monthly data for each hamlet and village in South Vietnam. The data consist of population and location information, and responses to questions about the security, political, and socio-economic status of each hamlet and village. The files cover the time period of January 1967 to January 1974.

The Hamlet Evaluation System files are divided between primary and derived data files. The primary data files are: HAMLA for the period of January 1967 to December 1969; HES70 for the period of July 1969 to December 1970 and January 1971 to May 1971; and HES71 for the period of June 1971 to January 1974. The derived files are: HAMDA (January 1967 - December 1969), derived from HAMLA; ADHES (July 1969 - January 1974), derived from HES71; and VSSG (July 1969 - January 1974), derived from HES71.

In June 1976, the ASDPA&E transferred 12 HES files to NARA in the National Military Command System Information Processing System (NIPS). The transfer included agency documentation that provides the record formats and structures for the NIPS files. The details of the NIPS files are as follows:

File Title	XMIS	File Name	Records	Bytes
1967 HAMLA	015418	HES.HAMLA.67.NIPS	154,868	28,274,662
1968 HAMLA	015419	HES.HAMLA.68.NIPS	159,359	28,903,138
1969 HAMLA	015420	HES.HAMLA.69.NIPS	83,284	14,877,718
1969 HES70	015421	HES70.690712NIPS	197,565	20,022,428
1970-71 HES70	015430	HES70.7071NIPS	502,738	52,671,052
1971 HES71	015422	HES71.710612NIPS	243,210	24,681,912

File Title	XMIS	File Name	Records	Bytes
1971-72 HES71	015423	HES.HES71.72.NIPS	200,912	20,801,412
1973 HES71	015424	HES.HES71.73.NIPS	383,993	39,963,152
1974 HES71	015425	HES71.7401.NIPS	63,030	5,253,404
1967-69 HAMDA	015427	HAMDA.67.69.NIPS	59,487	7,273,176
1969-74 ADHES	015428	ADHES.6974.NIPS	291,834	29,127,916
1971-74 VSSG	015429	VSSG.7174.NIPS	407,996	34,421,604

See the "National Military Command System Information Processing System (NIPS)" insert for more information on the NIPS format.

## **II. File Descriptions**

### **Hamlet Evaluation System Files (HAMLA), 1967-1969**

Data are reported monthly by each hamlet and village in South Vietnam. The data consist of then-current and historical data reflecting population and location information, and responses to questions about the security, political, and socio-economic status of the hamlet or village. The records are arranged geographically by hamlet and village, then chronologically. There is one record for each month for a hamlet or village. The data are organized by a Control Set, Fixed Set, and Periodic Set 1.

### **Hamlet Evaluation System 1970 (HES70), 1969-1970**

### **Hamlet Evaluation System 1971 (HES71), 1971-1974**

The HES70/71 files are the continuation of HAMLA. Data are reported monthly by each hamlet and village in South Vietnam with quarterly evaluations reiterated in intervening months. The records are arranged geographically by hamlet and village, then chronologically. There is one record for each month for a hamlet or village. The data are organized by a Control Set, Fixed Set, and Periodic Set 1, Periodic Set 2, Periodic Set 3, and Periodic Set 4. Periodic Set 1 contains hamlet level questions. Periodic Set 2 contains village level questions. Periodic Set 3 contains hamlet and village only model scores. Periodic Set 4 contains village model scores.

### **Hamlet Derived File (HAMDA), 1967-1969**

HAMDA consists of data derived from the HAMLA file, including derived Revolutionary Development status data and population control data at the village, district, province, military region and countrywide levels. It aggregates the number of hamlets and the population of hamlets according to province, corps region, countrywide area, and Viet Cong military region. Data are arranged geographically, then chronologically. There is one record for each month for a reporting hamlet or village. The data are organized by a Control Set, Fixed Set, Periodic Set 1, and Periodic Set 2.

### **All Derived Hamlet Evaluation System (ADHES), 1969-1974**

ADHES (also known as ADHES70) contains data derived and summarized from HES70 and HES71 files to reduce the time required to produce many commonly required summary type reports. Data was derived through summations of elected geographic areas. ADHES groups all the hamlets in Vietnam into ten categories, selected by population, and provides manipulated totals based on each of the 39 questionnaire responses for all months covered by HES 71. It reports on hamlet and village only models and village models in each of seven control factors (A through E, N and V) graded on the degree of population security. Data are arranged geographically, then chronologically. There is one record for each month for a

reporting hamlet or village. The data are organized by a Control Set, Fixed Set, Periodic Set 1, and Periodic Set 2.

**Vietnam Special Studies Group (VSSG), 1971-1974**

VSSG was derived from HES71. The Vietnam Special Studies Group selected 10 responses to the questionnaire, summarized three months of data from all the records of HES71, and placed each hamlet into one of ten categories which described its degree of security. The data are arranged by date then by hamlet or village. There is one record for each reporting hamlet or village for each month. The data are organized by a Control Set and a Fixed Set.

**III. File Translation Process**

Background. Since the NIPS application was not widely available, and IBM’s support for NIPS was declining, NARA made a decision in the late 1970’s that NIPS files should be maintained in a software independent format. Using the accompanying paper documentation and the File Format Table (FFT) at the beginning of each NIPS data file, an output record layout was created using the NIPS Output Processor (OP). NARA staff "de-NIP'd" or reformatted the NIPS files using the NIPS application, creating a second set of data files with a new format. This "de-NIPS-ing" process deleted all of the content information at the beginning of each NIPS file and copied only the actual data records in a fixed length format in then-standard EBCDIC encoding. NIPS records have a fixed set, control set, and periodic set of fields. In the de-NIPS'd version, the control set or the fixed set of fields may appear in the first instance of the record, but may not appear in the subsequent instances with multiple periodic set fields for that record, which immediately follow the first instance. The fixed-length records are preserved in a specific sequential order and need to be “read” by the computer in that order. The de-NIPS'd files may also contain data in a zoned decimal format.

After transfer of the Hamlet Evaluation System (HES) NIPS files in June 1976, NARA subsequently converted the NIPS files to the fixed length de-NIPS'd format. The following table provides the details of the de-NIPS'd files:

File Title	XMIS	File Name	Records	Bytes
1967 HAMPLA	005166	RG330.HES.HAMPLA67	150,440	29,335,800
1968 HAMPLA	005167	RG330.HES.HAMPLA68	156,279	30,474,405
1969 HAMPLA	005168	RG330.HES.HAMPLA69	16,176	3,154,320
1969 HES70	005169	RG330.HES70.Y69	85,357	31,752,804
1970-71 HES70	005170	HES.HES70.7071	61,629	22,925,988
1971 HES71	005171	RG330.HES71.Y71	103,708	38,579,376
1971-72 HES71	005172	RG330.HES71.Y72	87,907	32,701,404
1973 HES71	005173	RG330.HES71.Y73	194,020	72,175,440
1974 HES71	005174	RG330.HES71.Y74	19,883	7,396,476
1967-69 HAMDA	005175	RG330.HES.HAMDA	46,190	7,528,970
1969-74 ADHES	005176	RG330.HES.ADHES70	144,486	36,554,958
1971-74 VSSG	005177	RG330.HES.VSSG	407,919	24,067,221

Vietnam NIPS ASCII Rendered Files Project. In 2007 a new software program, NIPSTRAN Version 3.4.1.0 was implemented to translate NIPS files into more usable ASCII Rendered Table Data Files. The NIPSTRAN program developed by NARA's Electronic Records and Special Media Division provides a tool to both analyze and extract into relations tables the data content of NIPS System backup or dump

tapes. The NIPS file must be unaltered from the original which includes the record lengths as the first data in each record.

NIPSTRAN generates one Report File and one Layout File. The Report File details metadata found in the NIPS file and generates a listing of the data field in each table. The Report also lists the size and number of records of each table in the NIPS file. The Layout File of the NIPS file is generated as an HTML file that contains the labels and full names of each data field in the file as well as information about that label from both the metadata of the NIPS file. The first column identifies the starting position for each record. The next column identifies from where the information is being drawn (NIPS Metadata). Then the next column is the length of the stored value. Since this is always ASCII, the stored length is always the external or display length. This is followed by the data type, and then who defined the label if the information was extracted from the NIPS file.

The ASCII rendered data files created by NIPSTRAN are fixed fielded records of constant length. Each table representing each "Set," both the Fixed Set and the Periodic Sets, are output as separate files. These files were verified using the Archival Electronic Records Inspection and Control (AERIC). NIPSTRAN also generates a delimited file (comma or tab) for each table in the NIPS file. The first row of each delimited file includes the field labels. If a label is preceded with a special symbol, I.E. a plus sign, then the delimited output of the first row has a single blank or space character preceding that label. This allows programs like Microsoft Excel to treat the label as textual information and not a mathematical operation.

See NIPSTRAN Program Users Guide, Version 3.4.1.0, for more information.

ASCII Conversion Program. The HES NIPS files were converted by NIPSTRAN into 86 ASCII Rendered Table Data Files, one for each set or table in the NIPS file. The data files include 43 files in fixed length format and 43 files in tab delimited format. NIPSTRAN also generated 12 layout files in HTML format.

NIPS file for Hamlet Evaluation System 1971 (HES71), June 1971-1972

During the conversion process, staff found that HES.HES71.72.NIPS contains multiple embedded File Format Tables (FFTs). Staff identified three distinct FFTs and on further inspection of the file determined that only records described by the first two FFTs appear to contain HES71 data. See the "Note on 1971-72 Hamlet Evaluation System 1971 (HES71) NIPS Data File" for details.

File Identification. The table below identifies the ASCII rendered data files and layout files as generated by NIPSTRAN, which were preserved. The tab delimited files include header rows with column names; the count of data records, or logical data records (LDRs), does not include these header rows. (E.g. file HAMPLA.68ARTBFS contains 156,280 rows but only 156,279 data records.)

NIPS Files			ASCII Rendered Files				
XMIS	File Name	Records	XMIS	File Name	Records	Bytes	Type
015418	HES.HAMPLA.67.NIPS	154,868	092261	HAMPLA.67ARFXFS	151,814	25,504,752	Fixed
			092262	HAMPLA.67ARFXPS1	2,989	212,219	Fixed
			092264	HAMPLA.67ARTBFS	151,814	32,336,753	Tab Delimited
			092265	HAMPLA.67ARTBPS1	2,989	251,190	Tab Delimited
			092263	HAMPLA.67ARLAY	1	46,978	HTML
015419	HES.HAMPLA.68.NIPS	159,359	092266	HAMPLA.68ARFXFS	156,279	26,254,872	Fixed
			092267	HAMPLA.68ARFXPS1	3,015	214,065	Fixed
			092269	HAMPLA.68ARTBFS	156,279	33,287,798	Tab Delimited
			092270	HAMPLA.68ARTBPS1	3,015	253,374	Tab Delimited

NIPS Files			ASCII Rendered Files				
XMIS	File Name	Records	XMIS	File Name	Records	Bytes	Type
			092268	HAMLA.68ARLAY	1	47,010	HTML
			092271	HAMLA.69ARFXFS	81,359	13,668,312	Fixed
015420	HES.HAMLA.69.NIPS	83,284	092272	HAMLA.69ARFXPS1	1,860	132,060	Fixed
			092274	HAMLA.69ARTBFS	81,359	17,329,838	Tab Delimited
			092275	HAMLA.69ARTBPS1	1,860	156,354	Tab Delimited
			092273	HAMLA.69ARLAY	1	47,010	HTML
015421	HES70.690712NIPS	197,565	092276	HES70.69ARFXFS	14,815	340,722	Fixed
			092277	HES70.69ARFXPS1	70,495	9,728,310	Fixed
			092278	HES70.69ARFXPS2	13,823	2,197,857	Fixed
			092279	HES70.69ARFXPS3	84,318	5,733,624	Fixed
			092280	HES70.69ARFXPS4	13,823	939,964	Fixed
			092282	HES70.69ARTBFS	14,815	459,309	Tab Delimited
			092283	HES70.69ARTBPS1	70,495	12,477,937	Tab Delimited
			092284	HES70.69ARTBPS2	13,823	2,751,107	Tab Delimited
			092285	HES70.69ARTBPS3	84,318	9,781,282	Tab Delimited
			092286	HES70.69ARTBPS4	13,823	1,603,862	Tab Delimited
			092281	HES70.69ARLAY	1	141,205	HTML
015430	HES70.7071NIPS	502,738	092287	HES70.7071ARFXFS	14,077	323,771	Fixed
			092288	HES70.7071ARFXPS1	185,520	25,601,760	Fixed
			092289	HES70.7071ARFXPS2	39,123	6,220,557	Fixed
			092290	HES70.7071ARFXPS3	224,656	15,276,608	Fixed
			092291	HES70.7071ARFXPS4	39,113	2,659,684	Fixed
			092293	HES70.7071ARTBFS	14,077	436,462	Tab Delimited
			092294	HES70.7071ARTBPS1	185,520	32,837,362	Tab Delimited
			092295	HES70.7071ARTBPS2	39,123	7,785,807	Tab Delimited
			092296	HES70.7071ARTBPS3	224,656	26,060,490	Tab Delimited
			092297	HES70.7071ARTBPS4	39,113	4,537,502	Tab Delimited
			092292	HES70.7071ARLAY	1	141,203	HTML
015422	HES71.710612NIPS	243,210	092298	HES71.71ARFXFS	17,458	401,534	Fixed
			092299	HES71.71ARFXPS1	85,621	11,815,698	Fixed
			092300	HES71.71ARFXPS2	18,087	2,875,833	Fixed
			092301	HES71.71ARFXPS3	103,708	7,052,144	Fixed
			092302	HES71.71ARFXPS4	18,087	1,229,916	Fixed
			092304	HES71.71ARTBFS	17,458	541,273	Tab Delimited
			092305	HES71.71ARTBPS1	85,621	15,155,239	Tab Delimited
			092306	HES71.71ARTBPS2	18,087	3,599,643	Tab Delimited
			092307	HES71.71ARTBPS3	103,708	12,030,522	Tab Delimited
			092308	HES71.71ARTBPS4	18,087	2,098,486	Tab Delimited
			092303	HES71.71ARLAY	1	141,205	HTML
015423	HES.HES71.72.NIPS	200,912	092309	HES71.72ARFXFS	8,735	200,905	Fixed
			092310	HES71.72ARFXPS1	72,330	9,981,540	Fixed
			092311	HES71.72ARFXPS2	15,577	2,476,743	Fixed
			092312	HES71.72ARFXPS3	87,897	5,976,996	Fixed
			092313	HES71.72ARFXPS4	15,577	1,059,234	Fixed
			092315	HES71.72ARTBFS	8,735	270,860	Tab Delimited
			092316	HES71.72ARTBPS1	72,330	12,802,732	Tab Delimited

NIPS Files			ASCII Rendered Files				
XMIS	File Name	Records	XMIS	File Name	Records	Bytes	Type
			092317	HES71.72ARTBPS2	15,577	3,100,153	Tab Delimited
			092318	HES71.72ARTBPS3	87,897	10,196,446	Tab Delimited
			092319	HES71.72ARTBPS4	15,577	1,807,326	Tab Delimited
			092314	HES71.72ARLAY	1	141,215	HTML
015424	HES.HES71.73.NIPS	383,993	092320	HES71.73ARFXFS	15,156	348,588	Fixed
			092321	HES71.73ARFXPS1	144,816	19,984,608	Fixed
			092322	HES71.73ARFXPS2	26,320	4,184,880	Fixed
			092323	HES71.73ARFXPS3	171,132	11,636,976	Fixed
			092324	HES71.73ARFXPS4	26,320	1,789,760	Fixed
			092326	HES71.73ARTBFS	15,156	469,911	Tab Delimited
			092327	HES71.73ARTBPS1	144,816	25,632,754	Tab Delimited
			092328	HES71.73ARTBPS2	26,320	5,238,010	Tab Delimited
			092329	HES71.73ARTBPS3	171,132	19,851,706	Tab Delimited
			092330	HES71.73ARTBPS4	26,320	3,053,514	Tab Delimited
			092325	HES71.73ARLAY	1	141,207	HTML
015425	HES71.7401.NIPS	63,030	092331	HES71.74ARFXFS	19,883	457,309	Fixed
			092332	HES71.74ARFXPS1	16,751	2,311,638	Fixed
			092333	HES71.74ARFXPS2	3,132	497,988	Fixed
			092334	HES71.74ARFXPS3	19,883	1,352,044	Fixed
			092335	HES71.74ARFXPS4	3,132	212,976	Fixed
			092337	HES71.74ARTBFS	19,883	616,448	Tab Delimited
			092338	HES71.74ARTBPS1	16,751	2,965,249	Tab Delimited
			092339	HES71.74ARTBPS2	3,132	623,598	Tab Delimited
			092340	HES71.74ARTBPS3	19,883	2,306,822	Tab Delimited
			092341	HES71.74ARTBPS4	3,132	363,706	Tab Delimited
			092336	HES71.74ARLAY	1	141,203	HTML
015427	HAMDA.67.69.NIPS	59,487	092254	HAMDA.6769ARFXFS	2,226	146,916	Fixed
			092255	HAMDA.6769ARFXPS1	46,020	7,225,140	Fixed
			092256	HAMDA.6769ARFXPS2	11,130	400,680	Fixed
			092258	HAMDA.6769ARTBFS	2,226	167,033	Tab Delimited
			092259	HAMDA.6769ARTBPS1	46,020	8,375,850	Tab Delimited
			092260	HAMDA.6769ARTBPS2	11,130	467,518	Tab Delimited
			092257	HAMDA.6769ARLAY	1	35,153	HTML
015428	ADHES.6974.NIPS	291,834	092247	ADHES.6974ARFXFS	2,760	66,240	Fixed
			092248	ADHES.6974ARFXPS1	144,486	20,228,040	Fixed
			092249	ADHES.6974ARFXPS2	144,486	20,228,040	Fixed
			092251	ADHES.6974ARTBFS	2,760	80,091	Tab Delimited
			092252	ADHES.6974ARTBPS1	144,486	23,406,918	Tab Delimited
			092253	ADHES.6974ARTBPS2	144,486	23,406,918	Tab Delimited
			092250	ADHES.6974ARLAY	1	41,668	HTML
015429	VSSG.7174.NIPS	407,996	092342	VSSG.7174ARFXFS	407,919	31,409,763	Fixed
			092344	VSSG.7174ARTBFS	407,919	44,055,511	Tab Delimited
			092343	VSSG.7174ARLAY	1	26,215	HTML

**IV. Agency Documentation**

Record Layout. There are NARA-prepared layouts for the ASCII rendered HES files, for both the fixed and periodic sets. Staff created these layouts using the information found in the original agency documentation. Staff found that the HES70 and HES71 ASCII rendered files share the same layouts for the fixed and periodic sets, and so there is one set of layouts, which is applicable to both sets of files. In addition to the NARA-prepared layouts, the 12 HTML layouts generated by NIPSTRAN were preserved and are available with the data files.

Code List. There are NARA-prepared and agency code lists for the HES files. Staff created the NARA-prepared lists from information found in the original agency documentation. NARA also compiled some code lists from three HES gazetteer files, as part of the National Police Infrastructure Analysis Subsystem (NPIASS) I & II series (Record Group 472).

## **V. Data and Documentation.**

Staff verified the fixed length ASCII rendered files using the Automated Electronic Records Inspection and Control (AERIC) System. Staff noted discrepancies between the data and documentation below. Staff also performed manual verification of the tab delimited ASCII rendered files by comparing a sample of data values from the delimited files against the corresponding fixed length files.

### **Hamlet Evaluation System Files (HAMLA), 1967-1969**

Fixed Set (HAMLA.67ARFXFS; HAMLA.68ARFXFS; HAMLA.69ARFXFS)

**CNTL6; HTYPE; CNTL7** (record positions 86-87; 88-90; 91-92): Staff found that these variables contain a few numerical values not listed in the agency documentation (e.g. "22" or "01"). Staff also found that while some values also are not listed in the list of codes in the File Format Table, they may be described elsewhere in the documentation (e.g. "DM1").

Periodic Set 1 (HAMLA.67ARFXPS1; HAMLA.68ARFXPS1; HAMLA.69ARFXPS1)

**VCPOP; GVPOP; NBPOP; UKPOP; TOPOP** (record positions 25-69): Documentation indicates that these fields have an internal/stored length of eight (8) characters and an external/displayed length of nine (9) characters. The NIPSTRAN-generated HTML layout and NARA-prepared layout use the external lengths. Staff found that the leftmost position consists of a blank.

### **Hamlet Evaluation System 1970 (HES70), 1969-1970; Hamlet Evaluation System 1971 (HES71), 1971-1974**

Periodic Set 1 (HES70.69ARFXPS1; HES70.7071ARFXPS1; HES71.71ARFXPS1;  
HES71.72ARFXPS1; HES71.73ARFXPS1; HES71.74ARFXPS1)

**HCAT** (record position 44): Some records contain values "A" to "E", or "X". These appear to be valid designations using the Pacification model score (see HES User's Guide, page 45).

**HA thru HZ** (record positions 76-136): Some positions in these variables contain values "8", "9", or "\*\*", which are not listed as values for the question responses according to Appendix A (HES User's Guide, page 303). Per page 42 of the Guide, "8" ("not applicable") or "9" ("unknown") seem to be acceptable.

Periodic Set 2 (HES70.69ARFXPS2; HES70.7071ARFXPS2; HES71.71ARFXPS2;  
HES71.72ARFXPS2; HES71.73ARFXPS2; HES71.74ARFXPS2)

**VCAT** (record position 61): Some records contain values "A" to "E", or "X". These appear to be valid designations using the Pacification model score (see HES User's Guide, page 49).

**VB thru VZ** (record positions 76-157): Some positions in these variables contain values "8", "9", or "\*", which are not listed as values for the question responses according to Appendix A (HES User's Guide, page 303). Per page 42 of the Guide, "8" ("not applicable") or "9" ("unknown") seem to be acceptable.

Periodic Set 4 (HES70.7071ARFXPS4; HES71.71ARFXPS4; HES71.72ARFXPS4;  
HES71.73ARFXPS4; HES71.74ARFXPS4)

**VMOD8** (record position 27): This variable contains values of "V" in some of the records. The agency documentation does not appear to list this value as one of the possible values for this field.

#### **Hamlet Derived File (HAMDA), 1967-1969**

Staff noted no discrepancies during verification of these files.

#### **All Derived Hamlet Evaluation System (ADHES), 1969-1974**

Staff noted no discrepancies during verification of these files.

#### **Vietnam Special Studies Group (VSSG), 1971-1974**

Fixed Set (VSSG.7174ARFXFS)

**URBAN** (record position 38): This variable contains values of "\*" (asterisk) in some records. This value is not listed as an accepted value in the agency documentation. However, based on documentation provided for the HES71 files, it is possible this value has the code meaning "undetermined." (See the "Urban/Rural Flag" description in the HES71 Files Details for the Hamlet Evaluation System 1971.)

Victoria Triplett, Archives Specialist  
Electronic Records Division, Reference Branch (RDER)  
Updated February 22, 2023



### **National Military Command System Information Processing System (NIPS)**

**Overview.** The National Military Command System Information Processing System 360 Formatted File System, commonly referred to as NIPS or NIPS 360 FFS, was developed in the 1960's under contract with the International Business Machines Corporation (IBM). It was a data management system which was operational on IBM System / 360 and System / 370 computers. NIPS provided data management support to structure files, generate and maintain files, revise and update files and data, select and retrieve data, and generate reports. NIPS used an IBM generalized file management system known at IBM as the Formatted File System (FFS). Originally implemented on the IBM 1410 computer, NIPS was primarily run on the IBM System 360 and was used for a variety of data related to the Vietnam War.

**NIPS Format.** Each NIPS file is organized into variable-length records, blocked and spanned. Although the bulk of the file is data, the beginning of the file consists of supporting information used during file maintenance, data retrieval, and output processing, such as the security classification record, data file control records, element format (field definitions) records, and file maintenance logic statement records. The sixth character of each logical record (following the four-character logical record length indicator and a system character) in the data file is used as a code indicating the type of information in that record (B = classification record, C = data file control record, F = element format record, L = file maintenance logic statement record, and R = data records).

A NIPS database consists of data elements organized into "Sets." The current view of these sets would be tables as all records, or rows, in these sets have the same format. Unfortunately, the historical documentation never refers to individual rows in these tables as records. The meaning of records in the historical documentation was logical assemblies of various rows from all the sets. Regardless of the terminology, the results are the same.

A NIPS database has one table (referred to as the Fixed Set) and multiple additional tables (referred to a Periodic Sets). There is a unique "key" in each row of the Fixed set which points to none to many rows in each Periodic Sets. The key is called the Control Set (an ambiguity since "Set" now has multiple meanings, I.E. a table and a key). This represents a one-to-many relationship and provides a hieratical relationship of one and only one level.

Each row in a periodic set has none-to-many occurrences of the Control Set (key). A Subset (yet another use of the word set) is appended to the Control set (key) and represents a unique row in each periodic set (Table).

NIPS Documentation. Additional documentation on the NIPS format includes the "National Military Command System Information Processing System 360 Formatted File System (NIPS 360 FFS)" software manuals (Washington, DC: National Military Command System Support Center, Defense Communications Agency, 1971-73 editions; Washington, DC: Command and Control Technical Center, Defense Communications Agency, 1978 editions); filed with documentation for the Combat Air Summary File (OPREA), RG 218, NN3-218-76-025. Manuals (1971-74, with changes). It is also available through regional depository libraries and NARA RG 287 at SUDOC D 5.109.

Electronic Records Division, Reference Branch (RDER)  
Date: September 6, 2018

**Hamlet Evaluation System 1970 (HES70), 1969-1971  
Hamlet Evaluation System 1971 (HES71), 1971-1974**

**NARA-Prepared Layout for ASCII Rendered Fixed Set**

<b>Field Name</b>	<b>File Position</b>	<b>Field Length</b>	<b>Data Type</b>	<b>Use</b>	<b>Field Title/Description</b>	<b>Notes</b>	
CORPS	1	1	Alphameric	Control	Corps identification code	See Supplementary User Note 1 for information on corps, province, district, village, and hamlet codes.	
PROV	2	2	Alphameric	Control	Province identification code		Province codes are unique throughout South Vietnam.
DIST	4	2	Alphameric	Control	District code		District codes are unique only within each province.
VILG	6	2	Alphameric	Control	Village code		Village codes are unique only within each district.
HAM	8	2	Alphameric	Control	Hamlet code		Hamlet codes are unique only within each village.
+PCN	10	3	Alphameric		Periodic set number	Created by the NIPSTRAN program	
+SC0	13	4	Alphameric		Subset control group in the key for a specific logical record	Created by the NIPSTRAN program	
VSZ	17	4	Numeric		Indicates number of characters in the logical record's variable field; the field will contain zeros if there is no variable field for a logical record	Created by the NIPSTRAN program	
RECTP	21	1	Alphameric		Record type	H = Hamlet record V = Village record	

**Hamlet Evaluation System 1970 (HES70), 1969-1971  
Hamlet Evaluation System 1971 (HES71), 1971-1974**

**NARA-Prepared Layout for ASCII Rendered Periodic Set 1**

<b>Field Name</b>	<b>File Position</b>	<b>Field Length</b>	<b>Data Type</b>	<b>Use</b>	<b>Field Title/Description</b>	<b>Notes</b>	
CORPS	1	1	Alphameric	Control	Corps identification code	See Supplementary User Note 1 for information on corps, province, district, village, and hamlet codes.	
PROV	2	2	Alphameric	Control	Province identification code		Province codes are unique throughout South Vietnam.
DIST	4	2	Alphameric	Control	District code		District codes are unique only within each province.
VILG	6	2	Alphameric	Control	Village code		Village codes are unique only within each district.
HAM	8	2	Alphameric	Control	Hamlet code		Hamlet codes are unique only within each village.
+PCN	10	3	Alphameric		Periodic set number	Created by the NIPSTRAN program	
HDATE	13	4	Alphameric		Hamlet date of report	Formatted as YYMM	
VSZ1	17	4	Numeric		Indicates number of characters in the logical record's variable field; the field will contain zeros if there is no variable field for a logical record	Created by the NIPSTRAN program	
HPOPUL	21	5	Numeric		Hamlet population		
HPERM	26	5	Numeric		Permanent hamlet population		
HTEMP	31	5	Numeric		Temporary hamlet population		
HPOINT	36	8	Alphameric		Hamlet UTM coordinate		
HCAT	44	1	Alphameric		Hamlet category	This field indicates if the hamlet falls into any of the following hamlet categories: V indicates VC control X indicated abandoned P indicated planned	
HFLAG1	45	1	Alphameric		Hamlet flags	The agency documentation indicates the "flag" fields are designated as available for future expansion. The flags are special indicators representing unique features of some of the hamlets and villages. Some code meanings for hamlet and village Flags are provided in Annex F of the HES70 User's Guide (HES70 documentation). These code meanings may apply to both HES70 and HES71.	
HFLAG2	46	1	Alphameric				
HFLAG3	47	1	Alphameric				
HFLAG4	48	1	Alphameric				
HFLAG5	49	1	Alphameric				
HFLAG6	50	1	Alphameric				

**Hamlet Evaluation System 1970 (HES70), 1969-1971  
Hamlet Evaluation System 1971 (HES71), 1971-1974**

**NARA-Prepared Layout for ASCII Rendered Periodic Set 1**

<b>Field Name</b>	<b>File Position</b>	<b>Field Length</b>	<b>Data Type</b>	<b>Use</b>	<b>Field Title/Description</b>	<b>Notes</b>
HFLAG7	51	1	Alphanumeric			R = Rural hamlet (March 1970 or later determination) U = Urban hamlet (March 1970 or later determination) * = undetermined
HFLAG8	52	1	Alphanumeric			
HFLAG9	53	1	Alphanumeric			
HFLAG10	54	1	Alphanumeric			
HMA	55	2	Alphanumeric		Hamlet monthly questions	These fields contain the numeric responses to village level questions. See Appendix A (HES71 documentation) for question codes, questions, and responses.
HMB	57	8	Alphanumeric			
HMC	65	4	Alphanumeric			
HMD	69	7	Alphanumeric			
HA	76	2	Alphanumeric		Hamlet quarterly questions	Question numbers consist of an alpha and numeric identification codes. The alpha positions designate hamlet or village level, quarterly or monthly reporting, and the topic area. The numeric position designates the question number within the topic area.  Each question response is one position in the field length, and the questions are sequential. E.g. HMA has a field length of 2. The first position (file position 55) = response for question HMA1. The second position (file position 56) = response for question HMA2.
HB	78	3	Alphanumeric			
HC	81	10	Alphanumeric			
HD	91	6	Alphanumeric			
HE	97	5	Alphanumeric			
HF	102	6	Alphanumeric			
HG	108	5	Alphanumeric			
HL	113	3	Alphanumeric			
HN	116	3	Alphanumeric			
HP	119	2	Alphanumeric			
HR	121	5	Alphanumeric			
HS	126	5	Alphanumeric			
HZ	131	6	Alphanumeric			

**Hamlet Evaluation System 1970 (HES70), 1969-1971  
Hamlet Evaluation System 1971 (HES71), 1971-1974**

**NARA-Prepared Layout for ASCII Rendered Periodic Set 2**

Field Name	File Position	Field Length	Data Type	Use	Field Title/Description	Notes	
CORPS	1	1	Alphameric	Control	Corps identification code	See Supplementary User Note 1 for information on corps, province, district, village, and hamlet codes.	
PROV	2	2	Alphameric	Control	Province identification code		Province codes are unique throughout South Vietnam.
DIST	4	2	Alphameric	Control	District code		District codes are unique only within each province.
VILG	6	2	Alphameric	Control	Village code		Village codes are unique only within each district.
HAM	8	2	Alphameric	Control	Hamlet code		Hamlet codes are unique only within each village.
+PCN	10	3	Alphameric		Periodic set number	Created by the NIPSTRAN program	
VDATE	13	4	Alphameric		Village date of report	Formatted as YYMM	
VSZ2	17	4	Numeric		Indicates number of characters in the logical record's variable field; the field will contain zeros if there is no variable field for a logical record	Created by the NIPSTRAN program	
VNHPOP	21	6	Numeric		Non-hamlet population residing in village		
VHPOP	27	6	Numeric		Total hamlet population residing in village		
VPERM	33	6	Numeric		Total permanent hamlet population in village		
VTEMP	39	6	Numeric		Total temporary hamlet population in village		
VTPOP	45	6	Numeric		Total hamlet and non-hamlet population residing in village		
VHCNT	51	2	Numeric		Total number of hamlets in the village		
VPOINT	53	8	Alphameric		Village geographic point (UTM)		
VCAT	61	1	Alphameric		Village category	This field indicates if the village falls into any of the following categories: V indicates VC control X indicated abandoned P indicated planned	
VFLAG1	62	1	Alphameric		Village flags	The agency documentation indicates the "flag" fields are designated as available for future expansion. The	
VFLAG2	63	1	Alphameric				

**Hamlet Evaluation System 1970 (HES70), 1969-1971  
Hamlet Evaluation System 1971 (HES71), 1971-1974**

**NARA-Prepared Layout for ASCII Rendered Periodic Set 2**

<b>Field Name</b>	<b>File Position</b>	<b>Field Length</b>	<b>Data Type</b>	<b>Use</b>	<b>Field Title/Description</b>	<b>Notes</b>		
VFLAG3	64	1	Alphanumeric			flags are special indicators representing unique features of some of the hamlets and villages. Some code meanings for hamlet and village flags are provided in Annex F of the HES70 User's Guide (HES70 documentation). These code meanings may apply to both HES70 and HES71. Not all of the flags were used at the time.		
VFLAG4	65	1	Alphanumeric					
VFLAG5	66	1	Alphanumeric					
VFLAG6	67	1	Alphanumeric					
VFLAG7	68	1	Alphanumeric					
VFLAG8	69	1	Alphanumeric					
VFLAG9	70	1	Alphanumeric					
VFLAG10	71	1	Alphanumeric					
VMB	72	2	Alphanumeric				Village monthly questions	These fields contain the numeric responses to village level questions. See Appendix A (HES71 documentation) for question codes, questions, and responses.
VMC	74	2	Alphanumeric					
VB	76	5	Alphanumeric		Village quarterly questions	Question numbers consist of an alpha and numeric identification codes. The alpha positions designate hamlet or village level, quarterly or monthly reporting, and the topic area. The numeric position designates the question number within the topic area.  Each question response is one position in the field length, and the questions are sequential. E.g. VMB has a field length of 2. The first position (file position 76) = response for question VMB1. The second position (file position 77) = response for question VBM2.		
VC	81	7	Alphanumeric					
VD	88	8	Alphanumeric					
VE	96	9	Alphanumeric					
VF	105	7	Alphanumeric					
VG	112	3	Alphanumeric					
VL	115	8	Alphanumeric					
VN	123	5	Alphanumeric					
VP	128	4	Alphanumeric					
VR	132	3	Alphanumeric					
VT	135	10	Alphanumeric					
VV	145	10	Alphanumeric					
VZ	155	3	Alphanumeric					

**Hamlet Evaluation System 1970 (HES70), 1969-1971  
Hamlet Evaluation System 1971 (HES71), 1971-1974**

**NARA-Prepared Layout for ASCII Rendered Periodic Set 3**

<b>Field Name</b>	<b>File Position</b>	<b>Field Length</b>	<b>Data Type</b>	<b>Use</b>	<b>Field Title/Description</b>	<b>Notes</b>	
CORPS	1	1	Alphameric	Control	Corps identification code	See Supplementary User Note 1 for information on corps, province, district, village, and hamlet codes.	
PROV	2	2	Alphameric	Control	Province identification code		Province codes are unique throughout South Vietnam.
DIST	4	2	Alphameric	Control	District code		District codes are unique only within each province.
VILG	6	2	Alphameric	Control	Village code		Village codes are unique only within each district.
HAM	8	2	Alphameric	Control	Hamlet code		Hamlet codes are unique only within each village.
+PCN	10	3	Alphameric		Periodic set number	Created by the NIPSTRAN program	
MDATE	13	4	Alphameric		Model date	Formatted as YYMM	
VSZ3	17	4	Numeric		Indicates number of characters in the logical record's variable field; the field will contain zeros if there is no variable field for a logical record	Created by the NIPSTRAN program	
MPOPUL	21	6	Numeric		Model population	In RECTP H, the hamlet population In RECTP V, the non-hamlet population residing in village	
MOD8	27	1	Alphameric		HES rating model	Possible values are A-E, X, N, V	
MOD7A	28	1	Alphameric		Security macromodel	Possible values are A-E, X, N	
MOD7B	29	1	Alphameric		Community development macromodel		
MOD6A	30	1	Alphameric		Political control macromodel		
MOD6B	31	1	Alphameric		Military control macromodel		
MOD5A	32	1	Alphameric		Enemy military model (1971)		
MOD5B	33	1	Alphameric		Friendly military model (1971)		
MOD5C	34	1	Alphameric		Enemy political model (1971)		
MOD5D	35	1	Alphameric		Friendly political model (1971)		
MOD5E	36	1	Alphameric		Political programs model		
MOD5F	37	1	Alphameric		Social programs model		
MOD4	38	1	Alphameric		Pacification model		Possible values are A-E, X, N, V
MOD3A	39	1	Alphameric		Security macromodel		Possible values are A-E, X, N
MOD3B	40	1	Alphameric		Political macromodel		

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**Hamlet Evaluation System 1970 (HES70), 1969-1971  
Hamlet Evaluation System 1971 (HES71), 1971-1974**

**NARA-Prepared Layout for ASCII Rendered Periodic Set 3**

<b>Field Name</b>	<b>File Position</b>	<b>Field Length</b>	<b>Data Type</b>	<b>Use</b>	<b>Field Title/Description</b>	<b>Notes</b>
MOD3C	41	1	Alphameric		Socio-economic macromodel	
MOD2A	42	1	Alphameric		Enemy military model (1970)	
MOD2B	43	1	Alphameric		Friendly security model (1970)	
MOD2C	44	1	Alphameric		Enemy political model (1970)	
MOD2D	45	1	Alphameric		GVN political model (1970)	
MOD2E	46	1	Alphameric		Social model	
MOD2F	47	1	Alphameric		Economic model	
MOD1A	48	1	Alphameric		Enemy military presence submodel	
MOD1B	49	1	Alphameric		Enemy military activity submodel	
MOD1C	50	1	Alphameric		Impact of military activity submodel	
MOD1D	51	1	Alphameric		Friendly military presence submodel	
MOD1E	52	1	Alphameric		Friendly military activity submodel	
MOD1F	53	1	Alphameric		Law enforcement submodel	
MOD1G	54	1	Alphameric		PSDF activity submodel	
MOD1H	55	1	Alphameric		Enemy political presence submodel	
MOD1I	56	1	Alphameric		Enemy political activity submodel	
MOD1J	57	1	Alphameric		Administration submodel	
MOD1K	58	1	Alphameric		RD cadre submodel	
MOD1L	59	1	Alphameric		Information PSYOPS submodel	
MOD1M	60	1	Alphameric		Political mobilization submodel	
MOD1N	61	1	Alphameric		Public health submodel	
MOD1O	62	1	Alphameric		Education submodel	
MOD1P	63	1	Alphameric		Social welfare submodel	
MOD1Q	64	1	Alphameric		Developmental assistance submodel	
MOD1R	65	1	Alphameric		Economic activity submodel	
MOD1S	66	1	Alphameric		Land tenure submodel	

**Hamlet Evaluation System 1970 (HES70), 1969-1971  
Hamlet Evaluation System 1971 (HES71), 1971-1974**

**NARA-Prepared Layout for ASCII Rendered Periodic Set 4**

<b>Field Name</b>	<b>File Position</b>	<b>Field Length</b>	<b>Data Type</b>	<b>Use</b>	<b>Field Title/Description</b>	<b>Notes</b>
CORPS	1	1	Alphameric	Control	Corps identification code	
PROV	2	2	Alphameric	Control	Province identification code	Province codes are unique throughout South Vietnam.
DIST	4	2	Alphameric	Control	District code	District codes are unique only within each province.
VILG	6	2	Alphameric	Control	Village code	Village codes are unique only within each district.
HAM	8	2	Alphameric	Control	Hamlet code	Hamlet codes are unique only within each village.
+PCN	10	3	Alphameric		Periodic set number	Created by the NIPSTRAN program
VMDATE	13	4	Alphameric		Village model date	Formatted as YYMM
VSZ4	17	4	Numeric		Indicates number of characters in the logical record's variable field; the field will contain zeros if there is no variable field for a logical record	Created by the NIPSTRAN program
VMPOPUL	21	6	Numeric		Total hamlet and non-hamlet population residing in village	
VMOD8	27	1	Alphameric		HES rating model	Possible values are A-E, X, N.
VMOD7A	28	1	Alphameric		Security macromodel	The above are values taken from the documentation. Staff found that VMOD8 can also contain V, similar to the hamlet models in Periodic Set 3.
VMOD7B	29	1	Alphameric		Community development macromodel	
VMOD6A	30	1	Alphameric		Political control macromodel	
VMOD6B	31	1	Alphameric		Military control model	
VMOD5A	32	1	Alphameric		Enemy military model (HES71)	
VMOD5B	33	1	Alphameric		Friendly military model (HES71)	
VMOD5C	34	1	Alphameric		Enemy political model (HES71)	
VMOD5D	35	1	Alphameric		Friendly political model (HES71)	
VMOD5E	36	1	Alphameric		Political programs model	
VMOD5F	37	1	Alphameric		Social programs model	
VMOD4	38	1	Alphameric		Pacification model rating	
VMOD3A	39	1	Alphameric		Security model rating	
VMOD3B	40	1	Alphameric		Political model rating	
VMOD3C	41	1	Alphameric		Socio-economic model rating	

**Hamlet Evaluation System 1970 (HES70), 1969-1971  
Hamlet Evaluation System 1971 (HES71), 1971-1974**

**NARA-Prepared Layout for ASCII Rendered Periodic Set 4**

<b>Field Name</b>	<b>File Position</b>	<b>Field Length</b>	<b>Data Type</b>	<b>Use</b>	<b>Field Title/Description</b>	<b>Notes</b>
VMOD2A	42	1	Alphameric		Enemy military model rating	
VMOD2B	43	1	Alphameric		Friendly security model rating	
VMOD2C	44	1	Alphameric		Enemy political model rating	
VMOD2D	45	1	Alphameric		GVN political model rating	
VMOD2E	46	1	Alphameric		Social model rating	
VMOD2F	47	1	Alphameric		Economic model rating	
VMOD1A	48	1	Alphameric		Enemy military presence model rating	
VMOD1B	49	1	Alphameric		Enemy military activity model rating	
VMOD1C	50	1	Alphameric		Impact of military activity model rating	
VMOD1D	51	1	Alphameric		Friendly military presence model rating	
VMOD1E	52	1	Alphameric		Friendly military activity model rating	
VMOD1F	53	1	Alphameric		Law enforcement model rating	
VMOD1G	54	1	Alphameric		PSDF activity model rating	
VMOD1H	55	1	Alphameric		Enemy political presence model rating	
VMOD1I	56	1	Alphameric		Enemy political activity model rating	
VMOD1J	57	1	Alphameric		Administration model rating	
VMOD1K	58	1	Alphameric		RD cadre model rating	
VMOD1L	59	1	Alphameric		Information PSYOPS model rating	
VMOD1M	60	1	Alphameric		Political mobilization model rating	
VMOD1N	61	1	Alphameric		Public health model rating	
VMOD1O	62	1	Alphameric		Education model rating	
VMOD1P	63	1	Alphameric		Social welfare model rating	
VMOD1Q	64	1	Alphameric		Developmental assistance model rating	
VMOD1R	65	1	Alphameric		Economic activity model rating	
VMOD1S	66	1	Alphameric		Land tenure model rating	



# National Archives and Records Administration

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## Supplementary User Note Relationship between HES Gazetteer Files with other Hamlet Evaluation System Files

Hamlet Evaluation System 1970 (HES70)  
NN3-330-75-141

Records of the Office of the Secretary of Defense (Record Group 330)

When staff initially processed several of the Hamlet Evaluation System files, such as the Hamlet Evaluation System 1970 (HES70), Hamlet Evaluation System 1971 (HES71), and Vietnam Special Studies Group (VSSG), they noted the records contain the coded fields Corps (or Corps Region), Province, District, Village, and/or Hamlet, but in most cases only meanings for the Corps Region and Province codes were available. The records in the HES files also include UTM coordinates. Several years after receiving the HES files, the National Archives received three HES gazetteer files, Hamlet Evaluation System/Viet Cong Infrastructure (HES/VCI) Gazetteer, HES Gazetteer, and HES Gazetteer Source files, as part of the National Police Infrastructure Analysis Subsystem (NPIASS) I & II series (Record Group 472). The records in the gazetteer files include Corps Region, Province, District, Village, and/or Hamlet coded fields (which also makes up the United States Identification Number (USID number)); corresponding Province, District, Village, and/or Hamlet name fields; population numbers; ratings; and UTM coordinates.

According to the *Hamlet Evaluation System (HES) Command Manual*:

The HES Gazetteer was designed to provide an unclassified directory of hamlets and villages in RVN. The Gazetteer provides basic descriptive data for all hamlets and villages in the country that are included in HES. Data elements included are hamlet or village name, UTM coordinates, USID number, population by type, and the Administrative ID number. . .

The HES Gazetteer is widely used . . . as a source of information on individual hamlet locations and population. HES is the primary source of geographic data on RVN and, as such, provides much of the basic data for other MACCORDS automated systems and other monitoring systems. The Gazetteer provides the primary identification numbers for all geopolitical levels as well as geographic coordinates.<sup>1</sup>

These statements indicate that the HES gazetteer files can be used in conjunction with the other HES files, along with other MACCORDS systems, and suggest that the HES gazetteer files are a possible source for the meanings for the District, Village, and Hamlet codes (USID number). In this regard, staff did a very cursory comparison of the UTM coordinates fields and the Corps Region, Province, District, Village, and/or Hamlet coded fields in about 20 records from the various HES files and the corresponding coded, name, and UTM coordinates fields in the HES gazetteer files. The staff's findings are as follows:

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<sup>1</sup> Civil Operations and Rural Development Support, Research and Analysis Directorate, *Hamlet Evaluation System (HES) Command Manual*, Document No. DAR R70-79 CM-01B, Military Assistance Command Vietnam, 1 September 1971, pages 25 and 29, respectively. The *HES Command Manual* is available as supplementary documentation.

- In most cases the Province, District, Village, and/or Hamlet codes (USID number) and corresponding names, and UTM coordinates listed in the three gazetteer files are consistent with each other. In a few cases, there are codes, names, and UTM coordinates listed in only one or two of the gazetteer files. So while in general the codes, names, and UTM coordinates listed in the records may be consistent in all three files, the files do not contain all of the same records, which is confirmed by the differing record counts for each gazetteer file.
- The HES/VCI Gazetteer and HES Gazetteer Source files list the corresponding GVN Province, District, and Hamlet names, and VC Province, District, and Village names for the USID number. Although, in some cases, no VC names are listed.
- The Corps Region and Province codes and names included in the technical documentation for some of the HES files match the Corps Region and Province codes and names listed in the HES gazetteer files.
- In general, the Corps Region, Province, District, Village, and/or Hamlet codes and UTM coordinates listed in the gazetteer files match the codes and UTM coordinates listed in the HES70, HES71, and VSSG files.
- The Hamlet Evaluation System Files (HAMPLA) 1967-1969 also contain the coded fields Country (or Corps Region), Province, District, Village, and/or Hamlet (USID number), but also fields with the corresponding Village and Hamlet names. In general, the codes and names listed in the HAMPLA records match the codes and names listed in the gazetteer files. However, the UTM coordinates do not match.

Therefore, researchers working with the HES files who are interested in obtaining the meanings (names) for the Province, District, Village, and/or Hamlet codes (USID number) may wish to consult the HES gazetteer files as possible sources for the code meanings. Other Department of Defense Vietnam War-related data files in NARA's custody, such as the People's Self-Defense Force Management Information System (PSDF/MIS), may also contain USID numbers, corresponding names, UTM coordinates, and/or information extracted from HES.

Lastly, there are some questions regarding the UTM coordinates listed in some of the HES files. In the early 1990s, two researchers reported that the UTM coordinates in the HAMPLA files were insufficient for their purposes because the records did not identify the particular map sheet on which each hamlet or village appeared. The researchers indicated that three different UTM map sheets covered the Republic of Vietnam and there is a 5° overlap in the UTM map sheets. They also mentioned that in the course of their research, they discovered that at some point the UTM coordinates in the HES Gazetteer had been transformed to latitude-longitude coordinates. The available record layouts for the HES gazetteer files do not include fields for latitude-longitude coordinates nor do the sample dumps for the HES gazetteer files show latitude-longitude coordinates data. The HES gazetteer files in NARA's custody appear to only contain UTM coordinates.

Lynn Goodsell, Archives Specialist  
 Electronic and Special Media Records Services Division (NWME)  
 July 27, 2007

From: Herbo

Table 2 - Province Code List

	<u>PROV CODE</u>	<u>PROVINCE NAME</u>
I Corps	01	Quang Tri
	02	Thua Thien
	03	Quang Nam
	04	Quang Tin
	05	Quang Ngai
	91	Hue
	92	Da Nang
II Corps	06	Kontum
	07	Binh Dinh
	08	Pleiku
	09	Phy Bon
	10	Phy Yen
	11	Darlac
	12	Khnan Hoa
	13	Ninh Thuan
	14	Tuyen Duc
	15	Quang Duc
	16	Lam Dong
	17	Binh Thuan
	93	Cam Ranh
	94	Dalat
III Corps	18	Binh Tuy
	19	Long Khanh
	21	Phuoc Long
	22	Binh Long
	23	Binh Duong
	24	Tay Ninh
	25	Hau Nghia
	26	Bien Hoa
	27	Phuoc Tuy
	28	Long An
	29	Gia Dinh
	95	Vung Tau
96	Saigon	
IV Corps	30	Go Cong
	31	Kien Tuong
	32	Kien Phong
	33	Dinh Tuong
	34	Kien Hoa
	35	Vinh Binh
	36	Vinh Long
37	An Giang	

PROV  
CODE

PROVINCE NAME

38  
39  
40  
41  
42  
43  
44  
46

Kien Giang  
Chuong Thien  
Phong Dinh  
Ba Xuyen  
An Xuyen  
Bac Lieu  
Chau Doc  
Sa Dec

From the agency documentation for the Herbicide Data Files (HERBO-2), NN3-330-75-146



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**Supplemental User Note 2**  
**Available Supplemental Documentation**

Hamlet Evaluation System Files (HAMLA), 1967-1969,  
Hamlet Evaluation System 1970 (HES70), 1969-1970, and  
Hamlet Evaluation System 1971 (HES71), 1971-1974

NN3-330-75-141

Records of the Office of the Security of Defense (Record Group 330)

The agency transferred various manuals and other supplemental documentation related to the Hamlet Evaluation System Files (HAMLA), Hamlet Evaluation System 1970 (HES70), and Hamlet Evaluation System 1971 (HES71). Staff have already included in the basic documentation the sections of the manuals needed for interpreting the data, such as layouts and code lists. However, users may obtain copies of the entire manual(s). Much of documentation considered supplemental contains instructions on how to enter data, run reports, and maintain the system in general when it was in use. There is also documentation specific to using the National Information Processing System (or NMCS Information Processing System) (NIPS). Other series of Vietnam War data may contain information related to the various HES files. The supplemental documentation listed below is available upon request.

Selected sections from the following are included in the respective basic documentation:  
International Business Machines Corporation, prepared for the National Military Command System Support Center (NMCSSC), *Consolidated Southeast Asia File Descriptions: Book III: ADHES70, HAMLA, HES70, HES71, QHES70, VSSG*, 30 September 1973. (419 pages)

Includes: Chapter 1 – File Description  
Chapter 2 – File Format Tables  
Chapter 3 – File Details  
Chapter 4 – File Maintenance  
Chapter 5 – Output and Retrieval  
Glossary  
Appendices

MACCORDS/RAD, *User's Guide to the Hamlet Evaluation System 1970 (HES/70)*, February 1970. (180 pages)

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Filed with the Hamlet Evaluation System (HES) (NN3-330-75-141) documentation:  
CORDS, Reports and Analysis Directorate, Headquarters, Military Assistance Command,  
Vietnam, *Summary Report: HES70*, 31 January 1971

Civil Operations and Rural Development Support, Research and Analysis Directorate, *Hamlet Evaluation System (HES): Basic Master File Description*, MACV Document No. DAR R70 MP-01, Military Assistance Command, Vietnam, January 1972.

CORDS, Reports and Analysis Directorate, Headquarters, Military Assistance Command,  
Vietnam, *Hamlet Evaluation System Advisors Handbook*, June 1971.

District Evaluation System (DES) Operations Manual

District Evaluation System Program Maintenance Manual

Filed with the Hamlet Evaluation System (HES) (NN3-349-81-001) (RG 472) documentation:  
Civil Operations and Rural Development Support, Research and Analysis Directorate, *Hamlet Evaluation System (HES) Command Manual*, Document No. DAR R70-79 CM-01B, Military Assistance Command, Vietnam, 1 September 1971. (108 pages)

Civil Operations and Rural Development Support, Research and Analysis Directorate, *Hamlet Evaluation System (HES) Operations Manual*, Document No. DAR R70 OM-01A, Military Assistance Command, Vietnam, June 1972. (150-200 pages)

Civil Operations and Rural Development Support, Reports and Analysis Directorate, *Hamlet Evaluation System Advisors Handbook*, Military Assistance Command, Vietnam, June 1971.

National Military Command System Support Center, *Pacification Program Evaluation System (PACPROG): User's Manual*, Computer System Manual Number CSM UM 51-68, 30 September 1969.

Various program and edit manuals for HES

Filed with the Combat Air Summary Files (OPREA) (NN3-218-76-025) documentation:  
Defense Communications Agency, Command and Control Technical Center, *NMCS Information Processing System 306 Formatted File System (NIPS 360 FFS) General Description*, Computer System Manual Number CSM GS 15-17, 1 September 1978. (41 pages)

Defense Communications Agency, Command and Control Technical Center, *NMCS Information Processing System 306 Formatted File System (NIPS 360 FFS) Volume I Introduction to File Concepts*, Computer System Manual Number CSM UM 15-78, 1 September 1978. (155 pages)

Lynn Goodsell, Archivist  
Electronic and Special Media Records Services Division (NWME)  
February 14, 2011

A decision table is used to obtain model, macromodel, and Pacification scores. There is a three-way table (Table A) which combines three lower level scores to obtain a higher level score. This table is used in all cases where the higher level score is to be obtained from three lower level scores. There is also a two-way decision table (Table B) used to obtain a higher level score from the combination of two lower level scores. When it is necessary to combine four scores, two are combined using the two-way table to obtain a single score; this intermediate score is then combined with the two remaining scores using the three-way table to obtain the desired higher level score. Thus, two tables provide the capability to combine two, three, or four lower level scores.

In the three-way decision table, the first index is weighted the most. The third index is weighted the least. The two-way decision table is symmetrical. The following is an outline to obtain the ratings for all HES70 model, macromodel, and pacification scores.

ENEMY MILITARY (MOD2A)	2137
1st index - Enemy Military Presence (MOD1A)	2139
2nd index - Enemy Military Activity (MOD1B)	2140
FRIENDLY SECURITY (MOD2B)	2142
1st index - Friendly Military Activity (MOD1E)	2144
2nd index - Friendly Military Presence (MOD1D)	2145
3rd index - Law Enforcement (MOD1F) - PSDF Activity (MOD1G)	2146
(This is obtained from the two-way decision table)	2147
ENEMY POLITICAL (MOD2C)	2149
1st index - Enemy Political Presence (MOD1H)	2151
2nd index - Enemy Political Activity (MOD1I)	2152
GVN POLITICAL (MOD2D)	2154
1st index - Administration (MOD1J)	2156
2nd index - Information/PSYOPS (MOD1L) - RD CADRE (MOD1K)	2157
(This is obtained from the two-way decision table. IF	2158
RD Cadre = N (not evaluated) the index = Information/	2159

PSYOPS. If there is no RD Cadre present in a hamlet, the rating is N.)	2160 2161
3rd index - PSDF Activity (MOD1G)	2162
SOCIAL (MOD2E)	2164
1st index - Education (MOD1O)	2166
2nd index - Public Health (MOD1N)	2167
3rd index - Social Welfare (MOD1P)	2168
ECONOMIC (MOD2F)	2170
1st index - Economic Activity (MOD1R)	2172
2nd index - Development Assistance (MOD1Q)	2173
3rd index - Land Tenure (MOD1S)	2174
SECURITY (MOD3A)	2176
1st index - Enemy Military (MOD2A)	2178
2nd index - Friendly Military (MOD2B)	2179
3rd index - Impact of Military Activity (MOD1C)	2180
POLITICAL (MOD3B)	2182
1st index - GVN Political (MOD2D)	2184
2nd index - Enemy Political (MOD2C)	2185
3rd index - Political Mobilization (MOD1M)	21
SOCIO-ECONOMIC (MOD3C)	2188
1st index - Social (MOD2E)	2190
2nd index - Economic (MOD2F)	2191
PACIFICATION (MOD4)	2193
If response to hamlet monthly question MB1 is 1 or 2, the rating is V. Otherwise:	2195 2196
1st index - Security (MOD3A)	2198
2nd index - Political (MOD3B)	2199
3rd index - Social-economic (MOD3C)	2200
As an example, assume that the Economic indices for a given hamlet are as follows:	2203 2204
Economic Activity = A	2207
Development Assistance = C	2208

Land Tenure

= E

220

Entering the three-way table (since there are three indices), we find this particular three-way combination, A-C-E, about mid-way down the first column. It gives a combined score of C.

2212

2214

2215

To illustrate the combination of four scores, assume that the Friendly Security indices for a given hamlet are as follows:

2217

2218

2219

Friendly Military Activity = A

2222

Friendly Military Presence = B

2223

Law Enforcement = C

2224

PSDF Activity = B

2225

Entering the two-way table first (since there are four component indices) with the Law Enforcement and PSDF Activity indices with the particular combination of C-B, the resulting index is C. Now, using this index in combination with the two remaining indices in the order of A B C to enter the three-way table, the result is found to be a Friendly Security rating of B.

2228

2229

2231

2232

2233

2234

HES71 scores are determined at MACV by a different set of decision tables.

2237

See-Way Decision Table - Table A

2240

A	A	A	A	B	A	A	A	C	A	A	B	D	A	A	C	E	A	A	D	N	A	A	C	2243
A	A	B	A	B	A	B	B	C	A	B	B	D	A	B	C	E	A	B	D	N	A	B	C	2244
A	A	C	A	B	A	C	C	C	A	C	C	D	A	C	C	E	A	C	D	N	A	C	C	2245
A	A	D	B	B	A	D	B	C	A	D	C	D	A	D	D	E	A	D	D	N	A	D	D	2246
A	A	E	B	B	A	E	B	C	A	E	C	D	A	E	D	E	A	E	E	N	A	E	D	2247
A	A	N	B	B	A	N	B	C	A	N	C	D	A	N	D	E	A	N	D	N	A	N	N	2248
A	B	A	A	B	B	A	B	C	B	A	B	D	B	A	C	E	B	A	D	N	B	A	C	2249
A	B	B	B	B	B	B	B	C	B	B	B	D	B	B	C	E	B	B	D	N	B	B	C	2250
A	B	C	B	B	B	C	B	C	B	C	C	D	B	C	C	E	B	C	D	N	B	C	D	2251
A	B	D	B	B	B	D	B	C	B	D	C	D	B	B	D	E	B	D	D	N	B	D	D	2252
A	B	E	B	B	B	E	C	C	B	E	C	D	B	E	D	E	B	E	E	N	B	E	D	2253
A	B	N	B	B	B	N	C	C	B	N	C	D	B	N	D	E	B	N	E	N	B	N	N	2254
A	C	A	B	B	C	A	B	C	C	A	C	D	C	A	C	E	C	A	D	N	C	A	C	2255
A	C	B	B	B	C	B	B	C	C	B	C	D	C	B	D	E	C	B	D	N	C	B	D	2256
A	C	C	B	B	C	C	C	C	C	C	C	D	C	C	D	E	C	C	D	N	C	C	D	2257
A	C	D	C	B	C	D	C	C	C	D	C	D	C	D	D	E	C	D	E	N	C	D	D	2258
A	C	E	C	B	C	E	C	C	C	E	C	D	C	E	D	E	C	E	E	N	C	E	D	2259
A	C	N	C	B	C	N	C	C	C	N	C	D	C	N	D	E	C	N	E	N	C	N	N	2260
A	D	A	B	B	D	A	B	C	D	A	C	D	D	A	D	E	E	A	D	N	D	A	D	2261
A	D	B	B	B	D	B	C	C	D	B	C	D	D	B	D	E	E	B	E	N	D	B	D	2262
A	D	C	C	B	D	C	C	C	D	C	C	D	D	C	D	E	D	C	E	N	D	C	D	2263
A	D	D	C	B	D	D	C	C	D	D	D	D	D	D	D	E	D	D	E	N	D	D	D	2264
A	D	E	C	B	D	E	C	C	D	E	D	D	D	E	D	E	D	E	E	N	D	E	E	2265
A	D	N	C	B	D	N	C	C	D	N	D	D	D	N	D	E	D	N	E	N	D	N	N	2266
A	E	A	B	B	E	A	C	C	E	A	C	D	E	A	D	E	E	A	E	N	E	A	D	2267
A	E	B	C	B	E	B	C	C	E	B	C	D	E	B	D	E	E	B	E	N	E	B	D	2268
A	E	C	C	B	E	C	C	C	E	C	D	D	E	C	D	E	E	C	E	N	E	C	E	2269
A	E	D	C	B	E	D	D	C	E	D	D	D	F	D	D	E	E	D	E	N	E	D	E	2270
A	E	E	D	B	E	E	D	C	E	E	D	D	E	E	E	E	E	E	E	N	E	E	E	2271
A	E	N	D	B	E	N	D	C	E	N	D	D	E	N	E	E	E	N	E	N	E	N	N	2272
A	N	A	B	B	N	A	C	C	N	A	C	D	N	A	D	E	N	A	D	N	N	A	N	2273
A	N	B	C	B	N	B	C	C	N	B	C	D	N	B	D	E	N	B	E	N	N	B	N	2274
A	N	C	C	B	N	C	C	C	N	C	D	D	N	C	D	E	N	C	E	N	N	C	N	2275
A	N	D	C	B	N	D	D	C	N	D	D	D	N	D	D	E	N	D	E	N	N	D	N	2276
A	N	E	D	B	N	E	D	C	N	E	D	D	N	E	E	E	N	E	E	N	N	E	N	2277
A	N	N	N	B	N	N	N	C	N	N	N	D	N	N	N	E	N	N	N	N	N	N	N	2278

N = Not Evaluated

2280

o-Way Decision Table - Table B

A A A  
 A B B  
 A C B  
 A D C  
 A E C  
 A N C  
 B A B  
 B B B  
 B C C  
 B D C  
 B E D  
 B N C  
 C A B  
 C B C  
 C C C  
 C D D  
 C E D  
 C N D

D A C  
 D B C  
 D C D  
 D D D  
 D E E  
 D N E  
 E A C  
 E B D  
 E C D  
 E D E  
 E E E  
 E N E  
 N A C  
 N B C  
 N C D  
 N D E  
 N E E  
 N N N

2285  
 2287  
 2288  
 2289  
 2290  
 2291  
 2292  
 2293  
 2294  
 2295  
 2296  
 2297  
 2298  
 2299  
 2300  
 2301  
 2302  
 2303

23

N = Not Evaluated

## HES70 FFT

	3526	
STRUCTURE HES70.	3529	
CLASS UNCLASSIFIED.	3530	
DE ANALYST E G MC CLAIN.	3531	
DE PROGRAMMER M L JOHNSON.	3532	
DE TELEPHONE OX5 7422 .	3533	
DE THE HES70 FILE CONTAINS RECORDS ON PACIFICATION PROGRESS FOR EACH .	3534	
DELET AND VILLAGE IN SVN---THE FILE IS ORGANIZED ON A MONTHLY BASIS X	3535	
DE QUARTERLY EVALUATIONS REITERATED IN INTERVENING MONTHS---PERIODIC X	3536	
DE SET #1 CONTAINS HAMLET LEVEL QUESTIONS---PERIODIC SET #2 CONTAINS X	3537	
DE VILLAGE LEVEL QUESTIONS---PERIODIC SET #3 CONTAINS HAMLET AND VILLAGE X	3538	
DE VILLAGE MODEL SCORES---PERIODIC SET #4 CONTAINS VILLAGE MODEL SCORES. 3539		
DE EACH PERIODIC SET CONTAINS ONE SUBSET FOR EACH MONTH OF DATA X	3540	
DE COMMENCING WITH JULY 1969 AND TERMINATING IN DECEMBER 1970.	3541	
DE MUMTS OUTPUT 4 5 ALPHA ALPHA.	3542	
DE LD CORPS 1 C.	3543	
DE LD PROV 2 C.	3544	
DE LD DIST 2 C.	3545	
DE LD VILG 2 C.	3546	
DE LD HAM 2 C.	3547	
DE CONTAINS 00 IF RECTP IS V.	3548	
DE UP USID CORPS PROV DIST VILG HAM ALPHA.	3549	
DE LD RECTP 1 X ALPHA.	3550	
DE RECTP H - HAMLET RECORD.	3551	
DE RECTP V - VILLAGE RECORD.	3552	
DE THE FOLLOWING PERIODIC SET 1 CONTAINS THE NUMERIC RESPONSES TO X	3553	
DE HAMLET LEVEL QUESTIONS --QUARTERLY QUESTIONS ARE PREFIXED BY 'H' IN X	3554	
DE FIELD NAME --MONTHLY QUESTIONS ARE PREFIXED BY 'HM' --WHEN THE H X	3555	
DE DISREGARDED THE FIELD NAME CORRESPONDS TO THE HES HANDBOOK X	3556	
DE ORGANIZATION.	3557	
DE LD HDATE 4 C1 ALPHA MUMTS .	3558	
DE LD HPOINT 8 1 ALPHA.	3559	
DE LD HPOPUL 5 1 NUMER.	3560	
DE E HAMLET POPULATION.	3561	
DE LD HPERM 5 1 NUMER.	3562	
DE E PERMANENT HAMLET POPULATION.	3563	
DE LD HTEMP 5 1 NUMER.	3564	
DE E TEMPORARY HAMLET POPULATION.	3565	
DE LD HCAT 1 1 ALPHA.	3566	
DE E V INDICATES VC CONTROL.	3567	
DE E X INDICATES ABANDONED. .	3568	
DE E P INDICATED PLANNED.	3569	
DE E THE FOLLOWING HFLAG FIELDS ARE AVAILABLE FOR FUTURE EXPANSION. 3570		
DE LD HFLAG1 1 1 ALPHA.	3571	
DE LD HFLAG2 1 1 ALPHA.	3572	
DE LD HFLAG3 1 1 ALPHA.	3573	
DE LD HFLAG4 1 1 ALPHA.	3574	
DE LD HFLAG5 1 1 ALPHA.	3575	

ELD HFLAG6	1 1 ALPHA.	3576
ELD HFLAG7	1 1 ALPHA.	3577
ELD HFLAG8	1 1 ALPHA.	3578
ELD HFLAG9	1 1 ALPHA.	3579
ELD HFLAG10	1 1 ALPHA.	3580
FE R	- RURAL HAMLET - MARCH 1970 OR LATER DETERMINATION.	3581
FE U	- URBAN HAMLET - MARCH 1970 OR LATER DETERMINATION.	3582
DUP HFLAGS	HFLAG1 HFLAG2 HFLAG3 HFLAG4 HFLAG5 HFLAG6 HFLAG7 HFLAG8	3583
LAG9	HFLAG10.	3584
ELD HMA	2 1 ALPHA.	3585
ELD HMB	8 1 ALPHA.	3586
ELD HMC	4 1 ALPHA.	3587
ELD HMD	7 1 ALPHA.	3588
ELD HA	2 1 ALPHA.	3589
ELD HB	3 1 ALPHA.	3590
ELD HC	7 1 ALPHA.	3591
ELD HD	6 1 ALPHA.	3592
ELD HE	5 1 ALPHA.	3593
ELD HF	6 1 ALPHA.	3594
ELD HG	4 1 ALPHA.	3595
ELD HL	3 1 ALPHA.	3596
ELD HN	2 1 ALPHA.	3597
ELD HP	2 1 ALPHA.	3598
ELD HR	5 1 ALPHA.	3599
ELD HS	5 1 ALPHA.	3600
ELD HZ	6 1 ALPHA.	3601
DUP HMSCOR	HMA, HMB, HMC, HMD, HA, HB, HC, HD, HE, HF, HG, HL, HN, HP, HR, HS, HZ	3602
PHA.		3603
THE FOLLOWING PERIODIC SET 2 CONTAINS THE NUMERIC RESPONSES TO	X	3604
VILLAGE LEVEL QUESTIONS --QUARTERLY QUESTIONS ARE PREFIXED BY 'V' IN	X	3605
THE FIELD NAME --MONTHLY QUESTIONS ARE PREFIXED BY 'VM' --WHEN THE V	X	3606
IS DISREGARDED THE FIELD NAME CORRESPONDS TO THE HES HANDBOOK	X	3607
ORGANIZATION.		3608
ELD VDATE	4 C2 ALPHA MUNTS.	3609
ELD VPOINT	8 2 ALPHA.	3610
ELD VNHPOP	6 2 NUMER.	3611
THE NON HAMLET POPULATION RESIDING IN VILLAGE.		3612
ELD VHPOP	6 2 NUMER.	3613
THE TOTAL HAMLET POPULATION RESIDING IN VILLAGE.		3614
ELD VPERM	6 2 NUMER.	3615
THE TOTAL PERMANENT HAMLET POPULATION IN VILLAGE.		3616
ELD VTEMP	6 2 NUMER.	3617
THE TOTAL TEMPORARY HAMLET POPULATION IN VILLAGE.		3618
ELD VTPOP	6 2 NUMER.	3619
THE TOTAL HAMLET AND NON HAMLET POPULATION RESIDING IN VILLAGE.		3620
ELD VHCNT	2 2 NUMER.	3621
THE TOTAL NUMBER OF HAMLETS IN THE VILLAGE.		3622
ELD VCAT	1 2 ALPHA.	3623
FE V INDICATES VC CONTROL.		3624

THE A INDICATES ABANDONED.	3625
THE P INDICATED PLANNED.	3626
THE FOLLOWING VFLAG FIELDS ARE AVAILABLE FOR FUTURE EXPANSION.	3627
ELD VFLAG1 1 2 ALPHA.	3628
ELD VFLAG2 1 2 ALPHA.	3629
ELD VFLAG3 1 2 ALPHA.	3630
ELD VFLAG4 1 2 ALPHA.	3631
ELD VFLAG5 1 2 ALPHA.	3632
ELD VFLAG6 1 2 ALPHA.	3633
ELD VFLAG7 1 2 ALPHA.	3634
ELD VFLAG8 1 2 ALPHA.	3635
ELD VFLAG9 1 2 ALPHA.	3636
ELD VFLAG10 1 2 ALPHA.	3637
REP VFLAGS VFLAG1 VFLAG2 VFLAG3 VFLAG4 VFLAG5 VFLAG6 VFLAG7 VFLAG8	3638
VFLAG9 VFLAG10.	3639
ELD VMB 2 2 ALPHA.	3640
ELD VMC 2 2 ALPHA.	3641
ELD VB 5 2 ALPHA.	3642
ELD VC 6 2 ALPHA.	3643
ELD VD 6 2 ALPHA.	3644
ELD VE 7 2 ALPHA.	3645
ELD VF 7 2 ALPHA.	3646
ELD VG 3 2 ALPHA.	3647
ELD VL 3 2 ALPHA.	3648
ELD VN 5 2 ALPHA.	3649
ELD VP 4 2 ALPHA.	3650
ELD VR 3 2 ALPHA.	3651
ELD VT 6 2 ALPHA.	3652
ELD VZ 3 2 ALPHA.	3653
REP VMSCOR VMB,VMC,VB,VC,VD,VE,VF,VG,VL,VN,VP,VR,VT,VZ ALPHA.	3654
THE FOLLOWING PERIODIC SET 3 CONTAINS THE ALPHABETIC MODEL SCORES X	3655
WHICH HAVE BEEN DERIVED FROM THE NUMERIC RESPONSES PRESENTED IN SETS 1 X	3656
AND 2 *** IN RECIP H THE MODEL SCORES REFLECT EACH HAMLET'S UNIQUE X	3657
ALPHABETIC RESPONSES AS WELL AS THE PARENT VILLAGE NUMERIC RESPONSES--IE--X	3658
AND VILLAGE LEVEL QUESTION RESPONSES ARE APPLIED TO EACH SUBORDINATE X	3659
IN RECIP H. 3660	
THE RECIP V CONTAINS THE ALPHABETIC MODEL SCORES THAT HAVE BEEN X	3661
DERIVED FROM VILLAGE LEVEL NUMERIC SCORES PRESENTED IN SET 2 -- THE X	3662
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USER'S GUIDE  
TO THE  
HAMLET EVALUATION SYSTEM 1970  
(HES/70)

February 1970

Prepared by MACCORDS/RAD

HEADQUARTERS  
UNITED STATES MILITARY ASSISTANCE COMMAND VIETNAM  
APO SAN FRANCISCO 96222

CORDS-RAD/A

1 October 1970

TO: Distribution


SUBJECT: User's Guide to HES/70 - Errata Sheet

The following changes/additions should be made to the User's Guide to the Hamlet Evaluation System, dated February 1970.

a. Remove the current pages and insert new/revised/additional change 2 pages, as follows:

<u>Remove Page(s)</u>	<u>Insert Change 2 Page(s)</u>
Table of Contents	Table of Contents
List of Illustrations	List of Illustration
3 - 4	3 - 4
7 - 18	7 - 18
21 - 21a	21 - 21a
31 - 36	31 - 36
45 - 65	45 - 67
C1 - C48	C1 - C34
D9 - D10	D9 - D10
	F1 - F4

b. After the above changes have been entered, file this cover letter following the title page.

  
J. F. RUHSENBARGER  
LCDR USN  
HES Action Officer

Change 2

HEADQUARTERS  
UNITED STATES MILITARY ASSISTANCE COMMAND, VIETNAM  
APO SAN FRANCISCO 96222



CORDS-RAD/A

30 May 1970

TO: DISTRIBUTION

SUBJECT: User's Guide to HES/70 - Errata Sheet

The following changes/additions should be made to the User's Guide to the Hamlet Evaluation System dated February 1970.

a. Make the following pen and ink changes as indicated:

Page 12: Paragraph C, Report Contents and Labels: The fourth line should read: "The only difference among the pages is that population is shown in hundreds."

Page 26: Paragraph (3)(a), second line - Page Lable - should read: "Page Label".

Page 27: Paragraph 2.b.(3)(c), add the following sentence: "The change in percentage from September to October was +0.2%."

Page 40: Change page number to 66 - insert in proper place.

Page D-10: Option 1, Major Sequence: The codes are reversed and should read:

1 - Geopolitical level within model (i.e., RVN, CORPS, DTA within Security).

0 - Model within geopolitical level (i.e., Security, Political, Education within Corps).

b. Remove original pages and insert new/revised/additional Change 1 pages as follows:

REMOVE PAGE(S)

INSERT CHANGE 1 PAGE(S)

Table of Contents  
List of Illustrations

Table of Contents  
List of Illustrations

CORDS-RAD/A

30 May 1970

SUBJECT: User's Guide to HES/70 - Errata Sheet

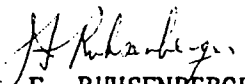
REMOVE PAGE(S)

21 & 22  
29 & 30  
39  
A-3  
-----  
D-11 & D-12  
-----

INSERT CHANGE 1 PAGE(S)

21, 21a & 22  
29 & 30  
39 thru 65  
A-3  
Add C47 & C48  
D-11 thru D-14  
Add E-1 thru E-8

- c. After the above changes have been entered, file this cover letter following the title page.

  
J. F. RUHSENBARGER  
LCDR USN  
HES Action Officer

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Forms and questions/responses employed in HES/70.

D. SCORING. Individual question responses are assigned weights provided by a group of individuals with pacification expertise at all levels of RVN pacification. The weighted responses are then statistically correlated to obtain Level 1 model scores. The Level 1 model scores are then combined using a standard reference table to obtain Level 2 model scores. The Level 2 model scores are also combined using a standard reference table to obtain Level 3 model scores. The Level 3 model scores are combined in the same fashion as the other levels to obtain the Level 4 Pacification model rating (see Figure 1 for a schematic layout of the level and model relationships).

The ratings obtained for each model at all levels are A, B, C, D, E, or N except for the overall Pacification model which can also be V. Hamlets/villages rated N had an insufficient number of responses entered. Hamlets/villages rated V in Pacification are considered to be under Viet Cong control. For descriptions of other ratings as they apply to the different models, see Annex C. Note that although a hamlet may be rated V in overall Pacification, the lower level models will be rated A, B, C, D, E, or N. (See Annex B for a more detailed description of the scoring technique and model relationships.)

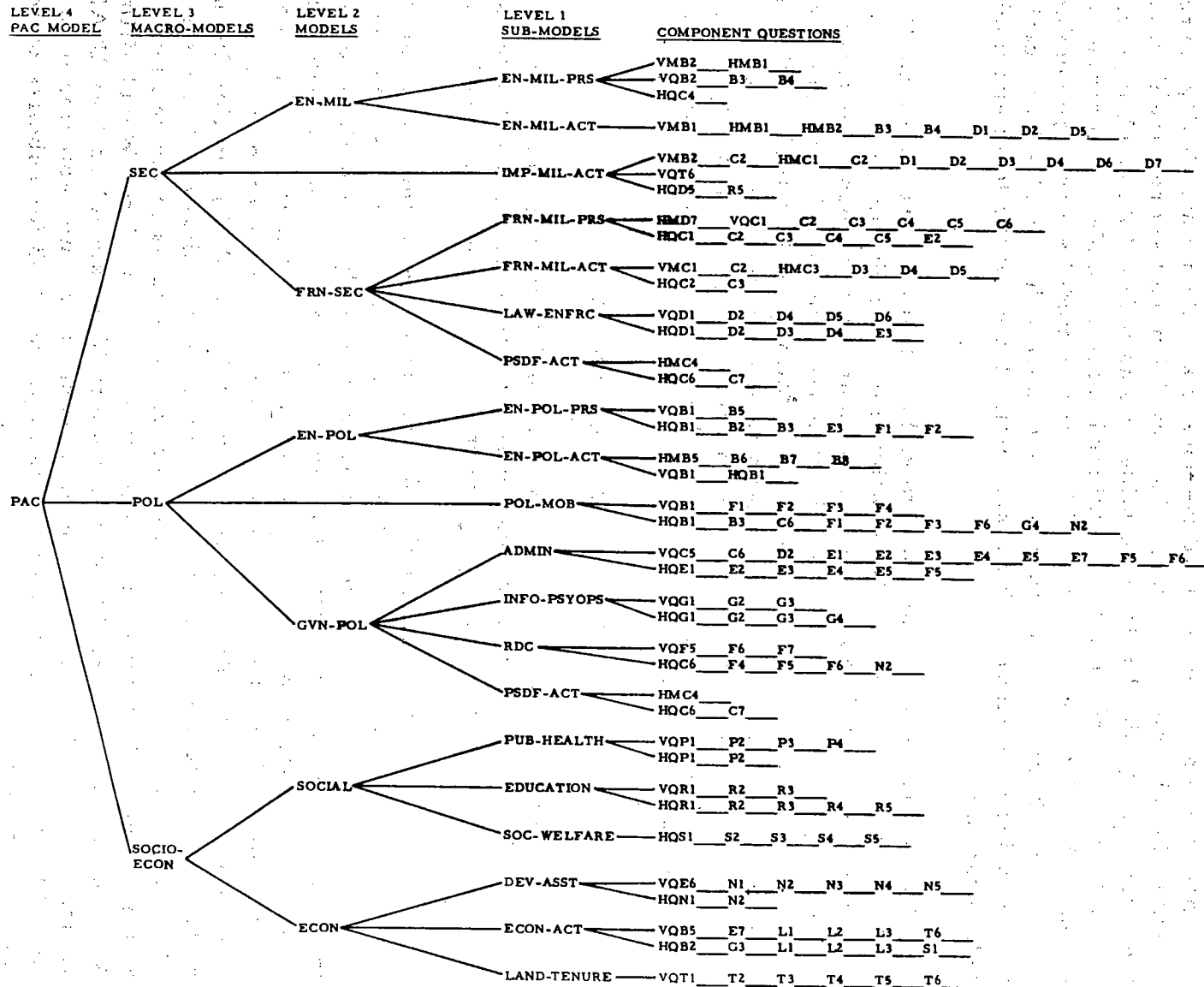
#### E. SYSTEM INFORMATION FLOW AND OPERATION.

1. DATA FLOW. The flow of HES/70 data through the system is shown in Figure 2. Data sources, update form completion and submission, data review and processing, and report distribution are discussed below.

a. Data Sources. HES/70 data originates at the hamlet and village level with information gathered from various sources. For numerous reasons, a DSA may not be able to personally obtain all necessary information for each hamlet and village every month, and therefore must rely to some extent on various field sources of information. Although most information is from the DSA's personal visits, other sources include interviews with Revolutionary Development Cadre (RDC) and Hoi Chanh, district and village chiefs, and GVN civilian and military field personnel who oversee various economic and security programs in the hamlets. In addition, the DSA obtains information from U.S. and Free World Military Assistance Forces (FWMAF) and civilian field personnel such as USAID advisors. The DSA collects data throughout the month, entering it on his HES/70 Village/Hamlet Ledger Cards in preparation for submission to MACCORDS/RAD.

FIGURE 1

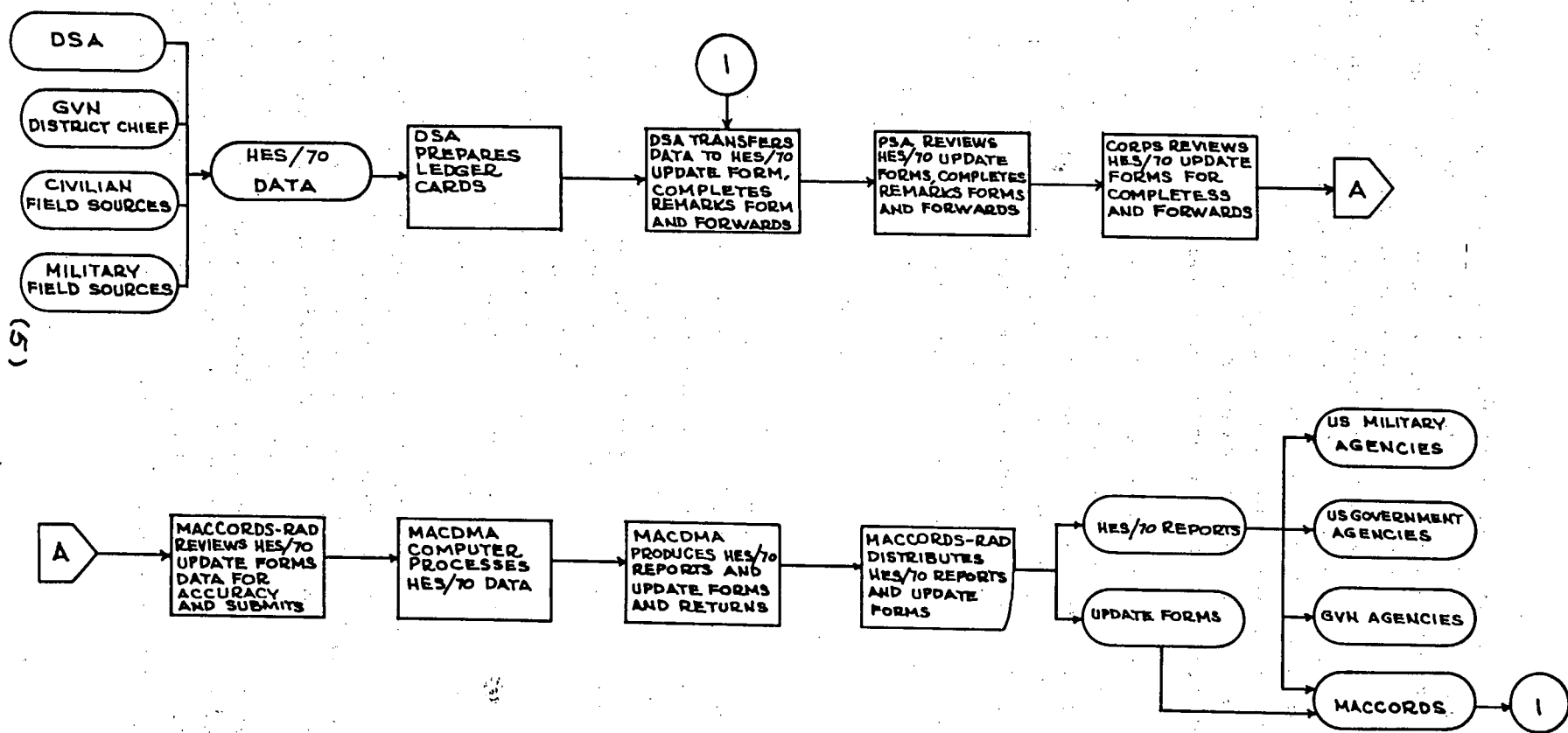
HES/70 MODELS, MODEL RELATIONSHIPS AND COMPONENT QUESTIONS



4

Change 2

FIGURE 2  
HES/70 INFORMATION FLOW



(5)

b. Update Form Completion and Submission. The DSA receives the HES/70 updating form around the middle of the month. All monthly responses are transferred to the updating forms (Section B) from the HES Ledger Cards. Every quarter, quarterly responses on the ledger cards are compared with those pre-printed on the updating form from the last submission. Current entries that differ from those given previously are transferred to the current updating form (Section C). The DSA also records any changes to hamlet data such as population, location, or name, and hamlet deletions, additions, or transfers. Any remarks or explanations of unusual changes are entered on the remarks page (Section A).

When all the data has been recorded on the updating form, the DSA signs in the DSA signature block, removes the last carbon copy for his records, and forwards the updating forms to the Province Senior Advisor (PSA). The forms are due at Province Headquarters on the first of the month. The PSA reviews the DSA's responses, enters any province comments, signs in the PSA signature block, removes the province copy of the updating form and submits the remaining forms to Corps Headquarters. Corps Headquarters reviews the forms, ensures that all province forms have been received, removes the corps copy of the updating forms and submits the original form to MACCORDS/Reports and Analysis Directorate (RAD) by the fifth of the month.

c. Data Review and Processing. Personnel assigned to the analysis staff of MACCORDS/RAD receive data from Corps Headquarters on approximately the fifth of the month. They perform a thorough review of the data for accuracy, and then format the DSA's responses for key-punching. The prepared data is then submitted, by the tenth of the month, to the MACV Data Management Agency (DMA) for data processing. On approximately the twelfth of the month, DMA produces the current month's updating forms, which are submitted back to the DSA to be updated during the next month's cycle. Numerous reports are also prepared and are ready for distribution by approximately the fifteenth of the month.

d. Report Distribution. Many of the reports are generated for users such as the U. S. Defense Intelligence Agency, the U. S. Department of State, CINCPAC, GVN Ministries, and various U. S. and FWMAF commands in Vietnam. In addition, HES/70 provides a data source for analysis in support of important activities such as the Paris Peace Conference. Similarly, HES/70 provides GVN and U. S. planners with ratings of those hamlets targeted for inclusion in the highly successful Accelerated Pacification Campaign (APC). HES/70 data is perhaps most extensively used in Headquarters MACV, especially throughout MACCORDS. It can be said that most, if not all,

management and analysis activities concerned with the pacification effort in RVN make use of some aspect of the HES/70 data.

F. SYSTEM MANAGEMENT AND ANALYSIS UTILITY. In order to provide maximum management utility to the wide range of users of HES/70 reports (various management and analysis levels of nearly all commands and agencies involved in the pacification efforts in RVN), HES/70 produces reports containing various levels of data detail and summarization. The utility of the system is further increased by the variety of reports produced, each of which presents a particular aspect of data. Thus, HES/70 data may be used to support a variety of management and analysis activities, including:

- a. Formulation of goals.
- b. Measurement of progress and identification of trends.
- c. Identification of problem areas.
- d. Allocation or reallocation of resources and measurement of effectiveness.
- e. Correlation with other data.

SECTION III  
SYSTEM REPORTS

This section contains a statement of the purpose and a description of each of the HES/70 reports, including their contents. A brief discussion of each report's utility, describing the nature of the management and analysis objectives that may be supported, is given for each report. A sample of each report has also been included. Major report elements and those elements requiring explanation are noted on the sample reports and are keyed, by paragraph number, to the content descriptions in the text. HES reports are classified CONFIDENTIAL unless otherwise noted, however the reports presented in this section are unclassified samples.

A. HES/70 SUMMARY REPORT.

1. REPORT PURPOSE. The HES/70 Summary Report was designed to summarize data for any HES/70 model at any geopolitical level above village level for any stated time span and calculate actual and percent change occurring in that time span.

2. REPORT DESCRIPTION. The report is comprised of two cover pages (see Figure A1) listing options and scoring models selected by the requestor, followed by the actual report(s) requested (see Figures A2 and A3). Each report is a matrix displaying the actual and change in distribution, plus the actual and change in percent of population, hamlets, or villages (as requested). One matrix type shows the actual figures for each HES/70 rating category, while another type shows the cumulative figures (each rating category being a summation of itself and all preceding categories). Any geopolitical level requested will produce the next lower geopolitical level as detail and all higher levels as separate data pages (i. e., requesting province will produce data pages with RVN/Corps detail, corps/province detail and selected province/district detail).

a. COVER PAGES (report format options and models selected).  
See Figure A-1.

(1) MAJOR SEQUENCE . . . : Major sort sequence; options are:

(a) Geopolitical level within selected model (i. e., RVN, CORPS, DTA summaries within the Security model).

(b) Selected Model within geopolitical level (i. e., Security, Political, Education model summaries at RVN level).

(2) SELECTED GEOPOLITICAL LEVEL . . . : Geopolitical level requested; options are:

- (a) RVN.
- (b) CORPS and above.
- (c) DTA and above.
- (d) PROVINCE and above.
- (e) PROVINCES within RVN only.
- (f) DISTRICTS within RVN only.

(3) CORPS SUMMARIES CONTAIN . . . : Corps detail option; options are:

- (a) DTA detail only (i. e., corps summaries with DTA detail).
- (b) Province and DTA detail (i. e., corps summaries with province detail and corps summaries with DTA detail).
- (c) Province detail only (i. e., corps summaries with province detail).

(4) GEOPOLITICAL LEVELS ARE GROUPED . . . : Minor sort sequence; determines sequencing of geopolitical levels within models (i. e., all corps, all provinces, and all districts or all districts within their respective province within their respective corps). Options are:

- (a) By equivalent level.
- (b) Hierarchically.

(5) PROVINCE SUMMARY REPORTS . . . : Province summary location; determines physical location of the province summary report. Opinions are:

- (a) Follow appropriate DTA summary.
- (b) Follow appropriate corps summary.
- (c) Follow appropriate corps and DTA summary.

(6) DISTRIBUTION SUMMARY SEQUENCE . . . : Distribution summary sequence; sequence option for the vertical axis of distribution summary reports. Options are:

- (a) Absolute percent descending-category X (any rating category).
- (b) Change percent descending-category X (any rating category).
- (c) USID number ascending.
- (d) Population count descending-category X (any rating category).

(7) CUMULATIVE DISTRIBUTION SUMMARY SEQUENCE . . . : Cumulative distribution sequence; sequence option for the vertical axis of cumulative distribution summary reports; options are:

- (a) Absolute percent descending-category X (any sequential combination of rating categories, i. e., A+B+C).
- (b) Change percent descending-category X (any sequential combination of rating categories i. e., A+B+C).
- (c) USID number ascending.
- (d) Population count descending-category X (any sequential combination of rating categories, i. e., A+B+C).

(8) VILLAGE DISTRIBUTION REPORT . . . : Village report; report summarizing the number of villages in each rating category; options are:

- (a) Included at each level.
- (b) Not included.

(9) HAMLET DISTRIBUTION REPORT . . . : Hamlet report; report summarizing the number of hamlets in each rating category; options are:

- (a) Included at each level.
- (b) Not included.

(10) POPULATION REPORT WITH NON-HAMLET POPULATION . . . : Non-hamlet population report option; report summarizing the amount of hamlet and non-hamlet population in each rating category; options are:

(a) Included at each level.

(b) Not included.

(11) POPULATION REPORT WITH NO NON-HAMLET POPULATION . . . : Population report option; report summarizing the amount of hamlet population in each rating category; options are:

(a) Included at each level.

(b) Not included.

(12) VILLAGE POPULATION REPORT . . . : Village population report option; report summarizing the amount of village population in each rating category (the village rating is a population-weighted average of each constituent hamlet rating and the non-hamlet population rating). Options are:

(a) Included at each level.

(b) Not included.

(13) DETAIL PERCENT LINE BASE . . . : Percent definition; determines what base will be used to determine percents. Options are:

(a) Percent of detail total.

(b) Percent of report level total.

(14) SCORING MODELS SELECTED FOR THIS REPORT / PACIFICATION: Model selection option; the model(s) selected from which data is to be included in the report (see Figure 1 for available models and their relationships).

b. REPORT HEADINGS (see Figure A2).

(1) HES/70: System name.

(2) SUMMARY REPORT: Report title.

(3) PACIFICATION: Model option; model data which is summarized in the report. The model is specified by the requestor. For available options, see Figure 1.

(4) DATA AS OF 30 SEP 69 WITH CHANGES FROM 31 AUG 69: Report date; from and through date of the report. The requestor may specify any two months, causing data for the most recent month to be printed followed by the computed changes in data from the comparison month. Normally current month and previous month are selected.

(5) AGGREGATION - ALL HAMLETS WITH NON-HAMLET POPULATION: Population report contents; type of summary report presented; for available options, see paragraphs 2. a. (10) and 2. a. (11).

(6) LEVEL - RVN: Summary level; the geopolitical level which the report summarizes. For available options, see paragraph 2. a. (2).

(7) ENCLOSURE 1: Report page delineator; delineates pages of a report dealing with the same geopolitical level within a model.

c. REPORT CONTENTS AND LABELS. (Distribution Summary Report.) The following description is for a Population Summary page. Hamlet Summary and Village Summary pages are identical in format. The only difference among the pages is that population is shown in hundreds while hamlets and villages are both shown in actual units. The data pages shown are for a summarization of the pacification model which is unique in that it includes a V category, whereas summarizations for other models do not include the V category. (See Figure A2.)

(1) POPULATION AND PERCENTAGE DISTRIBUTION: Summary option; defines the type of data and the method by which the selected model data will be summarized. This option is selected by the requestor. For available options, see paragraph 2. a. (8) through 2. a. (11).

(2) SEQUENCE - CHANGE PERCENT DESCENDING - CATEGORY A: Distribution summary sequence; sequence option defining the sort sequence for the vertical axis of the matrix. The sequence is selected by the requestor. For available options, consult paragraph 2. a. (6).

(3) CATEGORY A . . . CATEGORY N (horizontal axis): Rating categories; the HES/70 model rating categories (see Annex C for category definitions).

(4) I CORPS . . . IV CORPS (vertical axis): Summary sub-levels; the geopolitical sublevels immediately subordinate to the geopolitical level specified in the heading.

(5) DATA ELEMENTS:

(a) Each CATEGORY COLUMN contains the population and percent of population for the most recent month and the population change and change in percent from the selected comparison month for each geopolitical sublevel.

(b) Each SUBLEVEL ROW contains the population and percent of population for the most recent month and population change

and change in percent from the selected comparison month for each rating category (e. g., Category A for III Corps contains 97.7 thousand people which amounts to 1.8 percent of the population of III Corps; this amounted to a decrease of 4.8 thousand people and a decrease in the percentage in this category of 0.1).

(6) TOTALS (both vertical and horizontal): Row and column totals; these are the totals of each line of the matrix and are formatted and read the same as the individual data elements.

d. REPORT CONTENTS AND LABELS (Cumulative Distribution Summary Report): This report/matrix (see Figure A3) is identical to the first except that the data in the various rating categories (horizontal axis) are cumulative. That is, the data for each category is the total of that category plus all preceding categories. The sequencing of the vertical axis may be selected by the requestor as described in paragraph 2. a. (7) above.

3. SAMPLE REPORT: Sample HES/70 Summary Report pages are presented in Figures A1 through A3. Explanations of all report items are included in the figures and are keyed, by paragraph number, to Report Description elements in the previous paragraph.

4. REPORT UTILITY: This report can be used:

a. To provide management with gross population/village/hamlet trends at all geopolitical levels (e. g., RVN, CTZ, DTA, province and district).

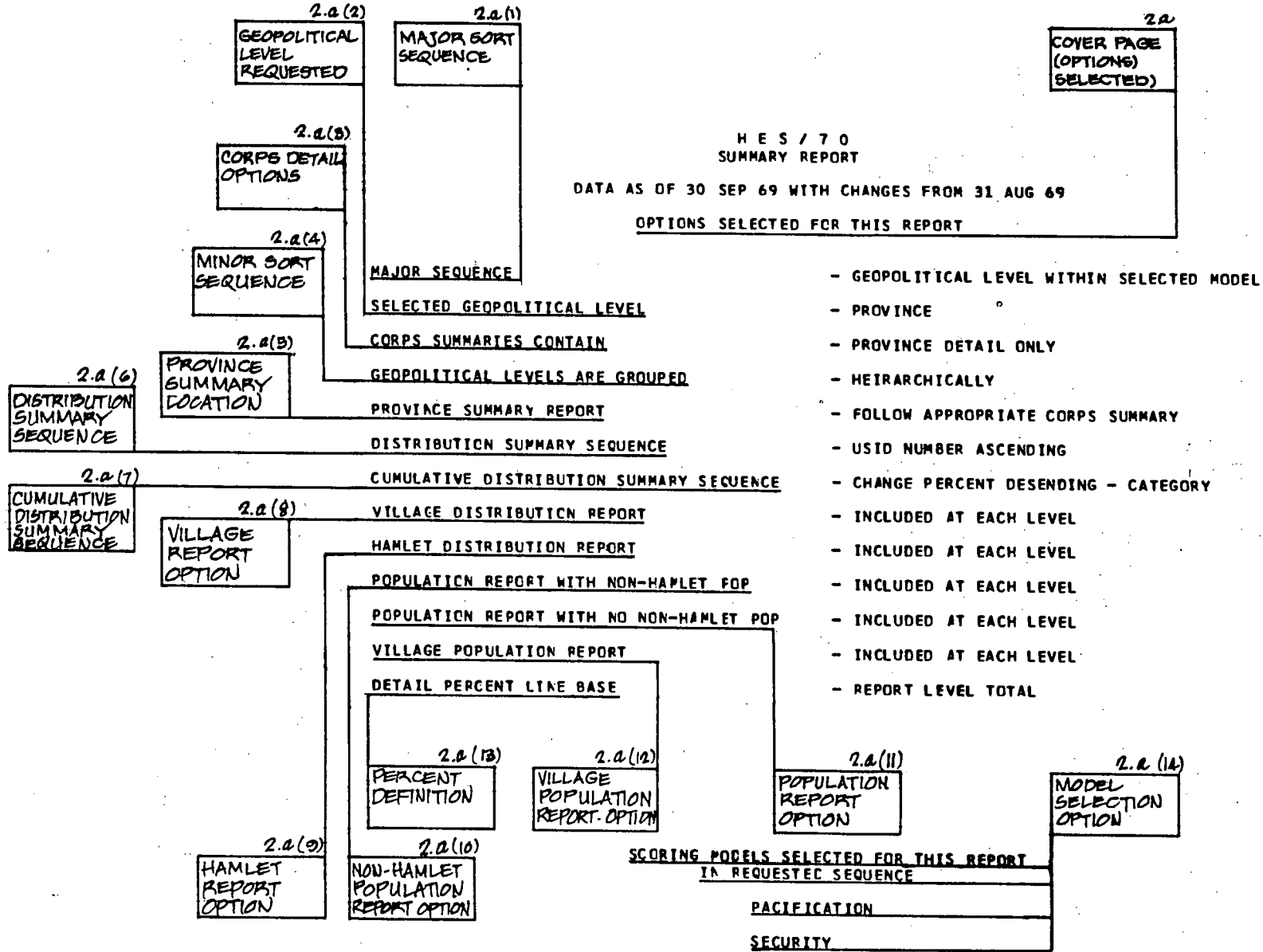
b. To rank order geopolitical entities with respect to their peers in order to ascertain areas of maximum flux.

c. To provide comparison capability in 4. a. and 4. b. above with respect to the primary measures of pacification -- political, security, and socio-economic.

## B. HES/70 INFORMATION REPORT.

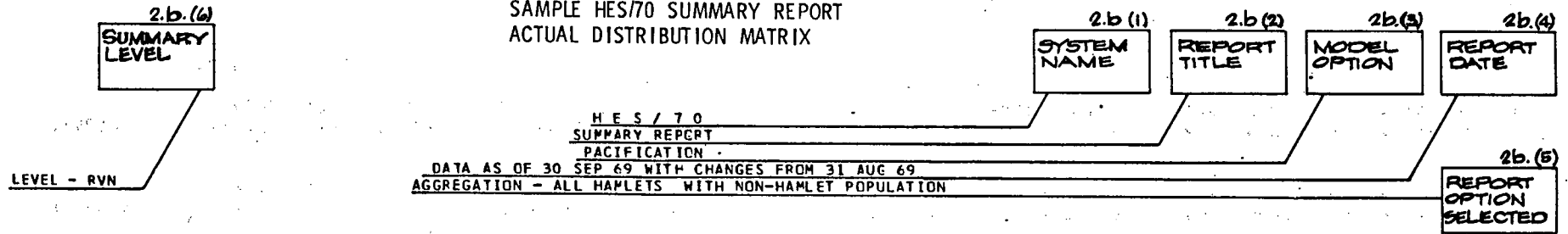
1. REPORT PURPOSE. The HES/70 Information Report provides a lexicon of all hamlets/villages/districts/provinces/corps in RVN, showing all basic descriptive data and the composite model ratings associated with each geopolitical unit.

FIGURE A-1  
SAMPLE HES/70 SUMMARY REPORT COVER PAGE



Change 2  
14

FIGURE A-2  
SAMPLE HES/70 SUMMARY REPORT  
ACTUAL DISTRIBUTION MATRIX



Change 2 /5

2.c.(4) SUMMARY SUBLEVELS

2.c.(1) SUMMARY OPTION

2.c.(2) SEQUENCE OPTION SELECTED

2.c.(3) RATING CATEGORIES

\*\*\* POPULATION (HUNDREDS) AND PERCENTAGE DISTRIBUTION \*\*\*  
SEQUENCE - USID

	CATEGORY A		CATEGORY B		CATEGORY C		CATEGORY D		CATEGORY E		CATEGORY N		CATEGORY V		TOTALS	
I CORPS	2229/ 7.6/	+364* +1.3*	17319/ 58.8/	+118* +0.4*	6224/ 21.1/	-663* -2.3*	2203/ 7.5/	+298* +1.0*	361/ 1.2/	-82* -0.3*	1443/ 4.7/	+33* +0.1*	718/ 2.4/	-67* -0.2*	30538/ 100.0/	-8 +0.0
II CORPS	2505/ 8.2/	+545* +1.7*	14569/ 15.9/	-980* -4.1*	8596/ 28.0/	+412* +0.9*	1954/ 6.5/	-127* -0.5*	235/ 0.7/	-39* -0.2*	535/ 3.0/	+655* +2.1*	118/ 0.4/	-25* -0.1*	31406/ 100.0/	+474 +0.0
III CORPS	3213/ 6.4/	+17* +0.0*	40268/ 80.1/	+225* +0.3*	4829/ 9.6/	+248* +0.5*	1864/ 3.7/	-266* -0.5*	9/ 0.0/	+0* +0.0*	855/ 1.5/	-33* -0.1*	78/ 0.1/	-83* -0.2*	56156/ 100.0/	+124 +0.0
IV CORPS	4270/ 7.2/	-388* -0.7*	31307/ 53.1/	+782* +1.2*	12260/ 20.8/	+398* +0.6*	7793/ 13.2/	-430* -0.8*	493/ 0.8/	-105* -0.2*	76/ 0.1/	+36* +0.0*	2936/ 4.9/	-91* -0.2*	59512/ 100.0/	+204 +0.0
TOTALS	12217/ 7.2/	+537* +0.3*	105463/ 62.2/	+145* -0.2*	31910/ 18.8/	+395* +0.1*	13854/ 8.2/	-525* -0.3*	1099/ 0.6/	-226* -0.1*	3309/ 1.9/	+691* +0.4*	3849/ 2.2/	-266* -0.1*	177613/ 100.0/	+794 +0.0

2.c.(3) DATA ELEMENTS

2.b.(7) REPORT PAGE 1 DELINEATOR

2.c.(6) ROW AND COLUMN TOTALS

ENCLOSURE 1

FIGURE A-3  
 SAMPLE HES/70 SUMMARY REPORT  
 CUMULATIVE DISTRIBUTION MATRIX

2.d  
 SUMMARY  
 OPTION

\*\*\* POPULATION (HUNDREDS) AND PERCENTAGE CUMULATIVE DISTRIBUTION \*\*\*  
 SEQUENCE - CHANGE PERCENT DECENDING - CATEGORY A+B+C

Change 2  
 /6

	CATEGORY A	* *	CATEGORY A+B	* *	CATEGORY A+B+C	* *	* *	CATEGORY A+B+C+D	* *	CATEGORY A+B+C+D+E	* *	CATEGORY A+B+C+D+E+V	* *	TOTALS *A+B+C+D+E+N+V	
IV CORPS	4270/ 7.2/	-388* -0.7*	35748/ 60.1/	+388* +0.5*	48141/ 80.9/	+792* +1.1*	*	56007/ 94.1/	+364* +0.3*	56500/ 94.9/	+259* +0.1*	59436/ 99.9/	+168* +0.0*	59512/ 100.0/	+204 +0.0
III CORPS	3213/ 5.7/	+17* +0.0*	48190/ 85.8/	+241* +0.2*	53159/ 94.7/	+507* +0.7*	*	55214/ 98.3/	+240* +0.2*	55223/ 98.3/	+240* +0.2*	55301/ 98.5/	+157* +0.1*	56156/ 100.0/	+124 +0.0
I CORPS	2229/ 7.3/	+364* +1.2*	15554/ 64.0/	+481* +1.6*	25781/ 84.4/	-181* -0.6*	*	28016/ 91.7/	+109* +0.3*	28378/ 92.9/	+27* +0.1*	29096/ 95.3/	-41* -0.1*	30538/ 100.0/	-8 +0.0
II CORPS	2505/ 8.0/	+545* +1.7*	19125/ 60.9/	-465* -2.4*	27981/ 89.1/	-52* -1.5*	*	30118/ 95.9/	-117* -1.8*	30353/ 96.6/	-156* -2.0*	30471/ 97.0/	-182* -2.1*	31406/ 100.0/	+474 +0.0
TOTALS	12217/ 6.9/	+537* +0.3*	122616/ 69.0/	+645* +0.0*	155062/ 87.3/	+1065* +0.2*	*	169355/ 95.4/	+595* +0.0*	170454/ 96.0/	+369* -0.2*	174304/ 98.1/	+108* -0.4*	177613/ 100.0/	+794 +0.0

ENCLOSURE 1

2. REPORT DESCRIPTION. This report (see Figures B1 and B2) contains all hamlets and villages or villages only (as requested) and all associated higher geopolitical levels in USID number sequence. Included with each entry is the associated descriptive data and computed ratings for each HES/70 model. The span of the report is requested by from and through USID number.

a. REPORT HEADINGS.

- (1) HES/70: System name.
- (2) INFORMATION REPORT: Report title.
- (3) 31 OCT 69: Report date; date of data contained in the report.
- (4) 432 - KIEN PHONG: Province ID and name; corps and province portion of the USID number followed by province name. The ID number is the one digit corps, two digit province portion of the nine digit USID (see Annex A, paragraph 25).
- (5) 01 - CAO LANH: District ID and name; the ID number is the two digit district portion of the nine digit USID (see Annex A, paragraph 25).

b. REPORT CONTENTS AND LABELS.

- (1) HAMLET DATA LINE (see Figure B1).
  - (a) USID HAMLET NAME / 0208 TINH CHAU: Hamlet ID and name; the ID number is the two digit village, two digit hamlet portion of the nine digit USID (see Annex A, paragraph 25).
  - (b) UTM / WS705535: Hamlet location; UTM coordinates of the center of the hamlet's population (see Annex A, paragraph 26).
  - (c) GVN SER / 245: GVN serial number; three digit number assigned to selected hamlets recognized by the GVN (see Annex A, paragraph 8).
  - (d) PREC AREA / R2: Precedence area; indicator denoting whether the hamlet is urban (U) or rural (R) and whether or not it is a precedence area (see Annex A, paragraphs 23, 24, 17, and 18).
  - (e) POPULATION / 930: Hamlet population.

(f) **MODEL RATINGS:** All of the HES/70 models for each model level are presented and formatted according to their relationship with one another. The HES/70 rating for each model is presented immediately below its heading, on line with the geopolitical level it is associated with. Ratings may be A, B, C, D, E, N, or V (the V category is used only in the Pacification model). See Annex C for rating category descriptions, and Figure 1 for HES/70 level and model hierarchy.

1 **PAC / B: P / B:** Pacification model rating; one letter rating for the Pacification model of the set A, B, C, D, E, N, V.

2 **SECURITY / B C/CC B/CBBC B:** Security model ratings; one letter rating for the Security model followed by ratings for subordinate level models.

3 **POLITICAL / B B/BB B/BCBB B:** Political model ratings; one letter rating for the Political model followed by ratings for subordinate level models.

4 **SOCIO-ECONOMIC / B C/CCB B/BBC:** Socio-economic model ratings; one letter rating for the Socio-Economic model followed by ratings for subordinate level models.

(g) **VILL ADM CNTR:** Village administrative center: denotes the hamlet in which the village administrative center is located.

(2) **VILLAGE DATA LINE** (see Figure B2):

(a) **02 TINH THOI:** Village ID and name; ID number is the two digit village portion of the nine digit USID (see Annex A, paragraph 25).

(b) **VILL NON-HAM POP / 7551:** Village non-hamlet population; population not living in or considered as living in hamlets comprising the village (see Annex A, paragraph 16). The model ratings on the line to the right are the village only model ratings which encompass the whole village land area. When non-hamlet population is present, these ratings are assigned to that group. Village only model ratings are determined by village level question responses only.

(c) **POPULATION / 13410:** Village population; a summation of the village's hamlet population plus non-hamlet population.

(d) **Model Ratings:** Village level model ratings; all of the HES/70 models for each model level are presented and formatted according

to their relationship with one another. The HES/70 rating for each model is presented immediately below its heading, on line with the geopolitical level it is associated with. Village level ratings are a population weighted average of each of its constituent hamlets' ratings plus the non-hamlet population rating.

4  
F  
1 PAC / B: Pacification model rating (see B.(1)(f)1 above).

2 SECURITY / B B/CB B/CBBB B: Security model ratings (see B. (1)(f)2 above).

3 POLITICAL / B B/BB B/BCBB B: Political model ratings (see B. (1)(f)3 above).

4 SOCIO-ECONOMIC / B C/CCB B/BBC: Socio-economic model ratings (see B. (1)(f)4 above).

(3) DISTRICT DATA LINE: District level data. The format and data presented is identical to the Village Data Line (see B. (2) above) except that all data is for the district level.

(4) PROVINCE DATA LINE: Province level data. The format and data presented is identical to the Village Data Line (see B. (2) above) except that all data is for the province level.

(5) CORPS DATA LINE: Corps level data. The format and data presented is identical to the village line (see B. (2) above) except that all data is for the corps level.

(6) RVN DATA LINE: National level data. The format and data presented is identical to the Village Data Line (see B. (2) above) except that all data is for the national level.

3. SAMPLE REPORT. Sample HES/70 Information Report data pages are presented in Figures B1 and B2. Explanations of all report items are included in the figure and are keyed, by paragraph number, to Report Description elements in the previous paragraph.

4. REPORT UTILITY. This report can be used to find descriptive data for any geopolitical level in RVN. It can also be used as an analytic tool for detailed research into the causes of trends developed in management reports and the identification of geographic or topical problem areas.

AIRTYPE

19

FIGURE B-1  
SAMPLE HES/70 INFORMATION REPORT

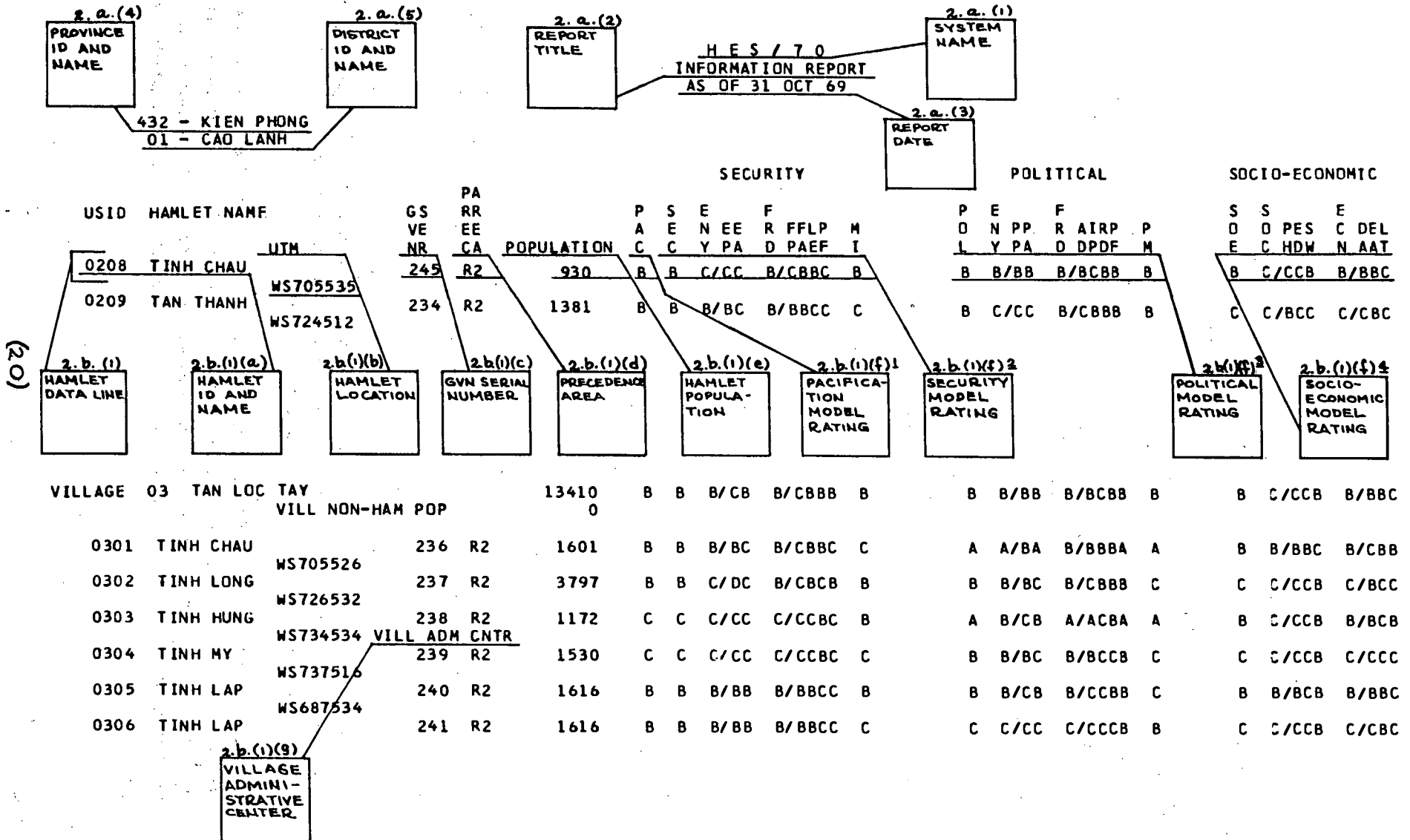


FIGURE B-2  
SAMPLE HES/70 INFORMATION REPORT

432 - KIEN PHONG  
01 - CAO LANH

H E S / 7 0  
I N F O R M A T I O N R E P O R T

USID	HAMLET NAME	UTM	GS VE NR	PA PR EE CA	POPULATION	SECURITY						POLITICAL				SOCIO-ECONOMIC		
						P A C	S E C	E N E E Y	F R P A	F F L P D	M I	P L	E Y	F P A	R A R I P D	P M	S O E	S P E S C H O W
VILLAGE	01 TAN TICH				11558	B	B	B/CC	B/BBCC	C	B	B/BB	B/BBBC	B	C	C/BCC	C/CCC	
	VILL NON-HAM POP				481	B	B	B/CC	B/BBBC	C	B	B/BB	B/BBBC	B	B	C/BBB	B/BBB	
2b. (2)	2b. (2)(a)	2b. (2)(b)			2b. (2)(c)	2b. (2)(d) 1	2b. (2)(d) 2	2b. (2)(d) 3	2b. (2)(d) 4									
VILLAGE DATA LINE	VILLAGE AND NAME	VILLAGE NON-HAMLET POPULATION			VILLAGE POPULATION	PACIFICATION MODEL RATINGS	SECURITY MODEL RATINGS	POLITICAL MODEL RATINGS	SOCIO- ECONOMIC RATINGS									
DISTRICT 01	CAO LANH				32754	B	B	B/CC	B/BBBC	C	B	B/BB	B/BBBL	B	B	C/BBB	B/BBB	
PROVINCE 32	KIEN PHONG				32754	B	B	B/CC	B/BBBC	C	B	B/BB	B/BBBC	B	B	C/BBB	B/BBB	
PROVINCE DATA LINE	DISTRICT DATA LINE																	

Change 2  
21

FIGURE B-3.  
SAMPLE HES/70 INFORMATION REPORT COVER PAGE

H E S / 70  
INFORMATION REPORT

COVER PAGE

THE FOLLOWING ARE DEFINITIONS OF THE HES/70 MODEL ABBREVIATIONS USED AS COLUMN HEADINGS ON THE INFORMATION REPORT

		SECURITY							POLITICAL							SOCIO-ECONOMIC														
P	A	S	E	E	F	F	F	L	P	M	P	E	P	F	A	I	R	P	P	S	S	P	E	S	E	D	E	L		
C		C	Y	P	A	D	P	A	E	F	L	Y	P	A	D	D	P	D	F	M	E	C	H	D	W	N	A	A	T	
SEC	SECURITY..	.	.	.	.	.	.	.	.	.	POL	POLITICAL.	.	.	.	.	.	.	.	.	SOE	SOCIO- ECONOMIC..	.	.	.	.	.	.	.	.
ENY	ENEMY MILITARY.....	.	.	.	.	.	.	.	.	.	ENY	ENEMY POLITICAL.....	.	.	.	.	.	.	.	.	SOC	SOCIAL.....	.	.	.	.	.	.	.	.
EP	ENEMY MILITARY PRESENCE.....	.	.	.	.	.	.	.	.	.	PP	ENEMY POLITICAL PRESENCE.....	.	.	.	.	.	.	.	.	PH	PUBLIC HEALTH.....	.	.	.	.	.	.	.	.
EA	ENEMY MILITARY ACTIVITY.....	.	.	.	.	.	.	.	.	.	PA	ENEMY POLITICAL ACTIVITY.....	.	.	.	.	.	.	.	.	ED	EDUCATION.....	.	.	.	.	.	.	.	.
FRD	FRIENDLY SECURITY.....	.	.	.	.	.	.	.	.	.	FRD	GVN PCLITICAL.....	.	.	.	.	.	.	.	.	SW	SOCIAL WELFARE.....	.	.	.	.	.	.	.	.
FP	FRIENDLY MILITARY PRESENCE.....	.	.	.	.	.	.	.	.	.	AD	ADMINISTRATION.....	.	.	.	.	.	.	.	.	DA	DEVELOPMENT ASSISTANCE.....	.	.	.	.	.	.	.	.
FA	FRIENDLY MILITARY ACTIVITY..	.	.	.	.	.	.	.	.	.	IP	INFORMATION/PSYOPS.....	.	.	.	.	.	.	.	.	EA	ECONOMIC ACTIVITY.....	.	.	.	.	.	.	.	.
LE	LAW ENFORCEMENT.....	.	.	.	.	.	.	.	.	.	RD	RD CADRE.....	.	.	.	.	.	.	.	.	LT	LAND TENURE.....	.	.	.	.	.	.	.	.
PF	PSDF ACTIVITY.....	.	.	.	.	.	.	.	.	.	PF	PSDF ACTIVITY.....	.	.	.	.	.	.	.	.										
MI	IMPACT OF MILITARY ACTIVITY.....	.	.	.	.	.	.	.	.	.	PM	POLITICAL MOBILIZATION.....	.	.	.	.	.	.	.	.										
PAC	PACIFICATION (THE OVERALL RATING ENCOMPASSING SECURITY, POLITICAL AND SOCIO-ECONOMIC).																													

Change 2

21a

## C. HAMLET/VILLAGE PLOT.

1. REPORT PURPOSE. The Hamlet/Village Plot is designed as a translucent overlay for RVN, corps, or province maps, showing the location of all rated hamlets/villages on actual plots, and all hamlets/villages showing changes during the requested time span on change plots.

2. REPORT DESCRIPTION. The Hamlet/Village actual plot (see Figure C1) is produced by plotting the UTM locations of all hamlets in a given province, corps or RVN. The hamlet/village rating category for each hamlet/village is printed at the map UTM location for each hamlet/village within the requested geopolitical level.

The Hamlet/Village Change Plot is produced by plotting the UTM locations of all hamlets/villages in a given province, corps or RVN that have experienced a change during the time period in the model or question being plotted. Special codes are plotted to show progression or regression and are explained in the legend of each plot.

Plots may be requested for any of the HES/70 models or any one of the HES/70 question responses. When more than one hamlet would print in the same UTM location area, an asterisk is printed. To determine the rating categories represented by the asterisk, the line number printed in the left-hand margin of the report is read and then the chart at the bottom of the plot is checked for the corresponding line code and rating categories. Village plots consist of plotting the average village rating at the UTM location of the Village Administrative Center. Two UTM coordinates with reference marks are given on the plot to facilitate overlaying the plot onto province maps.

Only the actual plot is described below as the change plot is identical in format and technique, being different only in content.

### a. REPORT HEADINGS:

- (1) PACIFICATION SCORE: Plot title.
- (2) 1/250,000: Plot scale.
- (3) PROVINCE GO CONG 430: Province name and ID number. ID number is 3 digit corps, province portion of the USID (see Annex A, paragraph 25).

(4) DECEMBER 31, 1968: Plot date; date of data plotted on the overlay.

b. REPORT CONTENT AND LABELS:

(1) A, B, C, D, E, AND V: Category rating plots; ratings of individual hamlets plotted. The hamlet ratings are plotted at the UTM coordinates of each hamlet's population center (see Annex C for model rating category definitions and Annex A, paragraph 26 for UTM definition).

(2) \*: Multiple symbol plot indicators; an asterisk is printed whenever two or more category ratings would overlap, if printed.

(3) 30, 29, 28, . . . 3, 2, 1: Print line numbers; these numbers are used to key asterisks to the category ratings they represent in the legend.

(4) + YS06 AND + YS63: Alignment marks and coordinates; the plus sign (+) is used to align the overlay to standard 1:250,000 scale maps. Two sets of UTM coordinates (YS06 and YS63) for the grid square are provided to facilitate positive map/overlay alignment.

(5) MULTIPLE SYMBOL LEGEND: Legend; this legend explains how the print line codes are used to identify the rating categories of those hamlets represented by asterisks.

(a) 27 BB: Multiple symbol plot indicator definitions; line code showing hamlets, represented by an asterisk on a given print line. The example in Figure F1 shows that two B hamlets are represented by the asterisk on line 27. Where two or more asterisks occur on a single print line, the left-most asterisk is the first defined in the legend, the next left-most is the second defined in the legend, and so on.

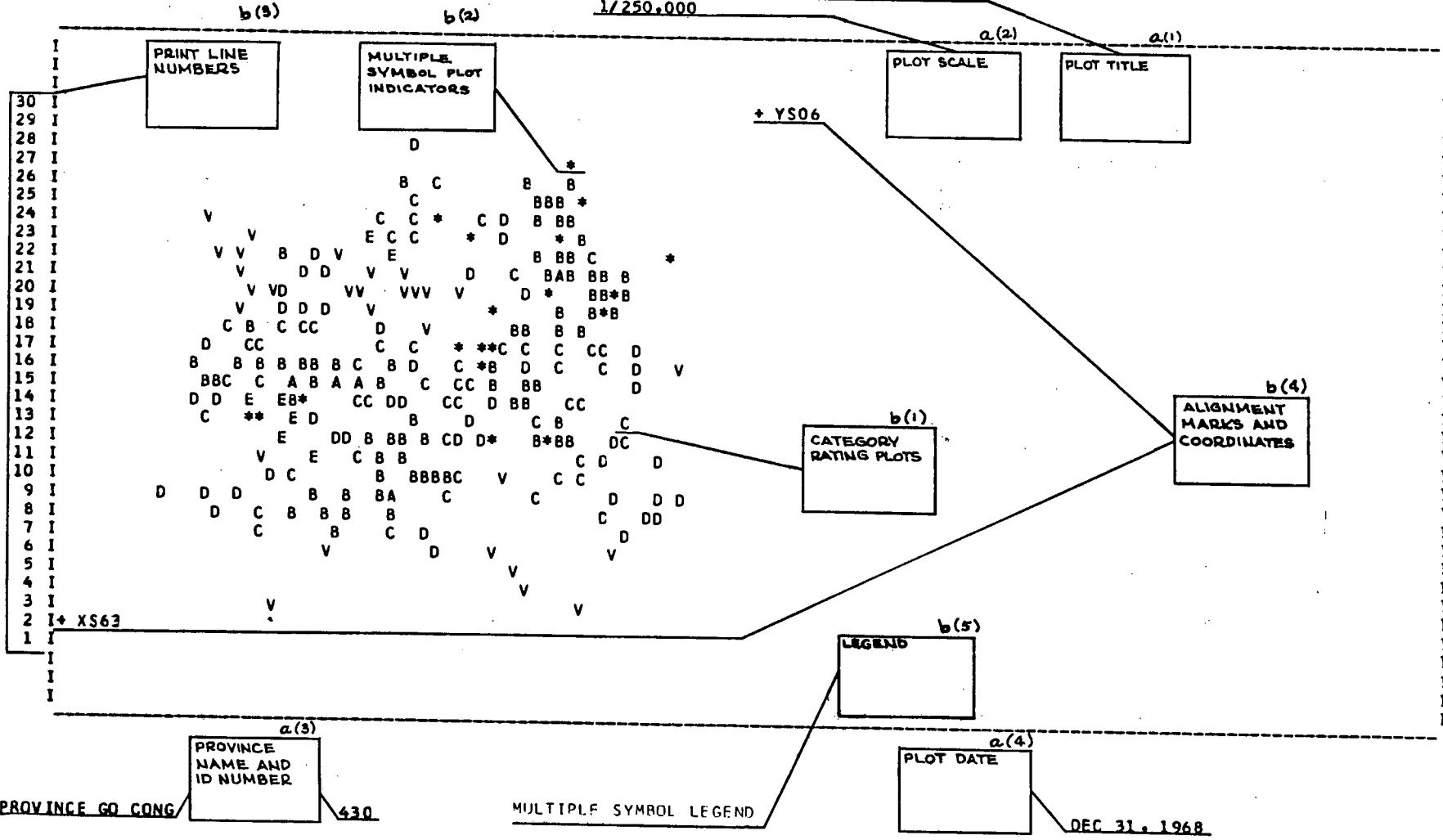
3. REPORT SAMPLE. Sample of the Hamlet Plot is displayed in Figure C1, which also contains explanations of report aspects that are not self-explanatory. Explanations are keyed, by paragraph number, to Report Description elements in the previous paragraph.

4. REPORT UTILITY. The Hamlet/Village Plot is useful in determining relationships between rating categories and geographic areas and features. In addition, the plot is useful as a display of hamlet density. It may be used as an analysis and management tool in planning operations or programs, allocating resources, defining geographic problem areas, and

FIGURE C-1

PACIFICATION SCORE  
1/250,000

SAMPLE HES/70 HAMLET/VILLAGE PLOT



ON THIS OVERLAY, SYMBOLS THAT WOULD PLOT ON THE SAME LOCATION ARE INDICATED WITH AN ASTERISK (\*). THIS LEGEND LISTS THESE MULTIPLE SYMBOLS.

LINE	CODES	LINE	CODES	LINE	CODES	LINE	CODES
27	BB	22	AA	17	BB	13	VV
25	BB	20	AA	17	BB	13	DV
24	CC	20	BB	17	BBB	12	DD
23	CC	19	DB	16	BB	12	CA
23	BB	19	BB	14	CB		

**b(5)**  
MULTIPLE SYMBOL PLOT INDICATOR DEFINITIONS

identifying those geographic areas in which more detailed analysis of HES/70 data is warranted. The Change Plot has the same uses as the Actual Plot with emphasis on changes (progression and regression).

#### D. MANAGEMENT SUMMARY REPORT.

1. REPORT PURPOSE. The Management Summary Report is designed to provide management personnel with a concise summation of the distribution of hamlets, villages and population in the HES/70 rating categories for each of the models at any major geopolitical level (i. e. district level and above).

2. REPORT DESCRIPTION. The report (see Figures D1, D2, and D3) consists of three sections summarizing data for each of the HES/70 models at any major geopolitical level requested. The data is displayed as the amount and/or percent (as requested) of population, hamlets and villages in each HES/70 rating category for any month selected. Changes from any selected comparison month are displayed in the Level 2, and Levels 3 and 4 summary sections.

##### a. REPORT HEADINGS.

- (1) HES/70: System name.
- (2) MANAGEMENT SUMMARY REPORT: Report title.
- (3) AS OF 31 OCT 69: Report date.
- (4) RVN: Summary level; geopolitical level for which data is being summarized.

##### b. REPORT CONTENTS AND LABELS.

(1) LEVEL 3 and 4 MODELS: Model levels summarized; the report section summarizing data from the Level 3 models and the one Level 4 model (see Figure D1).

(a) THE FOLLOWING REPRESENTS THE \*\*PERCENTAGE\*\* OF POPULATION HAMLETS AND VILLAGES IN EACH HES/70 RATING CATEGORY: Page label; describes the method of summation used in the section.

(b) SECURITY: Model name; name of the model being summarized.

1 A B C D E N: Rating categories; horizontal axis of the model matrix (see Annex C for rating category definitions).

2 POPULATION, HAMLETS, VILLAGES: Summation type; vertical matrix axis summarizing the number or percent of population, hamlets and villages in each rating category for the month identified in the heading, and for the specified comparison month.

a As Of Month Summary: Summary of population, hamlet and village data by rating category for the month specified in the report heading. The example in Figure D1 shows that in October, 51.8 percent of the RVN population was rated C in Security.

b CHANGES FROM SEPTEMBER: Comparison month summary; summary of population, hamlet and village change data from the comparison month identified. Increase (+) or decrease (-) from the comparison month are shown. The example in Figure D1 shows that the percentage of RVN population rated C in Security was 0.5 percent greater in October than in September.

(c) PACIFICATION, POLITICAL, SOCIO-ECONOMIC: Model names; level 3 and 4 model data is presented in the same format as the data for the Security model with the exception of the additional V rating category in the Level 4 Pacification model.

(2) LEVEL 2 MODELS: Model level summarized; the report section summarizing Level 2 model data. Data summarized in the Level 2 section is presented in the same format as the data for Levels 3 and 4 (paragraph 2. b. (1)). The only difference is that population, hamlet and village data in the Level 2 section summarizes the six Level 2 models rather than the four Level 3 and 4 models. (See Figure D2.)

(3) LEVEL 1 MODELS: Model level summarized; the section of the report summarizing Level 1 model data. (See Figure D3.)

(a) THE FOLLOWING REPRESENT THE \*\*PERCENTAGE\*\* OF \*\*POPULATION\*\* IN EACH HES/70 RATING CATEGORY: Page label describes the method of summation used and the data summarized in the section. NOTE: Separate report pages are produced for population, hamlet and village data in the Level 1 report section. These pages are produced in the same format. The Level 1 population report page is described herein.

(b) LEVEL 1 MODEL NAMES: Level 1 models; the vertical axis of the Level 1 model summary. The example in Figure D3 shows the Law Enforcement model.

(c) A B C D E N: Rating categories; the horizontal axis of the Level 1 model summary. The example in Figure D3 shows that 31.7 percent of the population within RVN was rated A in Law Enforcement in October. THE CHANGE IN PERCENTAGE FROM SEPTEMBER TO OCTOBER WAS +0.2%.

5  
F

3. SAMPLE REPORT. Sample Management Summary Report data pages are presented in Figures D1, D2 and D3. Explanations of all report items are included in the Figures and are keyed, by paragraph number, to Report Description elements in the previous paragraph.

4. REPORT UTILITY. The HES/70 Management Summary Report is useful in pacification management and analysis at district and higher levels. This report provides summary information that may be used to analyze progress, identify topical problem areas, and allocate resources in each of the functional pacification areas. The current status related to any of the models can be assessed by comparing the number or percent of hamlets, villages and population in each rating category. Changes from the selected comparison month data may be used to determine if progress is being made (i. e. , if the amount and percent of hamlets, villages and population in the higher rating category is increasing).

#### E. HAMLET EVALUATION SYSTEM UPDATING FORM.

1. REPORT PURPOSE. The Hamlet Evaluation System Updating Form provides the vehicle for updating HES information each month.

2. REPORT DESCRIPTION. The HES updating form (Figures E1, E2 and E3) consists of three sections: Section A contains the communications pages and hamlet roster, Section B contains monthly response blanks, Section C contains quarterly responses. All changes to be made to the HES data base are submitted on the updating form. Once the HES master file is updated, all report requests are produced from the new file. (For complete update procedures, reference the Hamlet Evaluation System Handbook - June 1969.)

##### a. REPORT HEADINGS.

(1) HAMLET EVALUATION SYSTEM UPDATING FORM:  
Report title.

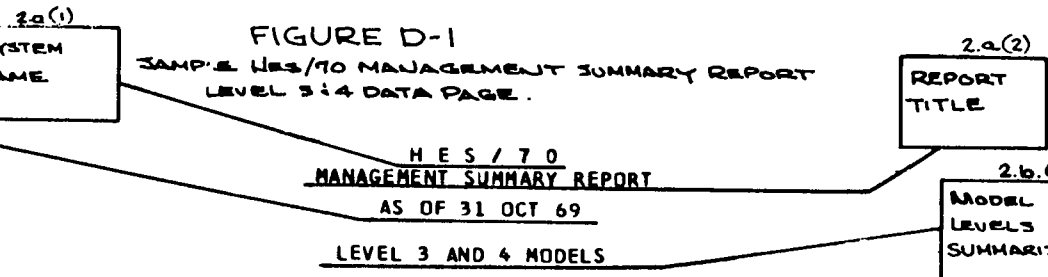


FIGURE D-1

SAMPLE HES/70 MANAGEMENT SUMMARY REPORT  
LEVEL 3 & 4 DATA PAGE.

HES / 70  
MANAGEMENT SUMMARY REPORT  
AS OF 31 OCT 69  
LEVEL 3 AND 4 MODELS

THE FOLLOWING REPRESENTS THE \*\* PERCENTAGE \*\* OF POPULATION,  
HAMLETS AND VILLAGES IN EACH HES/70 RATING CATEGORY.

		PACIFICATION					
		A	B	C	D	E	N
POPULATION		5.4	29.6	49.2	8.3	2.6	1.6
HAMLETS		4.6	28.4	50.3	9.2	3.1	1.4
VILLAGES		4.1	30.0	48.6	8.2	3.9	2.0
CHANGES FROM SEPTEMBER							
POPULATION		+0	+2	-.1	-.2	-.5	+1
HAMLETS		-.2	-.7	+3	+0	+0	+0
VILLAGES		-.1	+1	-.5	-.4	+1	+0

		SECURITY					
		A	B	C	D	E	N
POPULATION		6.6	32.7	51.8	6.4	1.3	1.2
HAMLETS		5.2	31.8	47.1	12.9	2.4	.6
VILLAGES		6.2	32.7	51.3	6.9	1.2	1.7
CHANGES FROM SEPTEMBER							
POPULATION		+4	+8	+5	+8	+0	-.4
HAMLETS		+3	+6	+7	+3	+2	+2
VILLAGES		-.1	+7	+0	+4	+2	-.3

		POLITICAL					
		A	B	C	D	E	N
POPULATION		5.4	28.4	52.7	7.8	1.4	4.3
HAMLETS		4.3	29.5	53.6	3.4	2.6	6.6
VILLAGES		5.3	32.9	49.3	7.9	1.4	3.2
CHANGES FROM SEPTEMBER							
POPULATION		+4	+7	+4	-.4	+0	-.1
HAMLETS		+3	-.6	+2	-.4	+1.0	-.1
VILLAGES		-.9	-.8	+3	+9	+2	+0

		SOCIO-ECONOMIC					
		A	B	C	D	E	N
POPULATION		4.3	31.4	48.6	11.2	3.4	1.1
HAMLETS		3.4	29.6	43.1	14.4	8.8	.7
VILLAGES		4.4	30.2	46.1	12.3	5.8	1.2
CHANGES FROM SEPTEMBER							
POPULATION		+4	+7	+4	+7	+0	+2
HAMLETS		+3	+5	+7	+4	+3	-.6
VILLAGES		-.2	+6	+0	+3	+2	+3

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FIGURE D-2

## SAMPLE HES/70 MANAGEMENT SUMMARY REPORT LEVEL 2 DATA PAGE

H E S / 7 0  
MANAGEMENT SUMMARY REPORT  
AS OF 30 APR 70

r.b. (2)  
MODEL  
LEVEL  
SUMMARIZED

LEVEL 2 MODELS

TRUC GIANG

TRUC GIANG

THE FOLLOWING REPRESENTS THE \*\* PERCENTAGE \*\* OF POPULATION,  
HAMLETS AND VILLAGES IN EACH HES/70 RATING CATEGORY.

	E N E M Y M I L I T A R Y							F R I E N D L Y S E C U R I T Y					
	A	B	C	D	E	N		A	B	C	D	E	N
POPULATION	6.6	32.7	51.8	6.4	1.3	1.2	POPULATION	5.4	28.4	52.7	7.8	1.4	4.3
HAMLETS	5.2	31.8	47.1	12.9	2.4	.6	HAMLETS	4.3	29.5	53.6	3.4	2.6	6.6
VILLAGES	6.2	32.7	51.3	6.9	1.2	1.7	VILLAGES	5.3	32.9	49.3	7.9	1.4	3.2
	CHANGES FROM MARCH							CHANGES FROM MARCH					
POPULATION	+4	+8	+5	+8	+0	-4	POPULATION	+4	+7	+4	-4	+0	-1
HAMLETS	+3	+6	+7	+3	+2	+2	HAMLETS	+3	-6	+2	-4	+1.0	-1
VILLAGES	-1	+7	+0	+4	+2	-3	VILLAGES	-9	-8	+3	+9	+2	+0
	E N E M Y P O L I T I C A L							G V N P O L I T I C A L					
	A	B	C	D	E	N		A	B	C	D	E	N
POPULATION	6.6	32.7	51.8	6.4	1.3	1.2	POPULATION	4.3	29.5	53.6	3.4	2.6	6.6
HAMLETS	5.2	31.8	47.1	12.9	2.4	.6	HAMLETS	5.4	28.4	52.7	7.8	1.4	4.3
VILLAGES	4.4	30.2	46.1	12.3	5.8	1.2	VILLAGES	5.3	32.9	49.3	7.9	1.4	3.2
	CHANGES FROM MARCH							CHANGES FROM MARCH					
POPULATION	+4	+8	+5	+8	+0	-4	POPULATION	+3	-6	+2	-4	+1.0	-1
HAMLETS	+3	+5	+7	+4	+3	-6	HAMLETS	-9	-8	+3	+9	+2	+0
VILLAGES	-1	+7	+0	+4	+2	-3	VILLAGES	+4	+7	+4	-4	+0	-1
	S O C I A L							E C O N O M I C					
	A	B	C	D	E	N		A	B	C	D	E	N
POPULATION	4.3	31.4	48.6	11.2	3.4	1.1	POPULATION	5.3	32.9	49.3	7.9	1.4	3.2
HAMLETS	3.4	29.6	43.1	14.4	8.8	.7	HAMLETS	5.4	28.4	52.7	7.8	1.4	4.3
VILLAGES	4.4	30.2	46.1	12.3	5.8	1.2	VILLAGES	4.3	29.5	53.6	3.4	2.6	6.6
	CHANGES FROM MARCH							CHANGES FROM MARCH					
POPULATION	+4	+7	+4	+7	+0	+2	POPULATION	-9	-8	+3	+9	+2	+0
HAMLETS	+3	+5	+7	+4	+3	-6	HAMLETS	+4	+7	+4	-4	+0	-1
VILLAGES	-2	+6	+0	+3	+2	+3	VILLAGES	+3	-6	+2	-4	+1.0	-1

CHANGES 1

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FIGURE D-3

## SAMPLE HES/70 MANAGEMENT SUMMARY REPORT LEVEL 1 DATA PAGE

RVN

HES / 70  
MANAGEMENT SUMMARY REPORT  
AS OF 31 OCT 692.b.(3)(a)  
PAGE  
LABEL

RVN

THE FOLLOWING RATINGS REPRESENT THE PERCENTAGE OF POPULATION RATED A, B, C, D, E OR N FOR EACH OF THE HES/70 LEVEL 1 MODELS.  
CHANGES ARE FROM SEPTEMBER 1969

## LEVEL 1 MODELS

	A		B		C		D		E		N	
	POP	CHG	POP	CHG	POP	CHG	POP	CHG	POP	CHG	POP	CHG
ENEMY MILITARY PRESENCE	36.8/	+1	8.5/	-1	37.9/	+1	11.7/	-2	4.9/	-1	0.0/	0.0
ENEMY MILITARY ACTIVITY	58.7/	+1	21.5/	+2	10.5/	-2	4.9/	-1	4.1/	-1	0.0/	0.0
IMPACT OF MILITARY ACTIVITY	67.6/	+3	11.3/	-1	14.0/	-1	4.0/	-1	2.9/	-2	0.0/	0.0
FRIENDLY MILITARY PRESENCE	42.0/	0.0	38.4/	+2	13.3/	-1	2.1/	-2	3.9/	-1	0.0/	0.0
FRIENDLY MILITARY ACTIVITY	65.1/	0.0	16.1/	+1	8.9/	-2	7.0/	+1	2.6/	0.0	0.0/	0.0
LAW ENFORCEMENT	31.7/	+2	42.2/	+1	20.5/	+1	2.0/	-1	3.3/	-1	0.0/	-1.2
PODP ACTIVITY	24.2/	-1	36.7/	+1	22.0/	+1	4.6/	-2	8.8/	+1	3.4/	+3.4
ENEMY POLITICAL PRESENCE	48.0/	+3	20.8/	-1	17.9/	-2	5.3/	+1	6.3/	+1	1.4/	+1.4
ENEMY POLITICAL ACTIVITY	53.8/	+1	29.6/	+2	6.3/	+1	2.9/	-3	6.3/	+1	0.9/	+9
POLITICAL MOBILIZATION	19.9/	-1	30.0/	+1	33.9/	+2	8.2/	-1	7.7/	0.0	0.0/	0.0
ADMINISTRATION	56.7/	+1	16.9/	+1	13.5/	-1	3.3/	0.0	9.2/	-2	0.0/	0.0
INFORMATION/PSYOP'S	5.3/	0.0	18.4/	-1	20.8/	+1	21.3/	+1	33.9/	+2	0.0/	0.0
RD CADRE ACTIVITY	8.2/	-1	26.2/	+2	30.0/	+2	19.4/	+1	14.0/	+1	1.9/	+1.9
PUBLIC HEALTH	8.2/	0.0	13.5/	+1	34.9/	+1	14.0/	+1	11.3/	-1	0.0/	0.0
EDUCATION	13.1/	+2	22.3/	-2	42.2/	+3	13.1/	-1	9.2/	-1	0.0/	-3
SOCIAL WELFARE	17.4/	-1	21.8/	+1	7.7/	-1	16.5/	-1	22.3/	+1	14.0/	+14.0
DEVELOPMENT ASSISTANCE	20.3/	+1	29.1/	+2	21.8/	+1	8.7/	-1	19.9/	+1	0.0/	0.0
ECONOMIC ACTIVITY	9.7/	0.0	16.5/	-1	22.3/	+1	23.3/	+1	28.1/	+1	0.0/	0.0
LAND TENURE	17.9/	+2	33.0/	+2	16.0/	+1	16.0/	0.0	2.9/	-1	14.0/	+14.0

2.b.(3)(b)  
LEVEL 1  
MODELSRATING  
CATEGORIES30  
CHANGE 1

(2) FOR 28 FEBRUARY 1970 REPORT: Date of data to be entered.

(3) PROVINCE - 101 - QUANG TRI: Province ID and name; three digit province portion of the nine digit USID number (see Annex A, paragraph 25).

(4) DISTRICT - 01 - HAI LANG: District ID and name; two digit district portion of the nine digit USID number (see Annex A, paragraph 25).

(5) SECTION A - COMMUNICATIONS: Section designator and description; (may also be Section A - Hamlet Roster, Section B - Monthly Report, or Section C - Quarterly Update).

b. REPORT CONTENTS AND LABELS (SECTION A - COMMUNICATIONS): See Figure E1.

(1) INSTRUCTIONS: General instructions for completion of updating forms; special instructions from MACCORDS-RAD pertaining to completion/submission of updating forms.

(2) REMARKS FROM MACCORDS-RAD: Specific instructions / comments to selected corps, provinces and districts.

(3) A second page (not shown in this description) is produced for the PSA and DSA to enter their remarks to MACCORDS-RAD and their signature. Space is also provided to enter changes to the name, rank or DEROS of the PSA and DSA.

c. REPORT CONTENTS AND LABELS (SECTION A - HAMLET ROSTER). See Figure E2.

(1) 0100 - VILLAGE - HAI AN: Village ID and name; two digit village portion of the nine digit USID number (see Annex A, paragraph 25).

(2) HES HAMLET ID NUMBER / 0102: Village and hamlet ID number; four digit village and hamlet portion of the nine digit USID number (see Annex A, paragraph 25).

(3) HAMLET NAME / MY THUY: Hamlet name.

(4) GVN SERIAL NUMBER / 002: GVN serial number; 3-digit number assigned to selected hamlets recognized by the GVN (see Annex A, paragraph 8).

(5) UTM COORDS / CQ200347: UTM Coordinates; UTM coordinates of the hamlet population center (see Annex A, paragraph 26).

(6) PERMANENT RESIDENTS / 1048: Number of permanent residents; number of persons permanently residing in the hamlet.

(7) TEMPORARY RESIDENTS / 0: Number of temporary residents; number of persons temporarily residing in the hamlet.

(8) REMARKS / IN PLACE: Administrative status; each hamlet is annotated as to whether it is 'IN PLACE' meaning it is administered by the village government in which it is physically located; 'NOT CENSUSED' meaning it is not recognized as a hamlet by the GVN and therefore is not administered by a village government; or 'CENSUSED WITH (village USID)' meaning it is administered by the village government of the village noted, not by the government of the village in which it is physically located. (Blank indicates that the administrative status is unreported or in the case of abandoned hamlets not applicable).

(9) NON-HAMLET POPULATION / 0: Number of persons in the village not living in or considered as living in hamlets (see Annex A, paragraph 16).

(10) EXPLANATION OF NON-HAMLET POP / (blank): Non-hamlet population components.

d. REPORT CONTENTS AND LABELS (SECTION B - MONTHLY REPORT). This section is completely re-accomplished each month. (See Figure E3.)

(1) HES VILLAGE ID NUMBER / 0100: Village ID; four digit village and hamlet portion of the nine digit USID number (see Annex A, paragraph 25).

(2) VILLAGE NAME / HAI AN: Village Name.

(3) EN ACT / 1 2: Enemy activity; village level responses to questions concerning enemy activity (see Appendix 1 to Annex B of the HAMLET EVALUATION SYSTEM HANDBOOK).

(4) FR ACT / 0 0: Friendly activity; village level responses to questions concerning friendly activity.

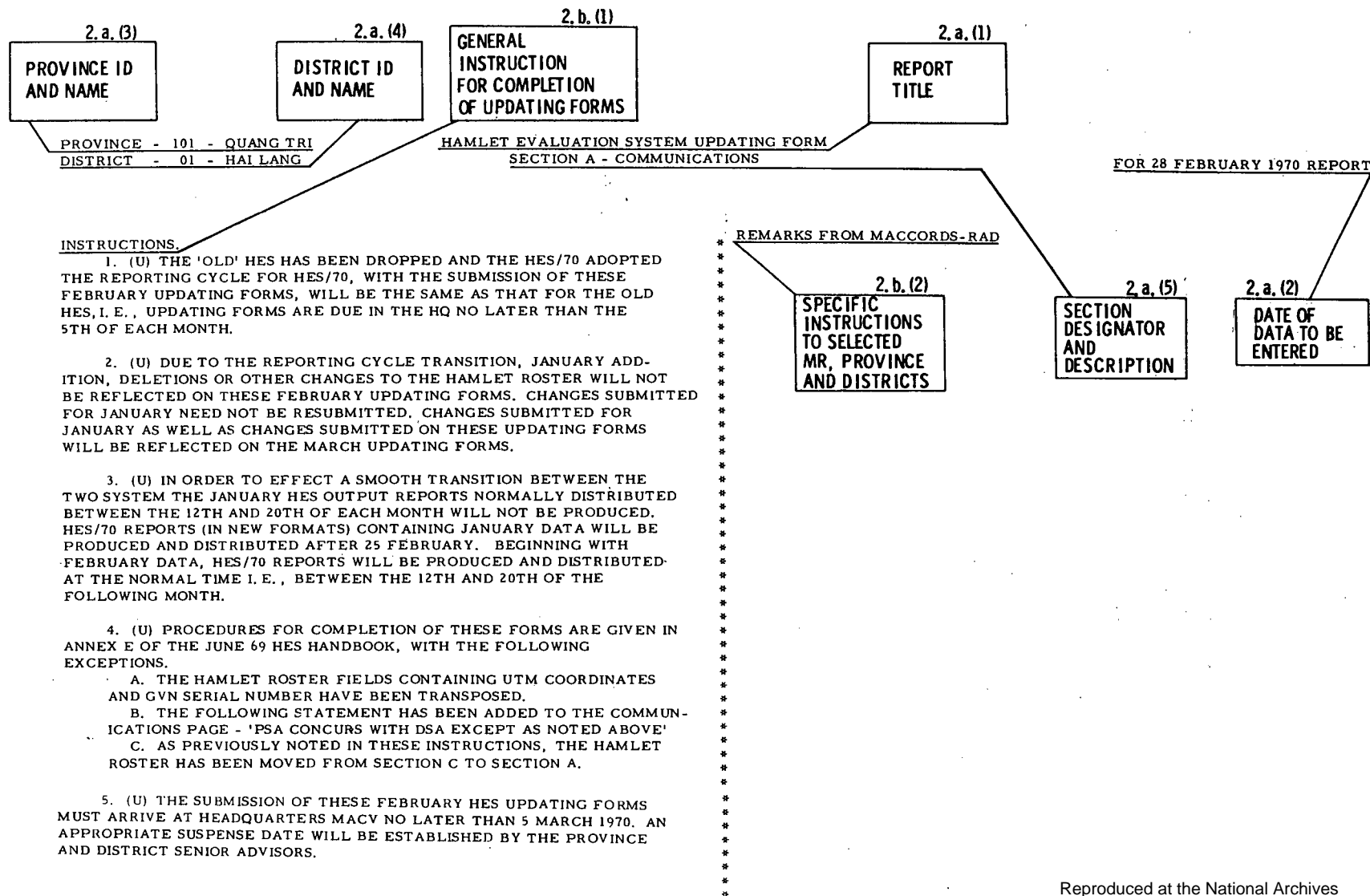
(5) HES HAMLET ID NUMBER / 0102: Hamlet ID; four digit village and hamlet portion of the nine digit USID number (see Annex A, paragraph 25).

(by topic groups). The previous responses are pre-printed and retained unless changes are entered by the DSA. (See Appendix 1 to Annex C of the HAMLET EVALUATION SYSTEM HANDBOOK for village quarterly questions.)

3. **SAMPLE REPORT.** Sample HES/70 Updating Form data pages are presented in Figures E1 through E5. Explanations of all report items are included in the figures and are keyed, by paragraph number, to report description elements in the previous paragraph.

4. **REPORT UTILITY.** Since the Hamlet Evaluation System Updating Form is an updating (data collection) form, it is not generally used as a report. However, DSAs and PSAs may make use of their carbon copies as a reference for updating information and for managing pacification resource allocation details at the district and province levels.

FIGURE E-1  
SAMPLE HES/70 UPDATING FORM  
SECTION A COMMUNICATIONS



35  
CHANGE 2

FIGURE E-2  
SAMPLE HES/70 UPDATING FORM SECTION A-HAMLET ROSTER

PROVINCE - 210 - PHU YEN  
DISTRICT - 02 - HIEU XUONG

( ) 0100 - VILLAGE - HAI AN

2C. (a)  
VILLAGE  
ID AND  
NAME

\*\*\*\*\* HAMLET ROSTER \*\*\*\*\*

HES HAMLET ID NUMBER	HAMLET NAME	GVN SERIAL NUMBER	UTM COORDS	*-----POPULATION-----*		REMARKS
				PERMANENT RESIDENTS	TEMPORARY RESIDENTS	
0101	NAM BINH	072	CQ183358	1025	0	IN PLACE
0102	MY THUY	002	CQ200347	1048	0	IN PLACE
0103	BAN KHAM	074	CQ203345	1940	0	NOT CENSUSED
0104	BAN THACH	075	CQ212340	1589	0	IN PLACE
0105	PHUCC LLONG	076	CQ212348	728	0	CENSUSED WITH 210020500
0106	HIEP DCNG	077	CQ220345	511	0	IN PLACE
0107	THACH TUAN	078	CQ227335	1628	0	IN PLACE
0109	PHU KHE	080	CQ217326	400	0	IN PLACE

2C. (a)  
VILLAGE  
AND  
HAMLET  
ID

2C. (b)  
HAMLET  
NAME

2C. (c)  
GVN  
SERIAL  
NUMBER

2C. (d)  
UTM  
COORDIN-  
ATES

2C. (e)  
NUMBER  
OF PERMAN-  
RESIDENTS

2C. (f)  
NUMBER  
OF TEMPOR-  
ARY RESID-  
ENTS

2C. (g)  
ADMINISTR-  
ATIVE STATUS

2C. (h)  
NON HAMLET  
POPULATION

0100	NON-HAMLET POPULATION	C
TOTAL VILLAGE POPULATION	5050	

EXPLANATION OF  
NON-HAMLET POP.

2C. (i)  
NON HAMLET  
POPULATION  
COMPONENTS

FIGURE E-3  
 SAMPLE HES/70 UPDATING FORM  
 SECTION B MONTHLY REPORT

PROVINCE - 101 - QUANG TRI  
 DISTRICT - 01 - HAI LANG  
 VILLAGE NAME - HAI-AN

HAMLET EVALUATION SYSTEM UPDATING FORM  
 SECTION B - MONTHLY REPORT

FOR 28 FEBRUARY 1970 REPORT

RECORD IN THE BOXES PROVIDED, THE ANSWERS TO THE HES QUESTIONS REQUIRING MONTHLY RESPONSE FOR THE VILLAGE.

HES VILLAGE		*MB - *MC - *	*EN ACT	*FR ACT *
IC NUMBER	VILLAGE NAME	* 1 . 2	* 1 . 2 *	
G100	HAI-AN	* 1 : 2 *	* 0 : 0 *	
2.d.(1)	2.d.(2)	2.d.(3)	2.d.(4)	
VILLAGE ID	VILLAGE NAME	ENEMY ACTIVITY	FRIENDLY ACTIVITY	

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RECORD IN THE BOXES PROVIDED BELOW, THE ANSWERS TO THE HES QUESTIONS REQUIRING MONTHLY RESPONSE FOR EACH HAMLET IN THE VILLAGE.

HES HAMLET		*MA - *MB - *	*MC - FRIENDLY *MD - *
ID NUMBER	HAMLET NAME	*GENERAL *ENEMY ACTIVITIES	*ACTIVITIES *IMPACT OF ACTIVITIES
		* 1 . 2 *	* 1 . 2 . 3 . 4 . 5 . 6 . 7 . 8 *
0101	TAN-AN	* 1 : 3 *	* 0 : 0 : 1 : 0 : 0 : 1 : 0 : 0 *
0102	MY-THLY	* 1 : 1 *	* 0 : 1 : 1 : 0 : 0 : 0 : 0 : 0 *
0103	THLAN-CAU	* 1 : 1 *	* 0 : 0 : 1 : 0 : 1 : 0 : 0 : 0 *
2.d.(5)	2.d.(6)	2.d.(7)	2.d.(8)
HAMLET ID	HAMLET NAME	GENERAL	ENEMY ACTIVITIES
			FRIENDLY ACTIVITIES
			MILITARY ACTIVITY IMPACT



FIGURE E-5

SAMPLE HES/70 UPDATING FORM SECTION C - QUARTERLY UPDATE VILLAGE DATA

2.e.(2)(a)  
VILLAGE NAME

PROVINCE - 101 - QUANG TRI  
DISTRICT - 01 - HAI LANG

HAMLET EVALUATION SYSTEM UPDATING FORM  
SECTION C - QUARTERLY UPDATE

FOR 28 FEBRUARY 1970 REPORT

( ) 0100 - VILLAGE - HAI-AN

CHECK THE BOX ABOVE  
IF CHANGES ENTERED \* . 1 . 2 . 3 . 4 . 5 . 6 . 7 . 8 . 9 . 10 . \*

\* ENEMY PRESENCE B \* . 2 . 2 . 0 . 1 . 9 . XXX . XXX . XXX . XXX . XXX . \*

\* FRIENDLY SECURITY C \* . 2 . 2 . 1 . 1 . 8 . 9 . XXX . XXX . XXX . XXX . \*

\* LAW ENFORCEMENT D \* . 0 . 1 . 3 . 1 . 2 . 2 . XXX . XXX . XXX . XXX . \*

\* ADMINISTRATION E \* . 3 . 4 . 0 . 1 . 0 . 9 . 1 . XXX . XXX . XXX . \*

\* POLITICAL F \* . 1 . 1 . 9 . 9 . 2 . 2 . 2 . XXX . XXX . XXX . \*

\* INFO/PSYOPS G \* . 2 . 1 . 0 . XXX . XXX . XXX . XXX . XXX . XXX . XXX . \*

\* . 1 . 2 . 3 . 4 . 5 . 6 . 7 . 8 . 9 . 10 . \*

\* ECONOMIC ACTIVITY L \* . 1 . 1 . 0 . XXX . XXX . XXX . XXX . XXX . XXX . XXX . \*

\* DEVELOPMENT PROJ N \* . 1 . 0 . 0 . 0 . 0 . XXX . XXX . XXX . XXX . XXX . \*

\* PUBLIC HEALTH P \* . 1 . 1 . 1 . 0 . XXX . XXX . XXX . XXX . XXX . XXX . \*

\* EDUCATION R \* . 1 . 1 . 2 . XXX . XXX . XXX . XXX . XXX . XXX . XXX . \*

\* LAND TENURE T \* . 1 . 1 . 0 . 0 . 0 . 9 . XXX . XXX . XXX . XXX . \*

\* BACKGROUND Z \* . 3 . 1 . 0 . XXX . XXX . XXX . XXX . XXX . XXX . XXX . \*

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2.e.(2)(b)  
QUARTERLY  
RESPONSES  
TO EACH  
HES/70  
QUESTION

## F. HES/70 QUESTION RESPONSE REPORT.

1. REPORT PURPOSE. The HES/70 Question Response Report is designed to present selected HES/70 questions and the amount and percent of population, and the number and percent of hamlets/villages affected by the various responses for any two months.

2. REPORT DESCRIPTION. The HES/70 Question Response Report (see Figure F-1) displays any requested HES/70 question(s), associated responses, and the amount and percent of population and/or villages affected by each response during two months. The report can be requested at any major geopolitical level for any two months desired. Questions can be selected independently or in related groups (i. e., a topic group, monthly questions only, hamlet level questions, all questions making up a Level 1 model, etc.).

### a. REPORT HEADINGS.

(1) HES/70: System name.

(2) QUESTION RESPONSE REPORT: Report title.

(3) RVN: Geopolitical level summarized.

(4) SUBMODEL - ENEMY MILITARY PRESENCE: Data presented.

### b. REPORT CONTENTS AND LABELS:

(1) HMA1 DID ANY US ADVISORY PERSONNEL VISIT THIS HAMLET DURING THIS MONTH: HES/70 question; number and text of the question being displayed.

(2) 0 NO . . . 9 UNKNOWN: Question responses; all responses available for the HES/70 question being displayed.

(3) ---JUL 69--- : First comparison month; month and year of data presented below.

(a) POP / 1572.6: Affected population; the amount of population affected by the indicated response to the question being displayed.

(b) PCT / 10.8: Percent of population affected; the percent of population affected by the indicated response to the question being displayed.

(c) VIL/HAM COUNT / 852: Affected villages/hamlets; the number of hamlets or villages affected by the indicated response to the question being displayed.

(d) PCT / 7.9: Percent of villages/hamlets affected; the percent of hamlets/villages affected by the indicated response to the question being displayed.

(4) ---AUG 69--- : Second comparison month; month and year of data presented below. See 2. b. (3) for description of data elements.

3. SAMPLE REPORT. A sample HES/70 Question Response Report is presented in Figure F-1. Explanations of all report items are included in this figure and are keyed by paragraph number of Report Description elements in the previous paragraph.

4. REPORT UTILITY: The Question Response Report is most useful at top management and analysis levels. This report can be used to determine the impact of specific circumstances at the various geopolitical levels. It can also be used as a survey instrument when keyed on such questions as "Is the present GVN village chief elected by the village council?" The displaying of two months' data provides the ability to note progression or regression.



## G. GENERALIZED CONDITION ANALYSIS SUBSYSTEM.

1. REPORT PURPOSE. The HES/70 Generalized Condition Analysis is designed to list only those hamlets or villages meeting selected conditions. Each hamlet or village meeting the requested condition(s) is listed along with pertinent associated data.

2. REPORT DESCRIPTION. The Generalized Condition Analysis (GCA) is actually a technique rather than a report in the general sense of the word. The requestor selects the conditions he desires from a standard list of pre-defined conditions (see Annex E), or defines his own conditions (i. e., all hamlets which have PSDF, but no hamlet chief). These conditions (each a comparison of up to nine HES/70 data elements) are read into the program and a special tape containing only those hamlets or villages meeting the requested conditions is produced. This tape can then be used as input to any of the HES/70 reports producing a report in one of the standard formats but containing only those selected hamlets and villages. The most often used format is the Conditions Requiring Attention Report, which is a report designed solely for GCA tape input. Any standard report using a GCA tape as input will have a subtitle below the report title identifying the conditions selected.

3. SAMPLE REPORT. No sample is given. For samples of report types available, see specific report descriptions given in this handbook for that report. Available reports are:

- Summary Report
- Information Report
- Plots
- Management Summary Report
- Historical Report
- Conditions Requiring Attention
- Area Profile Analysis
- Question Response Report

4. REPORT UTILITY. The Generalized Condition Analysis is most useful in determining conditions related to responses which are considered unacceptable in order to provide the lowest levels of management with targets for attention. Both strong and weak points of a hamlet's or village's pacification status may be ascertained with the GCA report. Pacification resources may be allocated on the basis of relative need and resource availability. In this way, the GCA pinpoints problem areas which, once corrected by proper allocation of pacification resources, may raise the overall pacification status of the geopolitical area. As an illustration, if a set amount of funds are set aside for educational purposes in a given province, one wishing to maximize

this resource might wish the following listing of hamlets in his province: all hamlets in which the security rating is greater than C, and the population is greater than 1000, and primary educational facilities are lacking (as indicated by responses given to selected HES/70 questions).

## H. HISTORICAL REPORT.

1. REPORT PURPOSE. The Historical Report is designed to provide a complete data history of any geopolitical level monitored in HES/70 for the time span requested.

2. REPORT DESCRIPTION. The report (Figure H-1 through H-6) provides historical data for any time period specified by the requestor. Any geopolitical level(s) from one hamlet to RVN, may be requested. For each geopolitical unit requested, the report will provide all data available for every month requested, by month.

### a. REPORT HEADINGS.

- (1) HES/70: System name.
- (2) HISTORICAL REPORT: Report title.

### b. REPORT CONTENTS AND LABELS.

- (1) HAMLET DATA (see Figure H-1).
  - (a) USID - 101010101: Hamlet ID; the ID is the nine digit USID (see Annex A, paragraph 25).
  - (b) HAMLET NAME / TAN-AN: Hamlet name.
  - (c) ADMIN ID / 101010100: ID of administering village; the USID of the village which administers the hamlet; if the hamlet is not recognized by the GVN, the administrative ID will be a corps level USID; if the hamlet is abandoned or not reported, the administrative ID will be a province level USID.
  - (d) UTM / YD466584: Hamlet location; UTM coordinates of the hamlet population center (see Annex A, paragraph 26).
  - (e) GVN / 001: GVN serial number; three digit number assigned to selected hamlets recognized by the GVN (see Annex A, paragraph 8).
  - (f) ACTIVE / 0: Active code; indicates whether the hamlet is active (0) or has been deleted (1).
  - (g) CAT / B: Hamlet category; this code is either the Pacification model score (A, B, C, D, E, N, V) or X if abandoned, or \* if deleted.
  - (h) Hamlet flags: indicating unique features of some hamlets. See Annex F, hamlet level flags for description of each flag.

(i) POPULATION:

1 TOTAL POP / 1364: Total hamlet population; sum of the hamlet's permanent population and temporary population.

2 PERM POP / 1224: Permanent hamlet population.

3 TEMP POP / 140: Temporary hamlet population.

(j) MODEL RATINGS. (See Annex C for definitions).

1 PAC / B: Pacification rating; rating for the hamlet in the Level 4, Pacification model.

2 LEVEL 3 MODELS.

a SEC / B: Security model rating.

b POL / B: Political model rating.

c SOE / C: Socio-economic model rating.

3 LEVEL 2 MODELS.

a EM / B: Enemy military model rating.

b FS / B: Friendly security model rating.

c EP / B: Enemy political model rating.

d GP / B: GVN political model rating.

e SO / C: Social model rating.

f EC / B: Economic model rating.

4 LEVEL 1 MODELS.

a EP / B: Enemy presence model rating.

b EA / A: Enemy activity model rating.

c MI / A: Military impact model rating.

d FP / C: Friendly presence model rating.

- e FA / B: Friendly activity model rating.
- f LE / B: Law enforcement model rating.
- g PF / B: PSDF model rating.
- h PP / B: Enemy political presence model rating.
- i AD / B: Administrative model rating.
- j AD / B: Administrative model rating.
- k RD / N: RD Cadre model rating.
- l IP / C: Information/PSYOPS model rating.
- m PM / B: Political mobilization model rating.
- n PH / D: Public health model rating.
- o ED / C: Education model rating.
- p SW / C: Social Welfare model rating.
- q DA / B: Development assistance model rating.
- r EA / C: Economic activity model rating.
- s LT / B: Land tenure model rating.

(k) HAMLET MONTHLY / A33 . . . D0000000: Monthly hamlet responses; the individual numerical responses given for each of 21 HES/70 hamlet monthly questions. A, B, C and D refer to topic areas.

(l) HAMLET QUARTERLY / A04 . . . Z011630: Quarterly hamlet responses; the individual numerical responses given for each of 56 HES/70 hamlet quarterly questions. A, B, etc., refer to topic areas.

(m) RECORD DATE START STOP / JAN 70 MAR 70: Content validity dates; from and through dates for which the data on the line to the left is valid.

(2) VILLAGE DATA (See Figure H-2).

(a) USID - 1010101: Village USID: The village USID is the seven digit village portion of the nine digit USID (see Annex A, paragraph 25).

(b) VILLAGE NAME / HAI-AN: Village name.

(c) UTM / YD466585: Administrative center location; UTM coordinate of the hamlet which is administrative center of the village (see Annex A, paragraph 26).

(d) EXPLANATION OF VILLAGE N-HAM POPULATION / NO NON-HAMLET POPULATION: Non-hamlet population components; identification of non-hamlet population (see Annex A, paragraph 16).

(e) HAM CT / 03: Hamlet count; number of hamlets in the village.

(f) HAMLET - ADD.

1 CT / 0: Added hamlets; the number of hamlets added to the village.

2 POP / 0: Added population; the amount of population added to the village.

(g) HAM - DELETE.

1 CT / 0: Deleted hamlets; the number of hamlets deleted from the village.

2 POP / 0: Deleted population; the amount of population deleted from the village.

(h) ADMIN CENTER / THUAN-DAU: Village administrative center.

(i) ADMIN ID / 101010103: Administrative center ID; the USID of the administrative hamlet of the village (this ID is used to provide information for those villages being administered by hamlets outside their geographic boundaries).

(j) HAM-ID / 03: Administrative center ID; the two digit hamlet portion of the nine digit USID (see Annex A, paragraph 25) for the hamlet which is the administrative center of the village.

(k) SPECIAL INDICATORS: Indicating unique features of some villages; this line will not print if the indicators are not being used. (See Annex F, village flags for further description and codes used).

(l) ACTIVE / 0: Active code; indicates whether the village is active (0) or has been deleted (1).

(m) CAT / B: Village category; this code is either the Pacification model score (A, B, C, D, E, N, V) or X if abandoned, or \* if deleted.

(n) VILLAGE POPULATION.

1 TOTAL / 2796: Total village population; the total is the sum of the hamlet population and non-hamlet population within the village.

2 NON-HAM / 0: Village non-hamlet population (see Annex A, paragraph 16).

3 HAMLET / 2796: Village hamlet population; a sum of temporary and permanent population for all hamlets within the village.

4 TEMP - HAM / 0: Village temporary hamlet population.

5 PERM - HAM / 2796: Village permanent hamlet population.

(o) VILL / HAM SCORES: Averaged village ratings; each village model rating is a population-weighted average of the hamlet ratings and the non-hamlet population rating (see 2. b. (1)(j) above).

(p) VILL / ONLY SCORES: Village ratings; village ratings obtained by using only village level responses. Used for rating village non-hamlet population (see 2. b. (1)(j) above).

(q) VILL MONTHLY / B13 C10: Monthly village responses; the individual numerical response given for each of 4 HES/70 village monthly questions. B and C refer to topic areas.

(r) VILL QUARTERLY / B11010. . . Z400: Quarterly village responses; the individual numerical response given for each of 58 HES/70 village quarterly questions. B, C, etc., refer to topic areas.

(s) RECORD DATE START STOP / DEC 69 FEB 70:  
Content validity dates; from and through dates for which the data on the line  
to the left is valid.

(3) DISTRICT DATA (see Figure H-3).

(a) USID - 10101: District ID; the district ID is the  
five digit district portion of the nine digit USID number (see Annex A, para-  
graph 25).

(b) DISTRICT NAME / HAI LANG: District name.

(c) DISTRICT CAPITAL / (blank): District capital  
name.

(d) CAP. USID / (blank): Capital ID, the USID number  
of the district capital (see Annex A, paragraph 25).

(e) CAP. UTM / (blank): Capital location; UTM  
coordinates of the district capital (see Annex A, paragraph 26).

(f) DSA NAME AND RANK / MAJ JOSEPH W. STILWELL:  
Name and rank of DSA (see Annex A, paragraph 5).

(g) DEROS / 01JUN70: DSA departure date; date the  
DSA is due to complete his tour in Vietnam.

(h) ACTIVE / 0: Active code; indicates whether the  
district is active (0), or has been deleted (1).

(i) CAT / C: District Category; this code is either the  
Pacification model score (A, B, C, D, E, N, V) or X if abandoned, or \* if deleted.

(j) DISTRICT TOTALS:

1 HAMS / 72: Hamlet count; the total number of  
hamlets carried in the district.

2 VILL / 17: Village count; the total number of  
villages carried in the district.

3 VNHP / 0: Villages with NHP count; the total  
number of villages in the district having non-hamlet population.

(k) ADDS.

1 HAMLETS.

a HAMS / 0: Added hamlets; the number of hamlets added to the district.

b POPULATION / 0: Added population; amount of population in added hamlets.

2 VILLAGES.

a VILL / 0: Added villages; the number of villages added to the district.

b POPULATION / 0: Added population; the amount of population in added villages.

(l) DELETES.

1 HAMLETS.

a HAMS / 0: Deleted hamlets; the number of hamlets deleted in the district.

b POPULATION / 0: Deleted population; the amount of population in deleted hamlets.

2 VILLAGES.

a VILL / 0: Deleted villages; the number of villages deleted in the district.

b POPULATION / 0: Deleted population; the amount of population in deleted villages.

(m) DISTRICT POPULATION.

1 TOTAL / 60737: Total district population; the total is the sum of hamlet and non-hamlet population within the district.

2 NON-HAM / 0: District non-hamlet population.

3 HAMLET / 60737: District hamlet population; the hamlet population is the sum of temporary population and permanent hamlet population within the district.

4 TEMP-H / 0: District temporary hamlet population.

5 PERM-H / 60737: District permanent hamlet population.

(n) MODEL RATINGS. Averaged district ratings; each district model rating is a population-weighted average of all the hamlet and non-hamlet population ratings for that model (see 2. b. (1)(j) above for a description of the model rating data line).

(o) RECORD DATE START STOP / JAN 70 JAN 70:  
Content validity dates; from and through dates for which the data on the line to the left is valid.

(4) PROVINCE DATA (see Figure H-4).

(a) USID - 101: Province ID; the one digit corps and two digit province portion of the nine digit USID (see Annex A, paragraph 25).

(b) PROVINCE NAME / QUANG TRI: Province name.

(c) PROVINCE CAPITAL / (blank): Province capital name.

(d) CAP. USID / (blank): Capital ID; USID number of the province capital (see Annex A, paragraph 25).

(e) CAP. UTM / (blank): Capital location; UTM coordinates of the province capital (see Annex A, paragraph 26).

(f) PSA NAME AND RANK / LTC ELMER L. BIRK:  
Name and rank of the PSA (see Annex A, paragraph 19).

(g) DEROS / 04APR71: PSA departure date; date the PSA is due to complete his Vietnam tour.

(h) ACTIVE / 0: Active code; indicates whether the province is active (0), or has been deleted (1).

(i) CAT / B: Province category; this code is either the Pacification model score (A, B, C, D, E, N, V) or X if abandoned, or \* if deleted.

(j) PROVINCE TOTALS.

1 HAMS / 471: Hamlet count; the total number of hamlets carried in the Province.

2 VILL / 74: Village count; the total number of villages carried in the province.

3 VNHP / 0: Villages with NHP count; the total number of villages in the province having non-hamlet population.

4 DIST / 8: District count; the total number of districts carried in the province.

(k) ADDS.

1 HAMLETS.

a HAMS / 0: Added hamlets; the number of hamlets added in the province.

b POPULATION / 0: Added population; the amount of population in added hamlets.

2 VILLAGES.

a VILLS / 0: Added villages; the number of villages added in the province.

b POPULATION / 0: Added population; the amount of population in added villages.

3 DISTRICTS.

a DIST / 0: Added districts; the number of districts added in the province.

b POPULATION / 0: Added population; the amount of population in added districts.

(l) DELETIONS.

1 HAMLETS.

a HAMS / 0: Deleted hamlets; the number of hamlets deleted in the province.

b POPULATION / 0: Deleted population; the amount of population in deleted hamlets.

2 VILLAGES.

a VILLS / 0: Deleted villages; the number of villages deleted in the province.

b POPULATION / 0: Deleted population; the amount of population in deleted villages.

3 DISTRICTS.

a DIST / 0: Deleted districts; the number of districts deleted in the province.

b POPULATION / 0: Deleted population; the amount of population in deleted districts.

(m) PROVINCE POPULATION.

1 TOTAL / 302367: Total province population; the total is the sum of hamlet and non-hamlet population within the province.

2 NON-HAM / 0: Province non-hamlet population.

3 HAMLET / 302367: Province hamlet population; the sum of temporary population and permanent hamlet population within the province.

4 TEMP-H / 793: Province temporary hamlet population.

5 PERM-H / 301574: Province permanent hamlet population.

(n) MODEL RATINGS: Averaged province ratings; each province model rating is a population-weighted average of all the hamlet ratings and non-hamlet population ratings for that model (see 2. b. (1)(j) for a description of the model rating data line).

(o) RECORD DATE START STOP / JAN 70 JAN 70: Content validity dates; from and through date for which the data on the line to the left is valid.

(5) CORPS DATA (see Figure H-5).

(a) USID - 1: Corps ID; the one digit corps portion of the nine digit USID (see Annex A, paragraph 25).

(b) CORPS TOTALS.

1 HAMLETS / 2147: Hamlet count; the total number of hamlets carried in the corps.

2 VILLAGES / 504: Village count; the total number of villages carried in the corps.

3 VILLS / N-H P / 39: Villages with NHP count; the total number of villages with non-hamlet population carried in the corps.

4 DISTRICTS / 49: District count; the total number of districts carried in the corps.

5 PROVINCES / 7: Province count; the total number of provinces carried in the corps.

6 ACTIVE / 0: Active code; indicates whether the corps is active (0) or has been deleted (1).

7 CAT / B: Corps category; this code is either the Pacification model score (A, B, C, D, E, N, V) or X if abandoned or \* if deleted.

(c) ADDS.

1 HAMS / 0 / POPULATION / 0: Added hamlets / population; the number of hamlets added and the amount of population in them.

2 VILL / 0 / POPULATION / 0: Added villages / population; the number of villages added and the amount of population in them.

3 DIST / 0 / POPULATION / 0: Added districts / population; the number of districts added and the amount of population in them.

4 PROV / 0 / POPULATION / 0: Added provinces / population; the number of provinces added and the amount of population in them.

(d) DELETES.

1 HAMS / 0 / POPULATION / 0: Deleted hamlets / population; the number of hamlets deleted and the amount of population in them.

2 VILL / 0 / POPULATION / 0: Deleted villages / population; the number of villages deleted and the amount of population in them.

3 DIST / 0 / POPULATION / 0: Deleted districts / population; the number of districts deleted and the amount of population in them.

4 PROV / 0 / POPULATION / 0: Deleted provinces / population; the number of provinces deleted and the amount of population in them.

(e) CORPS POPULATION.

1 TOTAL / 3021633: Total corps population; the total is the sum of the hamlet and non-hamlet population within the corps.

2 NON-HAM / 75937: Corps non-hamlet population total.

3 HAMLET / 2945696: Corps hamlet population; the sum of temporary and permanent hamlet population within the corps.

4 TEMP / 275073: Corps temporary hamlet population.

5 PERM / 2670623: Corps permanent hamlet population.

(f) MODEL RATINGS: Averaged corps ratings; each corps model rating is a population-weighted average of all the hamlet ratings and non-hamlet population ratings for that model (see 2. b. (1)(j) for a description of the model rating line).

(g) RECORD DATE START STOP / JAN 70 JAN 70: Content validity dates; from and through dates for which the data on the line to the left is valid.

(6) RVN DATA (see Figure H-6).

(a) USID - RVN: Summary level.

(b) RVN TOTALS.

1 HAMLETS / 12182: Hamlet count; the total number of hamlets carried in RVN.

2 VILLAGES / 2405: Village count; the total number of villages carried in RVN.

3 VILL/N-H P / 242: Villages with NHP count; the total number of villages with non-hamlet population carried in RVN.

4 DISTRICTS / 270: District count; the total number of districts carried in RVN.

5 PROVINCES / 50: Province count; the total number of provinces carried in RVN.

6 CORPS / 4: Corps count; the total number of corps carried in RVN.

7 ACTIVE / 0: Active code; indicates whether the RVN level is active (0) or has been deleted (1).

8 CAT / B: Country category; this code is the Pacification model score (A, B, C, D, E, N, V) or X if abandoned or \* if deleted.

(c) ADDS.

1 HAMS / 0 / POPULATION / 0: Added hamlets / population; the number of hamlets added and the amount of population in them.

2 VILLS / 0 / POPULATION / 0: Added villages / population; the number of villages added and the amount of population in them.

3 DISTS / 0 / POPULATION / 0: Added districts / population; the number of districts added and the amount of population in them.

4 PROVS / 0 / POPULATION / 0: Added provinces / population; the number of provinces added and the amount of population in them.

5 CORPS / 0 / POPULATION / 0: Added corps / population; the number of corps added and the amount of population in them.

(d) DELETES.

1 HAMS / 0 / POPULATION / 0: Deleted hamlets / population; the number of hamlets deleted and the amount of population in them.

2 VILLS / 0 / POPULATION / 0: Deleted villages / population; the number of villages deleted and the amount of population in them.

3 DISTS / 0 / POPULATION / 0: Deleted districts / population; the number of districts deleted and the amount of population in them.

4 PROVS / 0 / POPULATION / 0: Deleted provinces / population; the number of provinces deleted and the amount of population in them.

5 CORPS / 0 / POPULATION / 0: Deleted corps / population; the number of corps deleted and the amount of population in them.

(e) RVN POPULATION.

1 TOTAL / 17644313: Total RVN population; the total is the sum of the hamlet and non-hamlet population within RVN.



FIGURE H-1  
SAMPLE HES/70 HISTORICAL REPORT (HAMLET)

2a. (i)

2a. (b) PAGE 6

REPORT NUMBER R7201.00.0  
RUN DATE 17 AUG 70

HES / 70  
HISTORICAL REPORT

SYSTEM NAME

REPORT TITLE

2.b (1) (a) HAMLET ID. USID - 101010101		2.b (1) (b) HAMLET NAME TAN-AN		2.b (1) (c) ID. OF ADMINISTERING VILLAGE YD466584		2.b (1) (d) GVN SERIAL NUMBER 001		2.b (1) (e) HAMLET FLAGS R/U D/C P/C FLG VAC FLG FLG REF RTV 0 9 9 9 0 9 9 9 9		2.b (1) (f) ACTIVE CODE 0		2.b (1) (g) HAMLET CATEGORY B		2.b (1) (h) HAMLET LOCATION YD466584		2.b (1) (i) ACTIVE CODE 0		2.b (1) (j) HAMLET CATEGORY B		2.b (1) (k) LEVEL 1 MODELS B A A B B B C B B A N B B D C C B C B B A A C B B B B B N C B D C C B C B		2.b (1) (l) CONTENT VALIDITY DATES RECORD DATE DEC 69 MAR 70																																											
2.b (1) (m) TOTAL POP 1364		2.b (1) (n) PERM POP 1364		2.b (1) (o) TEMP POP 0		2.b (1) (p) PAC B		2.b (1) (q) SEC B		2.b (1) (r) POL B		2.b (1) (s) SOE C		2.b (1) (t) EM B		2.b (1) (u) FS B		2.b (1) (v) EP B		2.b (1) (w) GP B		2.b (1) (x) SQ C		2.b (1) (y) EC B		2.b (1) (z) EP B		2.b (1) (aa) EA A		2.b (1) (ab) MI A		2.b (1) (ac) FP B		2.b (1) (ad) FA B		2.b (1) (ae) LE C		2.b (1) (af) PF B		2.b (1) (ag) PP B		2.b (1) (ah) PA B		2.b (1) (ai) AD A		2.b (1) (aj) RD N		2.b (1) (ak) IP B		2.b (1) (al) PM B		2.b (1) (am) PH D		2.b (1) (an) ED C		2.b (1) (ao) SM C		2.b (1) (ap) DA C		2.b (1) (aq) EA B		2.b (1) (ar) LT B		2.b (1) (as) DEC 69 FEB 70 MAR 70 MAR 70	
2.b (1) (b1) HAMLET MONTHLY A33 80000002 C0000 D0000000 A11 80000001 C0000 D0000000 A33 8000C0000 C0000 D0000000		2.b (1) (b2) HAMLET QUARTERLY A04 8100 C5120014 D221544 E23231 F112080 G3102 L112 N32 P12 R13110 S10210 Z021630 A04 8100 C5120024 D221544 E23231 F112080 G0102 L112 N33 P12 R13110 S10210 Z011630		2.b (1) (b3) HAMLET MONTHLY RESPONSES		2.b (1) (b4) HAMLET QUARTERLY RESPONSES		2.b (1) (b5) HAMLET MONTHLY RESPONSES		2.b (1) (b6) HAMLET QUARTERLY RESPONSES		2.b (1) (b7) HAMLET MONTHLY RESPONSES		2.b (1) (b8) HAMLET QUARTERLY RESPONSES		2.b (1) (b9) HAMLET MONTHLY RESPONSES		2.b (1) (b10) HAMLET QUARTERLY RESPONSES		2.b (1) (b11) HAMLET MONTHLY RESPONSES		2.b (1) (b12) HAMLET QUARTERLY RESPONSES		2.b (1) (b13) HAMLET MONTHLY RESPONSES		2.b (1) (b14) HAMLET QUARTERLY RESPONSES		2.b (1) (b15) JAN 70 JAN 70 FEB 70 FEB 70 MAR 70 APR 70																																					

FIGURE H-2  
SAMPLE HES70 HISTORICAL REPORT (VILLAGE)

2.b (2)(a) VILLAGE USID		2.b (2)(b) VILLAGE NAME		2.b (2)(f) ADMINISTRATION CENTER LOCATION		2.b (2)(d) NON-HAMLET POPULATION COMPONENTS		2.b (2)(e) HAMLET COUNT		2.b (2)(f) HAMLET ADDS		2 (2)(g) HAMLET DELETES	
REPORT NUMBER R7201.00.0 RUN DATE 17 AUG 70 USID - 1010101						H E S / 7 0 HISTORICAL REPORT						PAGE 5	
VILLAGE NAME		UTM		EXPLANATION OF VILLAGE N-HAM POPULATION		HAM CT		HAMLET-ADD CT		HAM-DELETE CT		RECORD DATE START STOP	
HAI-AN		YD466585		NO NON-HAMLET POPULATION		03		0		0		DEC 69 APP 70	
ADMIN CENTER		ADMIN ID		HAM-ID		R/U		REV		D/C		P/C	
THUAN-DAU		101010103		03		0		9		9		9	
2.b (2)(h) VILLAGE ADMINISTRATIVE CENTER		2.b (2)(i) ADMINISTRATIVE CENTER I.D.		2.b (2)(j) ADMINISTRATIVE CENTER I.D.		2.b (2)(k) VILLAGE TEMP ORARY HAMLET POPULATION		2.b (2)(l) VILLAGE PERMANENT HAMLET POPULATION		2.b (2)(m) SPECIAL INDICATORS		2.b (2)(n) ACTIVE CODE	
VILLAGE POPULATION -- TOTAL		NON-HAM		HAMLET		TEMP		PERM					
2756		0		2796		0		2796					
2756		0		2796		0		2796				DEC 69 FEB 70 MAR 70 MAR 70	
2.b (2)(o) MONTHLY VILLAGE RESPONSES		2.b (2)(p) TOTAL VILLAGE POPULATION		2.b (2)(q) VILLAGE NON-HAMLET POPULATION		2.b (2)(r) VILLAGE HAMLET POPULATION						2.b (2)(s) AVERAGE VILLAGE RATINGS	
VILL/HAM SCORES		--LEVEL 3-- PAC SEC PCL SOE		---LEVEL 2--- EM FS EP GP SO EC		-----LEVEL 1----- EP EA MI FP FA LE PF PP PA AD RD IP PM PH ED SW DA EA LT							
B B B C		B B B C		B B B C B		E A A B B B C B B A B B C B B						DEC 69 FEB 70 MAR 70 MAR 70	
VILL ONLY SCORES		--LEVEL 3-- PAC SEC PCL SOE		---LEVEL 2--- EM FS EP GP SO EC		-----LEVEL 1----- EP EA MI FP FA LE PF PP PA AD RD IP PM PH ED SW DA EA LT							
B B B D		B B B D		B B B C D C		E B B B B B N B B B N B A D C N R D B						DEC 69 FEB 70 MAR 70 MAR 70	
VILL MONTHLY												JUL 69 APR 70	
		813 C10											
VILL QUARTERLY												DEC 69 FEB 70 MAR 70 MAR 70	
2.b (2)(t) QUARTERLY VILLAGE RESPONSES												2.b (2)(u) VILLAGE RATINGS	
												2.b (2)(v) CONTENT VALIDITY DATES	

60  
Change 2

FIGURE H-3  
SAMPLE HES/70 HISTORICAL REPORT (DISTRICT)

2. b (3) (a) DISTRICT I.D.			2. b (3) (c) DISTRICT CAPITAL NAME			2. b (3) (d) CAPITAL I.D.			2. b (3) (f) NAME AND RANK OF DSA			2. b (3) (g) DSA DEPARTURE DATE		2. b (3) (h) ACTIVE CODE		2. b (3) (e) CONTENT VALIDITY DATES							
REPORT NUMBER R7201.00.0 RUN DATE 17 AUG 70 USID - 10101						H E S / 7 0 HISTORICAL REPORT										PAGE 4							
DISTRICT NAME			DISTRICT CAPITAL			CAP. USID		CAP. UTM		DSA NAME AND RANK			DEROS		ACTIVE		CAT						
HAI LANG HAI LANG HAI LANG										MAJ. JOSEPH W. STILWELL MAJ. JOSEPH W. STILWELL MAJ. JOSEPH W. STILWELL			01JUN70 31MAY70 31MAY70		0 0 0		C B B						
2. b (3) (b) DISTRICT NAME			2. b (3) (j) VILLAGES WITH NHP COUNT		2. b (3) (k) HAMLET ADDS		2. b (3) (e) CAPITAL LOCATION		2. b (3) (k) VILLAGE ADDS		2. b (3) (l) HAMLET DELETES		2. b (3) (i) DISTRICT CATEGORY										
DISTRICT TOTALS			HAMS		VILL		VNHP		HAMS POPULATION		VILL POPULATION		HAMS POPULATION		VILL POPULATION								
72 17 0			72 17 0		72 18 1		0 0 0		0 0 0		0 0 0		0 0 0		0 0 0								
2. b (3) (j) HAMLET COUNT			2. b (3) (l) VILLAGE COUNT		2. b (3) (m) TOTAL DISTRICT POPULATION		2. b (3) (m) DISTRICT NON-HAMLET POPULATION		2. b (3) (m) DISTRICT HAMLET POPULATION		2. b (3) (m) DISTRICT TEMPORARY HAMLET POPULATION		2. b (3) (m) DISTRICT PERMANENT HAMLET POPULATION		2. b (3) (l) VILLAGE DELETES		2. b (3) (n) AVERAGE DISTRICT RATINGS						
					60737 0		60737 0		60737 0		60737 0		60737 0										
DISTRICT POPULATION --			TOTAL		NON-HAM		HAMLET		TEMP		PERM												
			60737 0		60737 0		60737 0		60737 0		60737 0												
-4- PAC			--LEVEL 3-- SEC POL SOC			--LEVEL 2-- EM FS EP GP SO EC				--LEVEL 1-- EP EA MI FP FA LE PF PP PA AD RD IP PM PH ED SW DA EA LT													
C B B			C B C C			C B B B C B				C B B B B B C B B A N B B C C C B C B													
B B B			B B C C			B B B B C B				C A A B B B C B B A N B B C C C B C B													
B B B			B B C C			B B B B C B				C B A C B B B B B R D B B C C C B C B													
					60738 1		60737 0		60737 0		60737 0		60737 0						JAN 70 JAN 70 FEB 70 FEB 70 MAR 70 MAR 70				

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FIGURE H-4  
SAMPLE HES/70 HISTORICAL REPORT (PROVINCE)

2.b (4)(a) PROVINCE I.D.  REPORT NUMBER R7201.00.0 RUN DATE 17 AUG 70 USID - 101		2.b (4)(c) PROVINCE CAPITAL NAME		2.b (4)(d) CAPITAL USID		2.b (4)(e) PBA DEPARTURE DATE		2.b (4)(h) ACTIVE CODE		2.b (4)(g) CONTENT VALIDITY DATES	
PROVINCE NAME		PROVINCE CAPITAL		CAP. USID		CAP. UTM		PSA NAME AND RANK		DEPOS	
QUANG TRI QUANG TRI QUANG TRI								LTC ELMER L. BIRK LTC ELMER L. BIRK LTC ELMER L. BIRK		04APR71 0 04APR71 0 04APR71 0	
2.b (4)(b) PROVINCE NAME		2.b (4)(j)1 VILLAGES WITH NHP COUNT		2.b (4)(k)1 ADDED HAMLETS AND POPULATION		2.b (4)(k)2 ADDED VILLAGES & POPULATION		2.b (4)(k)3 CAPITAL LOCATION		2.b (4)(f) NAME AND RANK OF PSA	
PROVINCE NAME		VILLAGES WITH NHP COUNT		ADDED HAMLETS AND POPULATION		ADDED VILLAGES & POPULATION		CAPITAL LOCATION		NAME AND RANK OF PSA	
QUANG TRI		0 8		0 0		0 0		LTC ELMER L. BIRK		LTC ELMER L. BIRK	
QUANG TRI		2 8		0 0		0 0		LTC ELMER L. BIRK		LTC ELMER L. BIRK	
QUANG TRI		19 8		0 0		0 0		LTC ELMER L. BIRK		LTC ELMER L. BIRK	
2.b (4)(i) PROVINCE CATEGORY		2.b (4)(j)2 VILLAGE COUNT		2.b (4)(j)3 DISTRICT COUNT		2.b (4)(k)2 ADDED DISTRICTS AND POPULATION		2.b (4)(l)1 DELETED HAMLETS AND POPULATION		2.b (4)(l)2 DELETED VILLAGES AND POPULATION	
PROVINCE CATEGORY		VILLAGE COUNT		DISTRICT COUNT		ADDED DISTRICTS AND POPULATION		DELETED HAMLETS AND POPULATION		DELETED VILLAGES AND POPULATION	
R		0		0		0		0		0	
B		0		0		0		0		0	
B		0		0		0		0		0	
RECORD DATE		START		STOP		START		STOP		START	
DEC 69		JAN 70		JAN 70		JAN 70		JAN 70		JAN 70	
FEB 70		FEB 70		FEB 70		FEB 70		FEB 70		FEB 70	
MAR 70		MAR 70		MAR 70		MAR 70		MAR 70		MAR 70	
JAN 70		JAN 70		JAN 70		JAN 70		JAN 70		JAN 70	
FEB 70		FEB 70		FEB 70		FEB 70		FEB 70		FEB 70	
MAR 70		MAR 70		MAR 70		MAR 70		MAR 70		MAR 70	
2.b (4)(n) AVERAGED PROVINCE RATINGS		2.b (4)(m)1 TOTAL PROVINCE POPULATION		2.b (4)(m)2 PROVINCE NON-HAMLET POPULATION		2.b (4)(m)3 PROVINCE HAMLET POPULATION		2.b (4)(m)4 PROVINCE TEMPORARY HAMLET POPULATION		2.b (4)(m)5 PROVINCE PERMANENT HAMLET POPULATION	
AVERAGED PROVINCE RATINGS		TOTAL PROVINCE POPULATION		PROVINCE NON-HAMLET POPULATION		PROVINCE HAMLET POPULATION		PROVINCE TEMPORARY HAMLET POPULATION		PROVINCE PERMANENT HAMLET POPULATION	
PROVINCE POPULATION --		TOTAL		NON-HAM		HAMLET		TEMP		PERM	
		302367		0		302367		793		301574	
		303483		720		302763		0		302763	
		304252		737		303515		0		303515	
-4- PAC		---LEVEL 3--- SEC POL SOE		---LEVEL 2--- EM FS EP GP SO EC		---LEVEL 1--- EP EA MI FP FA LE PF PP PA AD RD IP PM PH ED SW DA EA LT					
B		B B C		B B R B C C		R B B B B B C B B A N C B C C C R C R					
B		B B C		B B B B C C		B A A B B B C B B A N C B C C C C R C R					
B		B B C		B B B B C C		B A A B B B B B B A N C R C C C R C R					

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SECTION IV

ANNEXES

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## ANNEX A

### GLOSSARY

1. APC - Accelerated Pacification Campaign. Initiated in November 1968 in an effort to set defined goals and timetables to the pacification effort.
2. CSA - Corps Senior Advisor. The senior officer or civilian responsible for the advisory effort in each CTZ. One responsibility of the CSA is to assure the accurate completion and timely submission of the HES updating forms.
3. CTZ - Corps Tactical Zone. Four regions within RVN. CTZs are designated by Roman numerals (I, II, III and IV).
4. District Chief. The official appointed by the GVN to administer district affairs. In most cases, District Chiefs are GVN military officers.
5. DSA - District Senior Advisor. The officer (or civilian) in charge of and responsible for the operation of U.S. district advisory teams. These teams are assigned to each district in RVN where there is a GVN District Chief. One responsibility of the DSA is to assure the timely completion and submission of the HES/70 updating form.
6. DTA - Division Tactical Area. A fourteen segment breakdown of RVN along province boundaries according to ARVN Divisions' areas of responsibility.
7. GVN - Government of Vietnam. The legal, elected government of the Republic of Vietnam.
8. GVN Serial Number. A three-digit number assigned by GVN to selected hamlets in Vietnam. Unlike the USID number, the GVN Serial Number may be duplicated or reused. GVN Serial Numbers should, however, be unique within each province. Typically, IDs are only assigned to "official" GVN hamlets.
9. HES/70 - Hamlet Evaluation System 1970. The revised version of HES.
10. Ledger Card - Hamlet Ledger Card. The card currently used by DSAs in the field to record hamlet evaluation data.

11. LOC - Line of Communication. Land or water routes.
12. MACCORDS-RAD - Military Assistance Command, Civil Operations and Rural Development Support - Reports and Analysis Directorate.
13. MACDMA - Military Assistance Command - Data Management Agency.
14. MACV - Military Assistance Command - Vietnam.
15. MODEL - Any one of the 29 pacification indicators monitored in HES/70 at any of the four levels.
16. NHP - Non-Hamlet Population. Population not living in, or not counted as living in, hamlets. May include refugees, migrants, members of the RVNAF and their families, and widely dispersed population.
17. PA-1 - Priority Area 1, National Priority Area (NPA). Areas designated by the GVN to receive resources on a first priority basis.
18. PA-2 - Priority Area 2, Province Priority Area (PPA). Areas designated by the provinces to receive resources on a second priority basis.
19. PSA - Province Senior Advisor. The officer in charge of and responsible for the U.S. province advisory team. One team is assigned to each province to advise the GVN Province Chief. One responsibility of the PSA is to ensure the timely completion and submission of HES/70 update forms by the districts within the province.
20. RD - Rural Development.
21. RVN - Republic of Vietnam.
22. RVNAF - Republic of Vietnam Armed Forces.
23. Rural - Population living in sparsely-populated hamlets, having limited or no commercial enterprises and relying on agriculture, forestry or fishing for their livelihood.
24. Urban - Population living in densely-populated hamlets having significant business community.
25. USID - United States Identification Number. All corps, provinces, districts, villages and hamlets in RVN have a unique U.S.-assigned identification number commonly referred to as the USID number.

This number consists of nine digits, as follows:

4	30	-01	04	01
corps	province	district	village	hamlet

Note that corps is the highest reporting unit and hamlet is the lowest. The first digit is the corps number; the second and third digits are the province number; fourth and fifth digits are the district number; sixth and seventh digits are the village number; and the eighth and ninth digits are the hamlet number.

26. UTM - Universal Transverse Mercator. A standard grid system used to identify geographic points or areas. In HES, eight-position UTM coordinates are used (grid square plus 6 digits), providing accurate location of the hamlet geographic population centers to within 100 meters.

#### GLOSSARY ADDITIONS

27. QUESTION NUMBERS - Each HES/70 question is referenced by a three-position alpha, two-position numeric identification code. The first position designates hamlet or village level. The second position designates quarterly or monthly reporting. The third position designates the topic area. The two-digit number is the question number within the topic area. I. e.,

H	Q	A	03
hamlet	quarterly	topic group	question number
V	M	B	02
village	monthly	topic group	question number

28. TOPIC GROUP - Sets of questions dealing with the same topic or subject. A topic group can consist of both hamlet and village level questions and be reported on both monthly and quarterly. Each topic group is assigned a letter to identify it (see definition of question number).

## ANNEX B

### SCORING TECHNIQUE

The HES/70 evaluates the status of pacification in three major areas: Security, Political, and Socio-Economic. As shown in Figure A-B. 1. a., the system generates scores at Level 1 as well as providing aggregate scores at higher levels as shown in Figure A-B. 1. b. and c. For example, the HES/70 provides separate ratings at Level 1 for Friendly Military Presence, Friendly Military Activity, Law Enforcement and PSDF Activity. These ratings determine an aggregate rating at Level 2 for Friendly Security. This rating along with a rating for Enemy Military and Impact of Military Activity is used to determine a Security rating at Level 3.

Scores at Level 1 are based upon individually tailored questions and provide more precise measures of specific pacification programs.

Processing HES/70 responses to obtain a hamlet level score is done by an established mathematical technique. The methodology can be explained using this simplified example.

This example will demonstrate step by step how the hamlet score is determined for Friendly Military Presence. Without any information about this hamlet, it is assumed that no rating is more likely than any other (figure A-B. 3., Step 1).

The first question processed indicates that friendly ground reaction forces are more than four hours from the hamlet at night. At this time, the HES/70 scoring technique is applied.

Figure A-B. 2. shows the judgments of field and staff personnel on the relationship of the five pacification ratings to the four responses for this question. These judgments were defined at the beginning of system operation and will remain constant. Tables such as this for each question of HES/70 are used to determine hamlet ratings at Level 1. Examining the column labeled A, which represents the best situation with respect to friendly military presence, the response 4 is indicated to be most likely. Response 3 is possible, but unlikely. Response 1 is estimated to be impossible in an A hamlet.

Similarly, examining the column labeled E which represents the situation of the worst hamlets in the area of friendly military presence, the pattern has been reversed. Response 1 is now highly likely; response 2 and 3 are both highly unlikely, and response 4 will never apply.

Now, examine the estimates given for response 2, the second row in the example shown at Figure A-B.2. Given this response, the D rating is more likely. It is two times more likely than C or E and three times more likely than a B rating.

Incorporating this piece of information with our initial assumption indicates that a D rating is two times more likely than a C in this hamlet (Figure A-B. 3. , step 2).

The second question answered by the advisor reports less than 40% of the hamlet households are subject to contact at night by enemy cadre, such as tax collectors and recruiters. Judgments associated with the responses to this question indicate the condition is most likely in a C hamlet (Figure A-B. 3. , step 3), thereby reducing the likelihood of a D rating.

Similarly the third question response further modifies the estimate of the hamlet in the C direction. At this time, a C rating is considered two times as likely as a D (Figure A-B. 3. , step 4).

Incorporating the fourth piece of information, that friendly local forces conduct daytime security operations, increases the likelihood that this hamlet is rated C (Figure A-B. 3. , step 5).

Therefore, a C rating is assigned to this hamlet for Friendly Military Presence (Figure A-B. 3. , step 6).

Each of the nineteen pacification areas at Level 1 such as Friendly Military Presence, Law Enforcement and Enemy Political Activity, are assigned a letter rating employing this same procedure. Higher level scores are determined from tables which assign aggregate scores based on component ratings. Three such tables are included at Figure A-B. 1.

From Figure A-B. 1. we see a C rating in Friendly Military Presence, an A in Friendly Military Activity, B in Law Enforcement, and B in PSDF Activity give a Level 2, Friendly Security, rating of B.

This B considered with a C in Enemy Military and a C in Impact of Military Activity, give a Level 3, Security, rating of C. Given this C in Security, a similarly derived C in Political, and a D in Socio-Economic, the Level 4, Pacification, score is C. The same procedure is applied to obtain all other combinations of ratings.

FIGURE A-B. 1.

SAMPLE HES/70 SCORING TABLES

LEVEL 1					LEVEL 2
<u>Friendly Military Presence</u>	<u>Friendly Military Activity</u>	<u>Law Enforcement</u>	<u>PSDF Activity</u>		<u>Friendly Security</u>
A	A	A	A	=	A
A	A	A	B	=	A
.	.	.	.		.
.	.	.	.		.
.	.	.	.		.
a. C	A	B	B	=	B
.	.	.	.		.
.	.	.	.		.
E	E	E	E	=	E

LEVEL 2				LEVEL 3
<u>Friendly Security</u>	<u>Enemy Activity</u>	<u>Impact of Military Activity</u>		<u>Security</u>
A	A	A	=	A
A	A	B	=	A
.	.	.		.
.	.	.		.
.	.	.		.
b. B	C	C	=	C
.	.	.		.
.	.	.		.
E	E	E	=	E

Figure A-B. 1. (Cont'd)

	LEVEL 3			=	LEVEL 4
	<u>Security</u>	<u>Political</u>	<u>Socio-economic</u>		<u>Pacification</u>
	A	A	A	=	A
	A	A	B	=	A
	A	A	C	=	A
	.	.	.		.
	.	.	.		.
c.	B	D	C	=	C
	B	D	D	=	C
	.	.	.	=	.
	.	.	.		.
	C	C	D	=	C
	.	.	.		.
	.	.	.		.
	E	E	D	=	E
	E	E	E	=	E

FIGURE A-B. 2.

Friendly Military Presence

Question: During the hours of darkness, what is the probably reaction time of the nearest friendly ground reaction force, if called on by local security forces?

B5

	<u>A</u>	<u>B</u>	<u>C</u>	<u>D</u>	<u>E</u>
1. No reaction support available	0	0	10	40	75
2. More than four hours	5	10	15	30	15
3. Two to four hours	20	25	25	20	10
4. Less than two hours	75	65	50	10	0

FIGURE A-B. 3.

Friendly Military Presence

Step 1	Initial assumption	A=B=C=D=E
Step 2	Question 1: Friendly ground night reaction time more than four hours	C vs. 2D
Step 3	Question 2: Less than 40% of households subject to enemy cadre at night	C vs. D
Step 4	Question 3: GVN officials able to freely move about during day	2C vs. D
Step 5	Question 4: Friendly local forces conduct daytime security operations	10C vs. D
Step 6	Final rating for Friendly Military Presence	C

ANNEX C

HAMLET RATING DESCRIPTIONS

Introduction

The definitions given here are conditions that are likely to be found in a hamlet with the given rating. Actual categories assigned to specific hamlets are determined by the responses to the questions for each hamlet. Consequently, some variation about the likely conditions described here can be expected.

Likelihood of Events

To more precisely describe relative likelihoods of events between the five rating categories, a table was devised to give a consistent correspondence between certain qualitative statements concerning the likelihood of an event and the quantitative range of probabilities of its occurrence. The complete set of nine gradations of likelihood is given, although not all were used in the rating descriptions.

<u>Likelihood of Occurrence</u>	<u>Probability Range</u>
Virtually Certain - - - - -	.99 - 1.00
Very Highly Likely - - - - -	.95 - .98
Highly Likely - - - - -	.85 - .94
Likely - - - - -	.55 - .84
Equally Likely - - - - -	.46 - .54
Unlikely - - - - -	.16 - .45
Highly Unlikely - - - - -	.06 - .15
Very Highly Unlikely - - - - -	.02 - .05
Virtually Impossible - - - - -	.00 - .01

- Examples:
1. "Armed enemy forces are very highly unlikely to have entered inhabited areas of the hamlet during the month" means that there is a 2% - 5% chance that armed enemy forces will have entered the hamlet during the month.
  2. "If friendly security forces were present, contact with the enemy was highly likely" means that when friendly forces are present, there is an 85% - 94% chance that contact with the enemy will be made during the month.

LEVEL 4 MODEL: Pacification

CATEGORY A

1. Armed enemy military forces are very highly unlikely to have entered inhabited areas of the hamlet during the month.
2. Friendly local security forces conduct adequate security operations in the hamlet both day and night.
3. GVN law enforcement is adequate both day and night.
4. PSDF conducts armed patrols and stands armed guard in the hamlet.
5. At most sporadic covert activity, little or no overt activity of the hamlet or village VCI.
6. Enemy does not collect taxes in cash or in kind from hamlet residents.
7. GVN hamlet chief is elected and regularly present in the hamlet day and night.
8. Many hamlet households (40%-90%) have members participating in GVN-sponsored self-development projects.
9. GVN-approved medical services are accessible to hamlet residents and are located in this hamlet.
10. Children of hamlet residents are able to attend GVN-sanctioned primary school, usually located in this hamlet.
11. No enemy guerrilla units are regularly present in the village.

CATEGORY B

1. Armed enemy military forces are highly unlikely to have entered inhabited areas of the hamlet during the month.
2. Friendly local security forces conduct adequate security operations by day and marginal to adequate by night.
3. GVN law enforcement is adequate by day, marginal to adequate by night.
4. PSDF stands armed guard and possibly conducts armed patrols in the hamlet.
5. Sporadic covert activity, little or no overt activity of the hamlet or village VC infrastructure.
6. Enemy collects taxes from hamlet residents at most sporadically.
7. GVN hamlet chief is elected and regularly present in the hamlet by day and usually at night.
8. Some hamlet households (10% - 40%) have members participating in GVN-sponsored self-development projects.
9. GVN-approved medical services are accessible to hamlet residents but are possibly located in a nearby hamlet.
10. Children of hamlet residents are able to attend GVN-sanctioned primary school, located in this or a nearby hamlet.
11. Less than a squad of enemy guerrilla units are regularly present in the village.

CATEGORY C

1. Armed enemy military forces are unlikely to have entered inhabited areas of the hamlet during the month.
2. Friendly local security forces conduct adequate security operations by day but only marginal at night.
3. GVN law enforcement is marginal to adequate by day and only marginal at night.
4. PSDF may have armed guards but rarely conduct armed patrols in the hamlet.
5. Sporadic to regular covert hamlet or village VCI activity, but only sporadic overt activity.
6. Enemy collects taxes sporadically from hamlet residents.
7. GVN hamlet chief is sometimes appointed rather than elected and is usually in the hamlet only during daylight hours.

8. A few hamlet households (less than 10%) have members participating in GVN-sponsored self-development projects.
9. GVN-approved medical services are accessible to hamlet residents but may be located further than a nearby hamlet.
10. Children of hamlet residents are able to attend GVN-sanctioned primary school - possibly located further than a nearby hamlet.
11. Largest enemy guerrilla unit regularly present in the village is about a squad.

CATEGORY D

1. Armed enemy military forces are likely to have entered inhabited areas of the hamlet during the month.
2. Friendly local security forces conduct only marginal security operations day or night.
3. GVN law enforcement is marginal by day, at best marginal at night.
4. PSDF infrequently stands armed guard in the hamlet.
5. Regular covert hamlet and village VCI activity by day and regular overt activity at night.
6. Sporadic to regular tax collection by the enemy.
7. GVN hamlet chief is usually appointed rather than elected and never stays in the hamlet at night.
8. There are generally no GVN-sponsored self-development projects.
9. GVN-approved medical services are infrequently accessible and probably located further than a nearby hamlet.
10. Children of hamlet residents are able to attend GVN-sanctioned primary school which may be located further than a nearby hamlet.
11. Largest enemy guerrilla unit regularly present in the village is about a platoon.

CATEGORY E

1. Armed enemy military forces are regularly present in inhabited areas of the hamlet.
2. When friendly local security forces are present, they do not conduct security operations by day or night.
3. There is no GVN law enforcement in the hamlet.
4. There is no PSDF in the hamlet.
5. VCI is the primary authority in the hamlet and village day and night.
6. Regular and systematic tax collection by the enemy.
7. There is no GVN hamlet chief.
8. No GVN-sponsored self-development projects.
9. GVN-approved medical services are not accessible to hamlet residents.
10. Children of hamlet residents are not able to attend a GVN-sanctioned primary school.
11. A platoon or more of enemy guerrilla units are regularly present in the village.

CATEGORY V

1. The enemy are reported to be in physical control of the hamlet.

LEVEL 3 MODEL - Security

CATEGORY A

1. No hamlet households are located where enemy agents can move about with relative freedom at night.
2. The enemy is highly unlikely to have initiated action against local security forces during the month.
3. Armed enemy military forces are very highly unlikely to have entered inhabited areas of the hamlet during the month.
4. No enemy local or main force units are present in or near inhabited areas of the village during the month.
5. Friendly local security forces conduct adequate security operations in the hamlet day and night.
6. GVN law enforcement is adequate both day and night.
7. PSDF conducts armed patrols and stands armed guard in the hamlet.

CATEGORY B

1. Less than 10% of hamlet households are located where enemy agents can move about with relative freedom at night.
2. The enemy is unlikely to have initiated action against local security forces during the month.
3. Armed enemy military forces are highly unlikely to have entered inhabited areas of the hamlet during the month.
4. The largest enemy local or main force unit present in or near inhabited areas of the village during the month was less than a platoon.
5. Friendly local security forces conduct adequate security operations by day and marginal to adequate by night.
6. GVN law enforcement is adequate by day, marginal to adequate at night.
7. PSDF stands armed guard and possibly conducts armed patrols within the hamlet.

CATEGORY C

1. Between 10% and 40% of hamlet households are located in areas where enemy agents can move about with relative freedom at night.
2. The enemy is likely to have initiated action against local security forces during the month.
3. Armed enemy military forces are unlikely to have entered inhabited areas of the hamlet during the month.
4. The largest enemy main or local force present in or near inhabited areas of the village during the month was about a platoon.
5. Friendly local security forces conduct adequate security operations by day but only marginal at night.
6. GVN law enforcement is marginal to adequate by day but only marginal at night.
7. PSDF may have armed guards but rarely conduct armed patrols within the hamlet.

CATEGORY D

1. Many hamlet households (40%-90%) are located in areas where enemy agents can move about with relative freedom at night.
2. The enemy is highly likely to have initiated action against local security forces during the month.
3. Armed enemy military forces are likely to have entered inhabited areas of the hamlet during the month.
4. The largest enemy main or local force present in or near the inhabited areas of the village during the month was about a company.
5. Friendly local security forces conduct only marginal security operations in the hamlet day and night.
6. GVN law enforcement is marginal by day; at best marginal at night.
7. PSDF infrequently stands armed guard in the hamlet.

CATEGORY E

1. All or nearly all hamlet households are located in areas where enemy agents can move about with relative freedom at night.
2. If local security forces were present, the enemy is highly likely to have initiated action against them during the month.
3. Armed enemy military forces were regularly present in inhabited areas of the hamlet during the month.
4. A company or more of enemy main or local force units were present in or near inhabited areas of the village during the month.
5. Where local security forces are present, they do not conduct security operations either by day or night.
6. There is no GVN law enforcement in the hamlet.
7. There is no PSDF in the hamlet.

LEVEL 3 MODEL - Political

CATEGORY A

1. At most sporadic covert activity little or no overt activity of the hamlet and village VCI.
2. No hamlet households have members participating in enemy-organized non-military group activities.
3. No hamlet households have members in enemy service.
4. GVN hamlet chief is elected and regularly present in the hamlet both day and night.
5. GVN static visual displays are regularly posted in the hamlet.
6. All or nearly all hamlet households have members active in the PSDF.
7. Enemy does not collect taxes in cash or in kind from hamlet households.

CATEGORY B

1. Sporadic covert activity, little or no overt activity of hamlet or village VCI.
2. A few hamlet households (less than 10%) have members participating in enemy-organized non-military group activities.
3. Less than 10% of hamlet households have members in enemy service.
4. GVN hamlet chief is elected and regularly present in the hamlet by day and usually at night.
5. GVN static visual displays are posted in the hamlet periodically (several times a month).
6. Many hamlet households (40%-90%) have members active in the PSDF.
7. At most sporadic enemy tax collection from hamlet households.

CATEGORY C

1. Sporadic to regular covert activity and sporadic overt activity of hamlet and village VCI.
2. Between 10% and 40% of hamlet households have members participating in enemy-organized non-military group activities.
3. Between 10% and 40% of hamlet households have members in enemy service.
4. GVN hamlet chief is sometimes appointed rather than elected and is usually in the hamlet only during daylight hours.
5. GVN static visual displays are posted in the hamlet infrequently.
6. Some hamlet households (10%-40%) have members active in the PSDF.
7. Sporadic enemy tax collection from hamlet households.

CATEGORY D

1. Regular covert activity of hamlet and village VCI by day, and regular overt activity by night.
2. Between 40% and 90% of hamlet households have members participating in enemy-organized non-military group activities.
3. Between 40% and 90% of hamlet households have members in enemy service.
4. GVN hamlet chief is usually appointed rather than elected and never stays in the hamlet at night.
5. GVN static visual displays are seldom if ever posted in the hamlet.
6. A few hamlet households (less than 10%) have members active in the PSDF.
7. Sporadic to regular enemy tax collection from hamlet households.

CATEGORY E

1. VCI is the primary authority in the hamlet and village day and night.
2. All or nearly all hamlet households have members participating in enemy-organized non-military group activities.
3. All or nearly all hamlet households have members in enemy service.
4. GVN hamlet chief, if existent, is not regularly present in the hamlet.
5. GVN static visual displays are never posted in the hamlet.
6. There is no PSDF activity in the hamlet.
7. Regular and systematic enemy tax collection from hamlet households.

LEVEL 3 MODEL - Socio-Economic

CATEGORY A

1. GVN-approved medical services are accessible to hamlet residents and are located in this hamlet.
2. Children of hamlet residents are able to attend GVN-sanctioned primary school classes located in this hamlet.
3. All or nearly all eligible school-age children of village residents attend GVN-sanctioned secondary schools.
4. A variety of foodstuffs, as well as ample quantities of manufactured goods are available at local markets.
5. Many hamlet households (40%-90%) have members participating in GVN-sponsored economic improvement programs.
6. All or nearly all hamlet households own a motorized vehicle.
7. GVN collects taxes in the village systematically.

CATEGORY B

1. GVN-approved medical services are accessible to hamlet residents but are possibly located in a nearby hamlet.
2. Children of hamlet residents are able to attend GVN-sanctioned primary school classes, located in this or a nearby hamlet.
3. Between 40% and 90% of eligible school-age children of village residents attend secondary schools sanctioned by the GVN.
4. A variety of foodstuffs, as well as ample quantities of manufactured goods are usually available at local markets.
5. Some hamlet households (10%-40%) have members participating in GVN-sponsored economic improvement programs.
6. Many households (40%-90%) own motorized vehicles.
7. GVN collects taxes in the village frequently.

CATEGORY C

1. GVN-approved medical services are accessible to hamlet residents but may be located further than a nearby hamlet.
2. Children of hamlet residents are able to attend GVN-sanctioned primary school classes possibly located further than a nearby hamlet.
3. Between 10% and 40% of eligible school-age children of village residents attend secondary schools sanctioned by the GVN.
4. Varieties of foodstuffs and manufactured goods are available in local markets but often in limited supply.
5. A few hamlet households (less than 10%) have members participating in GVN-sponsored economic improvement programs.
6. Some hamlet households (10%-40%) own motorized vehicles.
7. GVN collects taxes in the village sporadically.

CATEGORY D

1. GVN-approved medical services are infrequently accessible and are usually located further than a nearby hamlet.
2. Children of hamlet residents are able to attend GVN-sanctioned primary school classes probably located further than a nearby hamlet.
3. Less than 10% of eligible school-age children of village residents attend secondary schools sanctioned by the GVN.

LEVEL 2 MODEL - Enemy Military

CATEGORY A

1. No enemy guerrilla units are regularly present in the village.
2. Enemy is highly unlikely to have initiated action against local security forces during the month.
3. Armed enemy military forces are very highly unlikely to have entered inhabited areas of the hamlet during the month.
4. No enemy main or local force units are present in or near inhabited areas of the village during the month.
5. Enemy forces are very highly unlikely to have had assembly areas in or adjacent to this village for use in operations against friendly activities in the general area during the quarter.

CATEGORY B

1. Size of the largest enemy guerrilla units regularly present in the village is less than a squad.
2. Enemy is unlikely to have initiated action against local security forces during the month.
3. Armed enemy military forces are highly unlikely to have entered inhabited areas of the hamlet during the month.
4. The largest enemy main or local force present in or near inhabited areas of the village during the month was less than a platoon.
5. Enemy forces are unlikely to have had temporary havens in or adjacent to this village for use in operations against friendly activities in the general area during the quarter.

CATEGORY C

1. Size of the largest enemy guerrilla units regularly present in the village is between a squad and a platoon.
2. Enemy is likely to have initiated action against local security forces during the month.
3. Armed enemy military forces are unlikely to have entered inhabited areas of the hamlet during the month.
4. The largest enemy main or local force units present in or near inhabited areas of the village during the month was about a platoon.
5. Enemy forces are likely to have had temporary havens or small base areas in or adjacent to this village for use in operations against friendly activities in the general area during the quarter.

CATEGORY D

1. Size of the largest enemy guerrilla units regularly present in the village is about a platoon.
2. Enemy is highly likely to have initiated action against local security forces during the month.
3. Armed enemy military forces are likely to have entered inhabited areas of the hamlet during the month.
4. The largest enemy main or local force units present in or near inhabited areas of the village during the month was about a company.
5. Enemy forces are likely to have had large base areas in or adjacent to this village for use in operations against friendly activities in the general area during the quarter.

CATEGORY E

1. Enemy guerrilla units of platoon size or larger are regularly present in or near the village.
2. If local security forces were present, enemy-initiated action against them was highly likely during the month.
3. Armed enemy military forces were regularly present in inhabited areas of the hamlet during the month.
4. A company or more of enemy main or local force units was present in or near inhabited areas of the village during the month.
5. Enemy forces are likely to have had major base areas in or adjacent to this village for use in operations against friendly activities in the general area during the quarter.

LEVEL 2 MODEL - Friendly Security

CATEGORY A

1. Friendly local security forces conduct adequate security operations in the hamlet day and night.
2. No hamlet households are located in areas where enemy agents can move about with relative freedom at night.
3. GVN law enforcement is adequate day and night.
4. GVN hamlet chief is regularly present in the hamlet day and night.
5. All hamlet households are located in areas where GVN administrative personnel can move about with relative freedom during daylight hours.
6. PSDF conducts armed patrols and stands armed guard within the hamlet.

CATEGORY B

1. Friendly local security forces conduct adequate security operations in the hamlet by day and marginal to adequate at night.
2. Less than 10% of hamlet households are located in areas where enemy agents can move about with relative freedom at night.
3. GVN law enforcement is adequate by day, marginal to adequate at night.
4. GVN hamlet chief is regularly present in the hamlet by day, frequently at night.
5. A few hamlet households (less than 10%) are located in areas where GVN administrative personnel cannot move about with relative freedom during daylight hours.
6. PSDF stands armed guard and possibly conducts armed patrols within the hamlet.

CATEGORY C

1. Friendly local security forces conduct adequate security operations in the hamlet by day, only marginal at night.
2. Between 10% and 40% of hamlet households are located in areas where enemy agents can move about with relative freedom at night.
3. GVN law enforcement is marginal to adequate by day and only marginal at night.
4. GVN hamlet chief is regularly present in the hamlet only during daylight hours.
5. Between 10% and 40% of hamlet households are located in areas where GVN administrative personnel cannot move about with relative freedom during daylight hours.
6. PSDF may have armed guards but rarely conduct armed patrols within the hamlet.

CATEGORY D

1. Friendly local security forces conduct only marginal security operations in the hamlet by day or night.
2. Many hamlet households (40%-90%) are located in areas where enemy agents can move about with relative freedom at night.
3. GVN law enforcement is marginal by day, at best marginal at night.
4. GVN hamlet chief is only irregularly present in the hamlet.
5. Between 40% and 90% of hamlet households are located in areas where GVN administrative personnel cannot move about with relative freedom during daylight hours.
6. PSDF infrequently stands guard in the hamlet.

CATEGORY E

1. No security operations conducted by friendly local security forces -- if such exist.
2. All or nearly all hamlet households are located in areas where enemy agents can move about with relative freedom at night.
3. No law enforcement by GVN authorities day or night.
4. GVN hamlet chief, if existent, is not regularly present in the hamlet.
5. All or nearly all hamlet households are located in areas where GVN administrative personnel cannot move about with relative freedom during daylight hours.
6. There is no hamlet PSDF.

LEVEL 2 MODEL - Enemy Political

CATEGORY A

1. At most sporadic covert activity, little or no overt activity of the hamlet VCI.
2. No enemy tax collection from hamlet households.
3. No hamlet households have members participating in enemy-organized non-military group activities.
4. No hamlet households have members in enemy service.
5. Enemy propaganda meetings or distribution of printed propaganda is very highly unlikely to have occurred during the month.

CATEGORY B

1. Sporadic covert, little or no overt activity of hamlet VCI.
2. At most sporadic enemy tax collection from hamlet households.
3. Less than 10% of hamlet households have members participating in enemy-organized non-military group activities.
4. Less than 10% of hamlet households have members in enemy service.
5. Enemy propaganda meetings or distribution of printed propaganda is highly unlikely to have occurred during the month.

CATEGORY C

1. Sporadic to regular covert activity of hamlet VCI, sporadic overt activity at night.
2. Sporadic enemy tax collection from hamlet households.
3. Between 10% and 40% of hamlet households have members in enemy service.
5. Enemy propaganda meetings or distribution of printed propaganda are unlikely to have occurred during the month.

CATEGORY D

1. Regular covert activity of hamlet VCI by day, regular overt activity at night.
2. Sporadic to regular enemy tax collection from hamlet households.
3. Many hamlet households (40%-90%) have members participating in enemy-organized non-military group activities.
4. Many hamlet households (40%-90%) have members in enemy service.
5. Enemy propaganda meetings or distribution of printed propaganda are likely to have occurred during the month.

CATEGORY E

1. The VCI is the primary authority in the hamlet day and night.
2. Regular and systematic enemy tax collection from hamlet households.
3. All or nearly all hamlet households have members participating in enemy-organized non-military group activities.
4. All or nearly all hamlet households have members in enemy service.
5. Enemy propaganda meetings or distribution of printed propaganda are highly likely to have occurred during the month.

LEVEL 2 MODEL - Friendly Political

CATEGORY A

1. GVN hamlet chief is elected and regularly present in the hamlet day and night.
2. GVN village chief visits the hamlet at least several times a week and the hamlet has regular representation at village council meetings.
3. All or nearly all hamlet households have members active in the PSDF.
4. GVN static visual displays are regularly posted in the hamlet.
5. Civil disputes are settled by GVN officials.

CATEGORY B

1. GVN hamlet chief is elected and regularly present in the hamlet by day and usually at night.
2. GVN village chief visits the hamlet several times a month and the hamlet usually has regular representation at village council meetings.
3. Many hamlet households (40%-90%) have members active in the PSDF.
4. GVN static visual displays are posted in the hamlet periodically (several times a month).
5. Civil disputes are usually settled by GVN officials.

CATEGORY C

1. GVN hamlet chief is sometimes appointed rather than elected and is usually in the hamlet only during daylight hours.
2. GVN village chief visits the hamlet less than once a month and the hamlet is sometimes represented at village council meetings.
3. Some hamlet households (10%-40%) have members active in the PSDF.
4. GVN static visual displays are posted in the hamlet infrequently.
5. Civil disputes are settled either by the GVN or the VCI.

CATEGORY D

1. GVN hamlet chief is usually appointed rather than elected and never stays in the hamlet at night.
2. GVN village chief, if existent, seldom visits the hamlet and the hamlet is seldom represented at village council meetings.
3. A few hamlet households (less than 10%) have members active in the PSDF.
4. GVN static visual displays are seldom if ever posted in the hamlet.
5. Civil disputes are settled either by the GVN or the VCI.

CATEGORY E

1. GVN hamlet chief, if existent, is not regularly present in the hamlet.
2. GVN village chief, if existent, never visits the hamlet and the hamlet has no representation at village council meetings.
3. There is no PSDF in the hamlet.
4. GVN static visual displays are never posted in the hamlet.
5. Civil disputes are usually settled by the VCI.

LEVEL 2 MODEL - Social

CATEGORY A

1. GVN-approved medical services are accessible to hamlet residents and are located in this hamlet.
2. Children of hamlet residents are able to attend GVN-sanctioned primary school classes in this hamlet.
3. All or nearly all eligible school-age children in the village attend village secondary schools sanctioned by GVN.
4. A GVN-sponsored dispensary is located in this village and is accessible to village residents.
5. No hamlet households require assistance from others to maintain themselves at a subsistence level.

CATEGORY B

1. GVN-approved medical services are accessible to hamlet residents but are possibly located in a nearby hamlet.
2. Children of hamlet residents are able to attend GVN-sanctioned primary school classes in this or a nearby hamlet.
3. Between 40% and 90% of eligible school-age children of village residents attend village secondary schools sanctioned by the GVN.
4. A GVN-sponsored dispensary is accessible to village residents but may be located in a nearby village.
5. Less than 10% of hamlet households require assistance from others to maintain themselves at a subsistence level.

CATEGORY C

1. GVN-approved medical services are accessible to hamlet residents but may be located further than a nearby hamlet.
2. Children of hamlet residents are able to attend GVN-sanctioned primary school classes possibly located further than a nearby hamlet.
3. Between 10% and 40% of eligible school-age children of village residents attend village secondary schools sanctioned by the GVN.
4. A GVN-sponsored dispensary is accessible to village residents but is probably located in an adjacent village.
5. Some hamlet households (10%-40%) require assistance from others to maintain themselves at a subsistence level.

CATEGORY D

1. GVN-approved medical services are infrequently accessible to hamlet residents and are usually located further than a nearby hamlet.
2. Children of hamlet residents are usually able to attend GVN-sanctioned primary school classes but located further than a nearby hamlet.
3. Less than 10% of eligible school-age children of village residents attend village secondary schools sanctioned by the GVN.
4. A GVN-sponsored dispensary is infrequently accessible to village residents and is probably located further than an adjacent village.
5. Most hamlet households (40%-90%) require assistance from others to maintain themselves at a subsistence level.

CATEGORY E

1. GVN-approved medical services are not accessible to hamlet residents.
2. Children of hamlet residents are unable to attend GVN-sanctioned primary school classes.
3. No children of village residents attend village secondary schools sanctioned by the GVN.
4. GVN sponsored dispensary is not accessible to village residents.
5. All or nearly all hamlet households require assistance from others to maintain themselves at a subsistence level.

LEVEL 2 MODEL - Economic

CATEGORY A

1. All or nearly all hamlet households own motorized vehicles.
2. GVN technical personnel visit the hamlet in support of local development programs periodically (at least 1-3 times per month).
3. An ample supply of foodstuffs and manufactured goods is always available at the local market.
4. GVN collects taxes in the village systematically.
5. There is usually a large surplus of goods and food produced in the village.

CATEGORY B

1. Many hamlet households (40%-90%) own motorized vehicles.
2. GVN technical personnel visit the hamlet in support of local development programs about once a month.
3. An ample supply of foodstuffs and manufactured goods is usually available at the local market.
4. GVN collects taxes in the village frequently.
5. There is usually some surplus of goods or food produced in the village.

CATEGORY C

1. Some hamlet households (10%-40%) own motorized vehicles.
2. GVN technical personnel visit the hamlet in support of local development programs less than once a month.
3. Foodstuffs and manufactured goods are available at the local market but often in limited quantities.
4. GVN collects taxes in the village sporadically.
5. There is usually a small surplus of goods or food produced in the village.

CATEGORY D

1. Less than 10% of hamlet households own motorized vehicles.
2. GVN technical personnel rarely visit the hamlet in support of local development programs.
3. Foodstuffs and manufactured goods are available at the local market but almost always in limited supply.
4. GVN rarely collects taxes in the village.
5. There is usually little or no surplus of goods and food produced in the village.

CATEGORY E

1. Very few if any hamlet households own motorized vehicles.
2. GVN technical personnel seldom if ever visit the hamlet in support of local development programs.
3. Neither foodstuffs nor manufactured goods are available at the local market.
4. GVN does not collect taxes in the village.
5. There is rarely any surplus of goods or food produced in the village.

LEVEL 1 MODEL: Enemy Military Presence

CATEGORY A

1. No households are located in areas where enemy agents can move about freely at night.
2. No enemy harassment or taxation occurs on the main road to the province capital; the road is always open during daylight hours.
3. No enemy guerrilla units are regularly present in or near the village.
4. No enemy main or local force units are regularly present in or near the hamlet during the month.
5. No enemy assembly areas are located in or near the village.

CATEGORY B

1. Less than 10% of hamlet households are located where enemy agents can move about freely at night.
2. No enemy harassment or taxation occurs on the main road to the province capital; the road is always open during daylight hours.
3. Largest enemy guerrilla units regularly present in or near the village is less than a squad.
4. Largest enemy main or local force units regularly present in or near the hamlet is less than a platoon.
5. Small enemy assembly areas may be located in or near the village.

CATEGORY C

1. Between 10% and 40% of hamlet households are located where enemy agents can move about freely at night.
2. Enemy harassment or taxation occurs at most sporadically on the main road to the province capital. The road is always open during daylight hours.
3. Largest enemy guerrilla units regularly present in or near the village is between a squad and a platoon.
4. Largest enemy main or local force units regularly present in or near the hamlet is about a platoon.
5. Small enemy base areas are often located in or near the village.

CATEGORY D

1. Between 40% and 90% of hamlet households are located in areas where enemy agents can move about with relative freedom during hours of darkness.
2. Enemy harassment or taxation occurs either sporadically or regularly on the main road to province capital; but the road generally is open during daylight hours.
3. Largest enemy guerrilla units regularly present in or near the village is about a platoon.
4. Largest enemy main or local force units regularly present in or near the hamlet is about a company.
5. Large enemy base areas may be located in or near the village.

CATEGORY E

1. Enemy agents can move freely throughout the hamlet at night.
2. The main road to the province capital is open, regular enemy harassment and taxation occurs.
3. Enemy guerrilla units of platoon size or larger are regularly present in or near the village.
4. Enemy main or local force units of company size or larger are regularly present in or near the hamlet.
5. Major enemy base areas are located in vicinity of the village.

LEVEL 1 MODEL - Enemy Military Activity

CATEGORY A

1. Enemy is very highly unlikely to have initiated action against local security forces during the month.
2. Armed enemy military forces are very highly unlikely to have entered inhabited areas of the hamlet during the month.
3. No enemy main or local force units were present in or near inhabited areas of the village during the month.
4. Civilian casualties are very highly unlikely to have resulted from enemy military activities during the month.

CATEGORY B

1. Enemy is highly unlikely to have initiated action against local security forces during the month.
2. Armed enemy military forces are highly unlikely to have entered inhabited areas of the hamlet during the month.
3. Largest enemy main or local force unit present in or near inhabited areas of the village during the month was less than a platoon.
4. Civilian casualties are highly unlikely to have resulted from enemy military activities during the month.

CATEGORY C

1. Enemy is unlikely to have initiated action against local security forces during the month.
2. Armed enemy military forces are unlikely to have entered inhabited areas of the hamlet during the month.
3. Largest enemy main or local force unit present in or near inhabited areas of the village during the month was about a platoon.
4. Civilian casualties are unlikely to have resulted from enemy military activities during the month.

CATEGORY D

1. Enemy is likely to have initiated action against local security forces during the month.
2. Armed enemy military forces are likely to have entered inhabited areas of the hamlet during the month.
3. Largest enemy main or local force unit present in or near inhabited areas of the village during the month was of approximately company size.
4. Civilian casualties are likely to have resulted from enemy military activities during the month.

CATEGORY E

1. If local security forces were present, enemy-initiated action against them was highly likely to have occurred during the month.
2. Armed enemy military forces are regularly present in inhabited areas of the hamlet.
3. Enemy main or local force units of company size or larger are present in or near inhabited areas of the village during the month.
4. Civilian casualties are highly likely to have resulted from enemy military activities in those hamlets for which information was obtainable.

LEVEL 1 MODEL: Impact of Military Activity\*

CATEGORY A

1. Civilian casualties or property damage are very highly unlikely to have resulted from either enemy or friendly military activity during the month.
2. The main surface route leading from the village to province capital is open and there is no enemy harassment or taxation.
3. Attendance at primary school is not restricted because of security conditions.
4. Friendly artillery fire or air strikes are highly unlikely to have been directed in or near inhabited areas of the hamlet during the month.

CATEGORY B

1. Civilian casualties or property damage are highly unlikely to have resulted from enemy or friendly military activity during the month.
2. The main surface route leading from the village to province capital is open. There is infrequent enemy harassment or taxation.
3. Attendance at primary school is not restricted because of security conditions.
4. Friendly artillery fire or air strikes are unlikely to have been directed in or near inhabited areas of the hamlet during the month.

CATEGORY C

1. Civilian casualties or property damage are unlikely to have resulted from enemy or friendly military activity during the month.
2. The main surface route leading from the village to province capital is open but there is likely to be enemy harassment or taxation.
3. Attendance at primary schools is possibly restricted because of security conditions.
4. Friendly artillery fire or air strikes are likely to have been directed in or near inhabited areas of the hamlet during the month.

CATEGORY D

1. Civilian casualties or property damage are likely to have resulted from enemy or friendly military activity during the month.
2. The main surface route leading from the village to province capital may be closed; if open, enemy harassment or taxation is highly likely.
3. Attendance at primary school is often restricted because of security conditions.
4. Friendly artillery fire or air strikes are highly likely to have been directed in or near inhabited areas of the hamlet during the month.

CATEGORY E

1. Civilian casualties or property damage are highly likely to have resulted from enemy or friendly military activity during the month.
2. The main surface route leading from the village to province capital is likely to be closed; if open, there is frequent enemy harassment or taxation.
3. Attendance at primary school is severely restricted due to security conditions.
4. Repeated friendly artillery fire or air strikes are highly likely to have been directed in or near inhabited areas during the month.

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\* Function of this submodel: To estimate the degree of disruption of normal patterns of hamlet life due to the combination of friendly and enemy military activity in the countryside.

LEVEL 1 MODEL: Friendly Military Presence

CATEGORY A

1. Friendly local security forces conduct adequate security operations along approaches to the hamlet both night and day.
2. No hamlet households are located in areas where enemy agents can move about with relative freedom at night.
3. All hamlet households are located in areas where GVN administrative personnel can move about during daylight hours.
4. GVN hamlet chief is regularly present in the hamlet, day and night.

CATEGORY B

1. Friendly local security forces conduct adequate security operations along approaches to the hamlet by day and marginal to adequate by night.
2. Possibly a few hamlet households (less than 10%) are located in areas where enemy agents can move about with relative freedom at night.
3. Less than 10% of hamlet households are located in areas where GVN administrative personnel cannot move about during daylight hours.
4. GVN hamlet chief is regularly present in the hamlet by day and frequently at night.

CATEGORY C

1. Friendly local security forces conduct adequate security operations along approaches to the hamlet by day but only marginal at night.
2. Some hamlet households (10%-40%) are located in areas where enemy agents can move with relative freedom at night.
3. Some hamlet households (10%-40%) are located where GVN administrative personnel cannot move about during daylight hours.
4. GVN hamlet chief is regularly present only during daylight hours.

CATEGORY D

1. Friendly local security forces conduct at best marginal security operations along approaches to the hamlet day and night.
2. Many hamlet households (40%-90%) are located in areas where enemy agents can move about with relative freedom at night.
3. Many hamlet households (40%-90%) are located in areas where GVN administrative personnel cannot move about during daylight hours.
4. GVN hamlet chief is only irregularly present.

CATEGORY E

1. Friendly local security forces, if present, do not conduct security operations along approaches to the hamlet.
2. All or nearly all hamlet households are located in areas where enemy agents can move about with relative freedom at night.
3. All or nearly all hamlet households are located in areas where GVN administrative personnel cannot move about during daylight hours.
4. GVN hamlet chief, if existent, is not regularly present in the hamlet.

LEVEL 1 MODEL: Friendly Military Activity

CATEGORY A

1. Local friendly military activities are highly unlikely to have resulted in contact with the enemy during the month.
2. Civilian casualties or property damage are very highly unlikely to have resulted from friendly military activities during the month.
3. Friendly local security forces conduct adequate security operations along approaches to the hamlet day and night.
4. Friendly artillery fire or air strikes are highly unlikely to have been directed in or near inhabited areas of the hamlet during the month.

CATEGORY B

1. Local friendly military activities are unlikely to have resulted in contact with the enemy during the month.
2. Civilian casualties or property damage are highly unlikely to have resulted from friendly military activities during the month.
3. Friendly local security forces conduct adequate security operations along approaches to the hamlet during daylight hours and usually adequate operations at night.
4. Friendly artillery fire or air strikes are unlikely to have been directed in or near inhabited areas of the hamlet during the month.

CATEGORY C

1. Local friendly military activities are likely to have resulted in contact with the enemy during the month.
2. Civilian casualties or damage to property are unlikely to have resulted from friendly military activities during the month.
3. Friendly local security forces conduct adequate operations along approaches to hamlet during daylight hours but only marginal operations at night.
4. Friendly artillery fire or air strikes are likely to have been directed in or near inhabited areas of the hamlet during the month.

CATEGORY D

1. Local friendly military activities are highly likely to have resulted in contact with the enemy during the month.
2. Civilian casualties or damage to property are likely to have resulted from friendly military activities during the month.
3. Friendly local security forces conduct at best marginal operations along approaches to the hamlet both day and night.
4. Friendly artillery fire or air strikes are very likely to have been directed in or near inhabited areas of the hamlet during the month.

CATEGORY E

1. If friendly security forces were present, contact with the enemy was highly likely.
2. Civilian casualties or damage to property of residents is highly likely to have resulted from friendly military activities during the month.
3. No security operations conducted due to absence of friendly security forces.
4. Repeated friendly artillery or air strikes are highly likely to have been directed in or near inhabited areas of the hamlet during the month.

LEVEL 1 MODEL: Law Enforcement

CATEGORY A

1. National Police are primarily responsible for enforcing the law.
2. National Police or NPFF are regularly present in the hamlet both day and night.
3. Civil disputes are settled by GVN officials.
4. National Police conduct adequate law enforcement in the hamlet both day and night.

CATEGORY B

1. National Police or PF are primarily responsible for enforcing the law.
2. National Police or NPFF are regularly present in the hamlet only by day.
3. Civil disputes are usually settled by GVN officials.
4. National Police or PF conduct adequate law enforcement by day and marginal to adequate at night.

CATEGORY C

1. RF and PF are primarily responsible for enforcing the laws.
2. National Police or NPFF are only irregularly present in the hamlet.
3. Civil disputes are settled either by the GVN or VCI.
4. RF and PF conduct marginal to adequate law enforcement by day and marginal at night.

CATEGORY D

1. RF and PF primarily are responsible for enforcing the law - if friendly security forces are present.
2. National Police or NPFF are seldom present in the hamlet - less than once a month on the average.
3. Civil disputes are settled either by the GVN or VCI.
4. RF and PF conduct at best marginal law enforcement during the day and little or none at night.

CATEGORY E

1. In the majority of cases there are no friendly security forces present.
2. National Police or NPFF are never present in the hamlet.
3. Civil disputes are settled by the VCI.
4. No GVN law enforcement.

LEVEL 1 MODEL: PSDF Activity

CATEGORY A

1. All or nearly all hamlet households have members active in the PSDF.
2. PSDF conducts armed patrols and stands armed guard within the hamlet.
3. If enemy contact was made, PSDF performance was outstanding.

CATEGORY B

1. Many hamlet households (40%-90%) have members active in the PSDF.
2. PSDF stands armed guard and possibly conducts armed patrols within the hamlet.
3. If enemy contact was made, PSDF performance was satisfactory.

CATEGORY C

1. Some hamlet households (10%-40%) have members active in the PSDF.
2. PSDF may have armed guards but rarely conducts armed patrols in or near the hamlet.
3. If enemy contact was made, PSDF performance was marginal.

CATEGORY D

1. A few hamlet households (less than 10%) have members active in the PSDF.
2. PSDF rarely ever stands guard within the hamlet.
3. If enemy contact was made, PSDF performance was poor.

CATEGORY E

1. No hamlet households have members active in the PSDF.
2. No organized PSDF in the hamlet.

LEVEL 1 MODEL: Enemy Political Presence

CATEGORY A

1. At most sporadic covert activity, but little or no overt activity of the hamlet VCI.
2. Enemy does not collect taxes in cash or in kind from hamlet households.
3. No hamlet households have members participating in enemy-organized non-military group activities.
4. Civil disputes are settled by GVN officials.

CATEGORY B

1. Sporadic covert activity, little or no overt activity of the hamlet VCI.
2. At most sporadic collection of taxes in cash or in kind by the enemy.
3. A few hamlet households (less than 10%) have members participating in enemy-organized non-military group activities.
4. Civil disputes are usually settled by GVN officials.

CATEGORY C

1. Sporadic to regular covert activity and at most sporadic overt activity of the hamlet VCI.
2. Sporadic collection of taxes in cash or in kind by the enemy.
3. Some households (10%-40%) have members participating in enemy-organized non-military group activities.
4. Civil disputes are settled by either the GVN or VCI.

CATEGORY D

1. Regular covert VCI activity by day; regular overt activity at night.
2. Sporadic to regular enemy taxation in cash or in kind of hamlet households.
3. Many households (40%-90%) have members participating in enemy-organized non-military group activities.
4. Civil disputes are settled by either the GVN or VCI.

CATEGORY E

1. The VCI are the primary authority in the hamlet day and night.
2. Regular and systematic enemy taxation in cash or in kind of hamlet households.
3. All or nearly all hamlet households have members participating in enemy-organized non-military group activities.
4. Civil disputes are usually settled by the VCI.

LEVEL 1 MODEL: Enemy Political Activity

CATEGORY A

1. Attempts at selective or general terrorism are very highly unlikely to have occurred during the month.
2. Incidents directed against GVN non-military activities are very highly unlikely to have occurred during the month.
3. Enemy propaganda meetings or distribution of printed propaganda are very highly unlikely to have occurred during the month.
4. At most sporadic covert activity and little or no overt activity of hamlet VC infrastructure.

CATEGORY B

1. Attempts at terrorism, either selective or general are highly unlikely to have occurred during the month.
2. Incidents directed against GVN non-military activities are highly unlikely to have occurred during the month.
3. Enemy propaganda meetings or distribution of printed propaganda are highly unlikely to have occurred during the month.
4. Sporadic covert activity, but little or no overt activity of hamlet VC infrastructure.

CATEGORY C

1. Attempts at selective terrorism against GVN officials, or general terrorism against the people are unlikely to have occurred during the month.
2. Incidents directed against GVN non-military activities are unlikely to have occurred during the month.
3. Enemy propaganda meetings or distribution of printed propaganda are unlikely to have occurred during the month.
4. Sporadic to regular covert VCI activity and at most sporadic overt activity.

CATEGORY D

1. Attempts at selective or general terrorism are likely to have occurred during the month.
2. Incidents directed against GVN non-military activities are likely to have occurred during the month.
3. Enemy propaganda meetings or distribution of printed propaganda are likely to have occurred during the month.
4. Regular covert VCI activity by day; regular overt activity at night.

CATEGORY E

1. Since the VC are in control, there are usually no reported incidents of selective or general terrorism.
2. Incidents directed against GVN non-military activities are highly unlikely to have occurred during the month due to absence of GVN.
3. Enemy propaganda meetings or distribution of printed propaganda are highly likely to have occurred.
4. The VC infrastructure is the primary authority in the hamlet day and night.

LEVEL 1 MODEL: Administration

CATEGORY A

1. GVN hamlet chief is elected and regularly present in the hamlet day and night.
2. Civil disputes are settled by GVN officials.
3. GVN village chief visits the hamlet at least several times a week and the hamlet has regular representation at village council meetings.
4. Presence of RD Cadre in the hamlet generally has had a positive effect on hamlet government.

CATEGORY B

1. GVN hamlet chief is elected and regularly present in the hamlet by day and usually at night.
2. Civil disputes are usually settled by GVN officials.
3. GVN village chief visits the hamlet several times a month and the hamlet usually has regular representation at village council meetings.
4. Presence of RD Cadre in the hamlet generally has had a positive effect on hamlet government.

CATEGORY C

1. GVN hamlet chief is sometimes appointed rather than elected, and is usually in the hamlet only during daylight hours.
2. Civil disputes are settled either by the GVN or VCI.
3. GVN village chief visits the hamlet less than once a month and the hamlet is sometimes represented at village council meetings.
4. Presence of RD Cadre in hamlet usually has had very little effect on hamlet government.

CATEGORY D

1. GVN hamlet chief is usually appointed rather than elected, and is never in the hamlet at night.
2. Civil disputes are settled either by GVN or VCI.
3. GVN village chief, if existent, seldom visits the hamlet and the hamlet is rarely represented at village council meetings.
4. Presence of RD Cadre, if any, usually has had no effect on hamlet government.

CATEGORY E

1. GVN hamlet chief, if existent, is not regularly present in the hamlet.
2. Civil disputes are usually settled by the VCI.
3. The GVN village chief, if existent, never visits the hamlet and the hamlet has no representation at village council meetings.
4. RD Cadre are normally not present in the hamlet.

LEVEL 1 MODEL: RD Cadre

CATEGORY A

1. All or nearly all hamlet households have members active in the PSDF.
2. RD Cadre is currently working in the hamlet and its presence has had a positive effect on hamlet government.
3. Majority of hamlet households have members participating in "Peoples Groups" organized by the RD Cadre.
4. Many households (40%-90%) have members participating in self-development projects.

CATEGORY B

1. Many hamlet households (40%-90%) have members active in the PSDF.
2. RD Cadre is currently working in the hamlet and its presence has often had a positive effect on hamlet government.
3. Some hamlet households (10%-40%) have members participating in "Peoples Groups" organized by the RD Cadre.
4. Some hamlet households (10%-40%) have members participating in self-development projects.

CATEGORY C

1. Some hamlet households (10%-40%) have members active in the PSDF.
2. RD Cadre is occasionally in the hamlet and its presence has usually had a positive effect on hamlet government.
3. A few hamlet households (less than 10%) have members participating in "Peoples Groups" organized by the RD Cadre.
4. A few hamlet households (less than 10%) have members participating in self-development projects.

CATEGORY D

1. A few households (less than 10%) have members active in the PSDF.
2. RD Cadre has worked in the hamlet during the past quarter, and it sometimes has had a positive effect on hamlet government.
3. No hamlet households have members participating in "Peoples Groups" organized by the RD Cadre.
4. Very few hamlet households (less than 10%) have members participating in self-development projects.

CATEGORY E

1. No hamlet households have members active in the PSDF.
2. No RD Cadre in the hamlet during the quarter.
3. No hamlet households have members participating in "Peoples Groups", organized by the RD Cadre.
4. No hamlet households have members participating in self-development projects.

LEVEL 1 MODEL: Information/PSYOPS

CATEGORY A

1. GVN hamlet chief has a resident, full-time trained hamlet Info/Chieu Hoi Cadre.
2. GVN static visual displays are regularly posted in the hamlet.
3. Hamlet residents are exposed to GVN monies, cultural drama team performances, etc., periodically (1-3 times a month) on the average.
4. All or nearly all households have members attending GVN-sponsored public meetings, award ceremonies, etc.

CATEGORY B

1. GVN hamlet chief has a trained hamlet Info/Chieu Hoi Cadre but often not resident or full time.
2. GVN static visual displays are posted in the hamlet periodically (several times a month).
3. Hamlet residents are exposed to GVN movies, cultural drama team performances, etc., on the average about once a month.
4. Many households (40%-90%) have members attending GVN-sponsored public meetings, award ceremonies, etc.

CATEGORY C

1. GVN hamlet chief has appointed a hamlet Info/Chieu Hoi Cadre but it is not yet trained.
2. GVN static visual displays are posted in the hamlet infrequently.
3. Hamlet residents are exposed to GVN movies, cultural drama team performances, etc., infrequently (less than once a month).
4. Some households (10%-40%) have members attending GVN-sponsored public meetings, award ceremonies, etc.

CATEGORY D

1. GVN hamlet chief usually does not have a trained hamlet Info/Chieu Hoi Cadre.
2. GVN static visual displays are seldom if ever posted in the hamlet.
3. Hamlet residents are exposed to GVN movies, cultural drama team performances, etc., very infrequently (once every few months).
4. A few hamlet households have members attending GVN-sponsored public meetings, award ceremonies, etc.

CATEGORY E

1. GVN hamlet chief, if existent, does not have a trained hamlet Info/Chieu Hoi Cadre.
2. GVN static visual displays are never posted in the hamlet.
3. Hamlet residents are never exposed to GVN movies, cultural drama team performances, etc.
4. No households have members attending GVN-sponsored public meetings, award ceremonies, etc.

LEVEL 1 MODEL: Political Mobilization\*

CATEGORY A

1. At most sporadic covert activity, little or no overt activity of the hamlet VCI.
2. No hamlet households have members participating in enemy-organized non-military group activities.
3. All or nearly all hamlet households have members active in the PSDF.
4. Many hamlet households (40%-90%) have members participating in non-VC civic or religious organizations.
5. Many hamlet households (40%-90%) have members participating in Peoples Groups organized by the RD Cadre.

CATEGORY B

1. Sporadic covert VCI activity but little or no overt activity.
2. A few households (less than 10%) have members participating in enemy-organized non-military group activities.
3. Many hamlet households (40%-90%) have members active in the PSDF.
4. Some hamlet households (10%-40%) have members participating in non-VC civic or religious organizations.
5. Some hamlet households (10%-40%) have members participating in Peoples Groups organized by the RD Cadre.

CATEGORY C

1. Sporadic to regular covert VCI activity but at most sporadic overt activity.
2. Some hamlet households (10%-40%) have members participating in enemy-organized non-military group activities.
3. Some hamlet households (10%-40%) have members active in PSDF.
4. Some hamlet households (10%-40%) have members participating in non-VC civic or religious organizations.
5. A few hamlet households have members participating in Peoples Groups organized by RD Cadre.

CATEGORY D

1. Regular covert VCI activity by day, regular overt activity at night.
2. Many hamlet households (40%-90%) have members participating in enemy-organized non-military group activities.
3. Less than 10% of hamlet households have members active in the PSDF.
4. A few hamlet households (less than 10%) have members participating in non-VC civic or religious organizations.
5. No hamlet households have members participating in Peoples Groups organized by RD Cadre.

CATEGORY E

1. VC infrastructure is the primary authority in the hamlet day and night.
2. All or nearly all households have members participating in enemy-organized non-military group activities.
3. No households have members active in the PSDF.
4. No households have members participating in non-VC civic or religious organizations.
5. No households have members participating in Peoples Groups organized by RD Cadre.

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\* Function of this submodel: To estimate the level of political commitment of the people in the individual hamlets.

LEVEL 1 MODEL: Public Health

CATEGORY A

1. GVN-approved medical services are accessible to hamlet residents and are located in this hamlet.
2. A GVN-sponsored dispensary is located in this village and is accessible to hamlet residents.
3. A hospital or private physician practicing western medicine is located in the village and is accessible to hamlet residents.

CATEGORY B

1. GVN-approved medical services are accessible to hamlet residents but are possibly located in a nearby hamlet.
2. A GVN-sponsored dispensary is accessible to hamlet residents but may be located in a nearby village.
3. A hospital or private physician practicing western medicine is accessible to hamlet residents but usually is located in an adjacent village.

CATEGORY C

1. GVN-approved medical services are accessible to hamlet residents but may be located further than a nearby hamlet.
2. A GVN-sponsored dispensary is accessible to hamlet residents but is probably located in a nearby village.
3. A hospital or private physician practicing western medicine is accessible to hamlet residents but usually is located further than a nearby village.

CATEGORY D

1. GVN-approved medical services are infrequently accessible to hamlet residents and are usually located further than a nearby hamlet.
2. A GVN-sponsored dispensary is infrequently accessible to hamlet residents and is usually located further than a nearby village.
3. When a hospital or private physician practicing western medicine is accessible to hamlet residents, it is generally located further than a nearby village.

CATEGORY E

1. GVN-approved medical services are not accessible to hamlet residents.
2. No GVN-sponsored dispensary is accessible to hamlet residents.
3. A hospital or private physician practicing western medicine is not accessible to hamlet residents.

LEVEL 1 MODEL: Education

CATEGORY A

1. Most children of hamlet residents attend GVN primary school classes located in this hamlet.
2. GVN-accredited secondary schools are located in the village and are accessible to hamlet residents.
3. School attendance is not restricted by lack of teachers, absence or over-crowding of nearby facilities.

CATEGORY B

1. Most children of hamlet residents attend GVN primary school classes located in this or a nearby hamlet.
2. GVN-accredited secondary schools are located in this or a nearby village and are accessible to hamlet residents.
3. School attendance may be restricted by lack of teachers, absence or over-crowding of nearby facilities.

CATEGORY C

1. Most children of hamlet residents attend GVN or privately-supported primary school classes usually located further than a nearby hamlet.
2. GVN-accredited secondary schools are located in an adjacent village but are accessible to hamlet residents.
3. School attendance is often restricted by lack of teachers, absence or over-crowding of nearby facilities.

CATEGORY D

1. GVN primary school is sometimes available but is located at some distance from the hamlet.
2. GVN-accredited secondary schools, if available, are located at some distance from the hamlet.
3. School attendance is restricted by lack of teachers, absence or over-crowding of nearby facilities.

CATEGORY E

1. Children of hamlet residents are unable to attend GVN primary schools because of lack of facilities or poor security conditions.
2. Children of hamlet residents are unable to attend GVN secondary schools because of lack of facilities or poor security conditions.
3. School attendance is almost always restricted by lack of teachers, absence or over-crowding of facilities.

LEVEL 1 MODEL: Social Welfare

CATEGORY A

1. No hamlet households require assistance from others to maintain a subsistence level.
2. No welfare assistance is needed.
3. Any refugees present, eligible to receive resettlement allowances, receive them promptly and in full.

CATEGORY B

1. A few hamlet households (less than 10%) require assistance from others to maintain a subsistence level.
2. Some welfare assistance is provided by the GVN when needed.
3. Possibility of some refugees but they receive their resettlement allowances promptly and in full.

CATEGORY C

1. Some hamlet households (10%-40%) require assistance from others to maintain a subsistence level.
2. Some welfare assistance is needed and often is provided by GVN.
3. If there are refugees in the hamlet, their resettlement allowances are occasionally less than full amount, delayed or both.

CATEGORY D

1. Many hamlet households (40%-90%) require assistance from others to maintain a subsistence level.
2. Some welfare assistance is needed but none provided.
3. If there are refugees present, their resettlement allowances are often less than full amount, delayed or both.

CATEGORY E

1. All or nearly all hamlet households require assistance from others to maintain a subsistence level.
2. A significant amount of welfare assistance needed but none provided.
3. If there are refugees present, they are rarely paid resettlement allowances.

LEVEL 1 MODEL: Development Assistance

CATEGORY A

1. Many hamlet households (40%-90%) have members participating in GVN-sponsored economic improvement programs and self-development projects.
2. New self-development projects are selected at open public meetings in the village.
3. No retardation of self-development projects due to lack of technical assistance or shortage of resources.

CATEGORY B

1. Some hamlet households (10%-40%) have members participating in GVN-sponsored economic improvement projects and self-development projects.
2. New self-development projects are usually selected at open public meetings in the village.
3. Very little retardation of self-development projects due to lack of technical assistance or shortage of resources.

CATEGORY C

1. A few hamlet households (less than 10%) have members participating in GVN sponsored economic improvement programs and self-development projects.
2. Some new self-development projects selected at open public meetings in the village.
3. Some retardation of self-development projects due to lack of technical assistance or shortage of resources.

CATEGORY D

1. Very few GVN-sponsored economic improvement programs or self-development projects in hamlet.
2. New self-development projects are rarely selected at open public meetings.
3. Considerable retardation of self-development projects due to lack of technical assistance or shortage of resources.

CATEGORY E

1. No GVN-sponsored economic improvement programs or self-development projects.
2. There are no GVN-sanctioned self-development projects.

LEVEL 1 MODEL: Economic Activity

CATEGORY A

1. Enemy does not collect taxes from hamlet households.
2. Some hamlet households (10%-40%) own a TV set.
3. There is a market in the hamlet serving residents of this and nearby hamlets.
4. All or nearly all hamlet households own motorized vehicles.
5. Very few households require assistance from others to maintain a subsistence level.

CATEGORY B

1. Enemy collects taxes at most sporadically from hamlet households.
2. A few households (less than 10%) own a TV set.
3. There is a market in the hamlet serving residents of this hamlet.
4. Many hamlet households (40%-90%) own motorized vehicles.
5. A few households (less than 10%) require assistance from others to maintain a subsistence level.

CATEGORY C

1. Enemy collects taxes sporadically from hamlet households.
2. Very few hamlet households own TV sets.
3. There is a market in the hamlet serving residents of this hamlet.
4. Some households (10%-40%) own motorized vehicles.
5. Some households (10%-40%) require assistance from others to maintain a subsistence level.

CATEGORY D

1. Sporadic to regular taxation by the enemy.
2. No households own TV sets.
3. There is a small market in the hamlet.
4. A few households (less than 10%) own motorized vehicles.
5. Many households require assistance from others to maintain a subsistence level.

CATEGORY E

1. There is regular enemy taxation of hamlet residents.
2. No households own TV sets.
3. There is no market in the hamlet.
4. No households own motorized vehicles.
5. All or nearly all households require assistance from others to maintain a subsistence level.

LEVEL 1 MODEL: Land Tenure

CATEGORY A

1. A few farming households (less than 10%) hold land in tenancy to either private landowners or to the government.
2. All or nearly all of farming households of the village are owners of all or part of the land they farm.
3. Very few of the farming households of the village are considered to be squatters rather than owners or tenants of the land they farm.

CATEGORY B

1. Some farming households (10%-40%) hold land in tenancy to either private landowners or to the government.
2. Many farming households of this village are owners of all or part of the land they farm.
3. A few farming households (less than 10%) are considered to be squatters rather than owners or tenants of the land they farm.

CATEGORY C

1. Many farming households (40%-90%) of the village hold land in tenancy to either private landowners or to the government.
2. Some farming households of the village are owners of all or part of the land they farm.
3. Some farming households (10%-40%) are considered to be squatters rather than owners or tenants of the land they farm.

CATEGORY D

1. Many farming households (40%-90%) hold land in tenancy to either private landowners or to the government.
2. A few farming households (less than 10%) are owners of all or part of the land they farm.
3. Many farming households (41%-90%) are considered to be squatters rather than owners or tenants of the land they farm.

CATEGORY E

1. All farming households of the village hold land in tenancy to either private landowners or to the government.
2. No farming households of the village are owners of all or part of the land they farm.
3. All or nearly all farming households of the village are considered to be squatters rather than owners or tenants of the land they farm.

ANNEX D

REPORT AND ANALYSIS REQUEST PROCEDURES

14  
F

D1

## REPORT AND ANALYSIS REQUEST PROCEDURES

This annex includes information necessary to obtain any of the HES reports described in Section III of this guide, as well as special reports and analytical papers.

Information Request Procedures are outlined in the accompanying correspondence from the Director, MACCORDS-RAD (Figure A-B.1.). A sample Pacification Information Request Form is included (Figure A-B.2.), illustrating the information that must be supplied. Instructions to the requestor for preparing the Pacification Information Request form are also given (Figure A-B.3.).

When requesting standard reports, the exact name of the report, the month and year of the data required (or, in the event change or history reports are required, the month and year of the start and stop dates), and whether the report is required on a continuing basis must be entered on the Pacification Information Request form in Part A, Section I, Paragraph 2, of Comment 1 (see Figure A-B.2.). It is not necessary to prepare standard report requests in conjunction with RAD personnel; simply enter 'SEE ATTACHED' in Paragraph A of Section I and include the appropriate HES report request form.

When requesting special reports or data analyses, the form should be prepared in conjunction with RAD personnel. Whenever possible, this should be done in person; however, if this is not practical, telephone coordination may be employed.

# DISPOSITION FORM


(AR 340-13)

REFERENCE OR OFFICE SYMBOL	SUBJECT
MACCORDS-RAD	Information Request Procedure

TO FROM Director, RAD DATE MAJ Boginis/cas/4788 CMT 1

1. The purpose of this correspondence is to outline the procedure to be used to obtain pacification information from the automated systems available within CORDS.
2. The requester of pacification information should contact the Information Requirements Officer (IRO) of the Reports and Analysis Directorate, Analysis Division (RAD/A). If this request can be satisfied without ADP support the requester will be assisted in obtaining the information. This assistance may range from simply making existing reports available to providing analytical papers, depending upon the nature of the request.
3. If ADP support is required, the IRO and/or an RAD/A analyst in conjunction with the user will prepare the job request/specification form. The IRO then forwards the form to the RAD Automated Data Processing Coordinator (ADPC). The ADPC then informs the IRO of:
  - a. The expected completion data.
  - b. The job number assigned.
4. If the estimated completion date exceeds the required completion date indicated by the requester, the IRO will resolve the differences by either arranging with the user to relax the required date or, in exceptional cases, by adjusting priorities. (Note: Other than routine priorities cannot be assigned as a matter of course. Users are requested to state their time requirements realistically and to anticipate requirements for ADP support in sufficient time to permit routine processing.)
5. The ADPC or his representative will provide status reports to the IRO in accordance with existing procedures. Upon completion of the request the IRO will coordinate delivery or pick-up of the output with the requester.

1 Incl  
Sample Request Form and  
Instructions.

  
HENRY B. CUSHING  
Acting Director, RAD

DA FORM 2496  
1 FEB 62

REPLACES DD FORM 96, EXISTING SUPPLIES OF WHICH WILL BE  
ISSUED AND USED UNTIL 1 FEB 63 UNLESS SOONER EXHAUSTED.

U.S. GOVERNMENT PRINTING OFFICE 1963 O-707-911

Figure A-B. 1.

INFORMATION REQUEST PROCEDURE

D-3

# DISPOSITION FORM

(AR 340-15)

REFERENCE OR OFFICE SYMBOL

SUBJECT

Pacification Information Request

TO MACCORDS-RAD/A FROM DATE CMT 1  
ATTN: Info Reqmts Off

1. Information is required by this activity from pacification systems.
2. Details of this request are stated on the reverse.
3. The output requested is classified no higher than \_\_\_\_\_. Access is limited to \_\_\_\_\_ (if none, so state). Subsequent distribution may be authorized by \_\_\_\_\_ (PSN) \_\_\_\_\_ (Phone).

MACCORDS-RAD/

TO: Chief, ADPC FROM: Chief, RAD/A DATE CMT 2

1. Request detailed in Comment 1 is approved.
2. Date desired:
3. Analysis completed on \_\_\_\_\_ by \_\_\_\_\_.

MACCORDS-RAD/

TO: Chief, RAD/A FROM: Chief, ADPC DATE CMT 3  
ATTN: IRD

1. Job number assigned \_\_\_\_\_.
2. Estimated completion date \_\_\_\_\_.
3. Programmer Analyst Assigned \_\_\_\_\_.

DA FORM 2496  
1 FEB 62

REPLACES DD FORM 26, EXISTING SUPPLIES OF WHICH WILL BE  
ISSUED AND USED UNTIL 1 FEB 63 UNLESS SOONER EXHAUSTED.

U. S. GOVERNMENT PRINTING OFFICE : 1963 O-707-911

Figure A-B. 2.

PACIFICATION INFORMATION REQUEST FORM  
(page 1 of 2)

I. REQUEST INFORMATION

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JOB TITLE	REQUESTING OFFICE	NAME OF REQUESTOR	PHONE	DATE DESIRED
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---

A. SCOPE (State the data inclusion criteria explicitly, e.g., area, time frame, special conditions.)

(use continuation sheet if necessary)

---

B. CONTENT (State data items to be included, order of presentation, special manipulations, e.g., summaries, averages.)

(use continuation sheet if necessary)

---

C. MEDIUM/FORMAT: (State type and number of copies or report desired; specify format and special considerations, e.g., create tape, create card deck).

(use continuation sheet if necessary)

---

II. TO BE COMPLETED BY ADPC/RAD/ANALYSIS

---

DATE ACCEPTED BY ADPC	ANAL. COMPLETE	SYSTEM	REPORT DELIVERED	PROGRAM REF
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REMARKS:

Figure A-B. 2.

PACIFICATION INFORMATION REQUEST FORM  
(page 2 of 2)

D-5

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INSTRUCTIONS TO THE REQUESTOR

PREPARATION OF THE PACIFICATION INFORMATION REQUEST FORM

1. The following parts of Comment 1 are to be filled out by the requestor as indicated.

Paragraph 2 (Items to be completed on reverse):

Job Title: Brief, meaningful subject title of the requested output.

Requesting Office: Self-Explanatory.

Name of Requestor: Self-Explanatory.

Phone: Telephone number of requestor.

Paragraph 3:

The security classification which the requested output must be given will be written in the first blank.

Any access limitations must be written in the second blank.

If there are no limitations on access, so indicate by writing "None".

In the third and fourth blanks write the position and telephone number of the person who can authorize further distribution of the requested output. (If there is no objection to further distribution, enter "ADPC".)

2. Parts A, B and C of Section I, (reverse side of request) will be prepared by RAD personnel in conjunction with the requestor. The requestor must be prepared to discuss the scope, content, and media/format of his request when he contacts the IRO.

3. The following parts will be completed by RAD personnel.

Reverse side of request, Section II:

Comment 2.

Comment 3.

Figure A-B. 2.

INSTRUCTIONS FOR COMPLETING THE  
PACIFICATION INFORMATION REQUEST FORM

HES/70

STANDARD REPORT REQUEST FORMS

D7

HES REPORT REQUEST	
Requestor: _____	Date of request: _____
Report(s) requested: _____	Date needed: _____
<u>MANAGEMENT SUMMARY REPORT</u>	
Date selection: Start _____ Stop _____	
Level selection: District ( ) Province ( ) DTA ( ) CORPS ( ) RVN ( )	
Summarisation selection: Percent of units ( ) Units ( ) Both ( )	
<u>INFORMATION REPORT</u>	
Date selection: As of _____	
USID selection From _____ Through _____	
Content selection: All hamlets and villages ( ) Villages only ( )	
<u>HISTORICAL REPORT</u>	
Date selection: From _____ Through _____	
USID selection: From _____ Through _____	
Level selection(s): RVN ( ) CORPS ( ) Province ( ) District ( ) Village ( ) Hamlet ( )	
SPECIAL INSTRUCTIONS	
Number of copies _____  Booking Instructions: _____	Special Printing Instructions: _____

SAMPLE HES REPORT REQUEST

HES SUMMARY REPORT REQUEST

1 June 1970

Requestor :

Date of request:

Date needed:

Date selection : From \_\_\_\_\_ To \_\_\_\_\_

USID selection : From \_\_\_\_\_ To \_\_\_\_\_

- Major sequence: ( ) enter correct code from paragraph 1 on reverse side.
- Geopolitical level: ( ) enter correct code from paragraph 2 on reverse side.
- Corpus summaries contain: ( ) enter correct code from paragraph 3 on reverse side.
- Geopolitical level grouping: ( ) enter correct code from paragraph 4 on reverse side.
- Province summary sequence: ( ) enter correct code from paragraph 5 on reverse side.
- Distribution summary sequence: ( ) enter correct code from paragraph 6 on reverse side.
- Cumulative distribution summary sequence: ( ) enter correct code from paragraph 7 on reverse side.
- Sequence category (highest level): ( ) enter correct code from paragraph 8 on reverse side.
- Village/Hamlet distribution report: ( ) enter correct code from paragraph 9 on reverse side.
- Hamlet with non-ham pop report: ( ) enter correct code from paragraph 10 on reverse side.
- Hamlet only pop report: ( ) enter correct code from paragraph 11 on reverse side.
- Village population report: ( ) enter correct code from paragraph 12 on reverse side.
- Pacification model VC category: ( ) enter correct code from paragraph 13 on reverse side.
- Percent options: ( ) enter correct code from paragraph 14 on reverse side.
- Model(s) selected: \_\_\_\_\_ \* \_\_\_\_\_ \* \_\_\_\_\_ \* \_\_\_\_\_ \* \_\_\_\_\_ \*

SPECIAL INSTRUCTIONS

Number of copies: \_\_\_\_\_

Special Printing Instructions:

Booking Instructions:

SAMPLE HES SUMMARY REPORT REQUEST

1 of 2

D-9

Change 2

HES SUMMARY REPORT OPTIONS

1. MAJOR SEQUENCE:
  - 0 - Model within geopolitical level (i.e., Security, Political within Corps).
  - 1 - Geopolitical level within model (i.e., RVN, Corps, DTA within Security).
2. SELECTED GEOPOLITICAL LEVEL:
  - 1 - RVN
  - 2 - Corps and above
  - 3 - DTA and above
  - 4 - Province and above
  - P - Province within RVN only
  - D - District within RVN only
3. CORPS SUMMARIES CONTAIN:
  - 1 - DTA only (i.e., Corps with DTA detail)
  - 2 - Province and DTA detail pages (i.e., Corps with DTA detail and Corps with province detail).
  - 3 - Province detail only (i.e., Corps with Province detail).
4. GEOPOLITICAL LEVELS ARE GROUPED:
  - 0 - By equivalent level (i.e., all Corps summaries followed by all province summaries, etc.).
  - 1 - Hierarchically (i.e., All districts within their respective provinces within their respective corps, etc.).
5. PROVINCE SUMMARY REPORTS: Determine physical location of the province page.
  - 1 - Follow appropriate DTA summary.
  - 2 - Follow appropriate Corps and DTA summary.
  - 3 - Follow appropriate Corps summary.
6. DISTRIBUTION SUMMARY SEQUENCE:
  - 0 - Absolute percent descending (on category selected in #8 below).
  - 1 - Change percent descending (on category selected in #8 below).
  - 2 - Population count descending (on category selected in #8 below).
  - blank - USID number ascending (overrides #8 below).
7. CUMULATIVE DISTRIBUTION SUMMARY SEQUENCE:
  - 0 - Absolute descending (on category selected in #8 below).
  - 1 - Change percent descending (on category selected in #8 below).
  - 2 - Population count descending (on category selected in #8 below).
  - blank - USID number ascending (overrides #8 below).
8. LETTER DESIGNATOR OF SEQUENCE CATEGORY: A B C D E N T(all)
9. VILLAGE/HAMLET DISTRIBUTION REPORT:
  - 0 - Villages only.
  - 1 - Hamlet only.
  - 2 - Both village and hamlet report.
  - blank - Suppress both reports.
10. HAMLET WITH NON-HAMLET POPULATION REPORT: blank - No report.
  - 1 - Report included.
11. HAMLET WITH NO NON-HAMLET POPULATION REPORT: blank - No report.
  - 1 - Report included.
12. VILLAGE POPULATION REPORT: blank - No report.
  - 1 - Report included.
13. PACIFICATION MODEL VC CATEGORY: V - If category sequencing is desired on V category.
14. PERCENT OPTIONS:
  - 1 - Report detail percent lines calculated on base of detail total.
  - blank - Report detail percent lines calculated on base of report level total.

HES/70 PLOT REQUEST FORM

Requestor: \_\_\_\_\_  
 Date of request: \_\_\_\_\_ Date needed: \_\_\_\_\_

Date: As of \_\_\_\_\_ Change from (for change plots only) \_\_\_\_\_

Plot content: \_\_\_\_\_ (enter correct code from reverse)

Type of score desired (for model selections only):

- Hamlet model scores
- Village model scores
- Village only model scores

USID Selection: From \_\_\_\_\_ Through \_\_\_\_\_

Type of plot desired:

1:250,000 Province plot     1:500,000 Corps plot     1:1,000,000 RVN plot

Paper type -	Paper type -	Paper type -
<input type="checkbox"/> two-part reverse <input type="checkbox"/> regular paper <input type="checkbox"/> overlay paper (flimsy)	<input type="checkbox"/> white <input type="checkbox"/> acetate	<input type="checkbox"/> white <input type="checkbox"/> acetate
		Type - <input type="checkbox"/> population density <input type="checkbox"/> aggregate score
		Composition - <input type="checkbox"/> composit (A,B,C = blue; D,E,N = green; V = red) <input type="checkbox"/> separate map for each group (ABC, DEN, V)

SPECIAL INSTRUCTIONS

Number of copies: _____	Special Printing Instructions:
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SAMPLE HES PLOT REQUEST FORM

1 of 2

D-11

CHANGE 1

HES/70 PLOT SELECTION OPTIONS

MODEL CODES

PAM01 - Pacification  
MAM01 - Security  
MAM02 - Political  
MAM03 - Socio-Economic  
MOD01 - Enemy Military  
MOD02 - Friendly Security  
MOD03 - Enemy Political  
MOD04 - GVN Political  
MOD05 - Social  
MOD06 - Economic  
SUM01 - Enemy Military Presence  
SUM02 - Enemy Military Activity  
SUM03 - Impact of Military Activity  
SUM04 - Friendly Military Presence  
SUM05 - Friendly Military Activity  
SUM06 - Law Enforcement  
SUM07 - PSDF Activity  
SUM08 - Enemy Political Presence  
SUM09 - Enemy Political Activity  
SUM10 - Administration  
SUM11 - RD Cadre  
SUM12 - Information/PSYOPS  
SUM13 - Political Mobilization  
SUM14 - Public Health  
SUM15 - Education  
SUM16 - Social Welfare  
SUM17 - Development Assistance  
SUM18 - Economic Activity  
SUM19 - Land Tenure

QUESTION CODES

For any HES/70 question, enter the question designator as given in the HES Handbook (i.e., HMB01, VQC02, etc.).

RESTRICTIONS:

1. Change plots cannot be requested at 1:1,000,000.
2. Question responses and village only model scores cannot be requested at 1:1,000,000.
3. Any plot requested at 1:500,000 may result in overprinting.

SAMPLE HES PLOT REQUEST FORM

2 of 2

D-12

CHANGE 1

QUESTION RESPONSE REQUEST

Requestor: \_\_\_\_\_

Date of Request: \_\_\_\_\_ Date needed: \_\_\_\_\_

Date select: As of \_\_\_\_\_ Comparison with \_\_\_\_\_

USID select: From \_\_\_\_\_ Through \_\_\_\_\_

Geopolitical level: ( ) RVN ( ) Corps ( ) DTA ( ) Province ( ) District  
( ) Print selected level only ( ) Print selected level and all lower levels

Question(s) and sequence select:

( ) All Monthly Questions ( ) All Quarterly Questions ( ) Both

( ) All Village Questions ( ) All Hamlet Questions ( ) Both

( ) Individual Question(s) Enter 'all' or specific question(s) desired \_\_\_\_\_

( ) Topic Group(s) Enter specific topic group(s) desired \_\_\_\_\_

( ) Model(s) Enter 'all' or specific level 1 model(s) desired \_\_\_\_\_

SPECIAL INSTRUCTIONS

Number of copies: \_\_\_\_\_  
Booking Instructions:

Special Printing Instructions:

SAMPLE HES QUESTION RESPONSE REPORT FORM

GENERALIZED CONDITION ANALYSIS REQUEST FORM

Requestor: \_\_\_\_\_

Date of request: \_\_\_\_\_

Date needed: \_\_\_\_\_

Standard Condition(s) Desired - 200 201 202 203 204 205 206 207 208 209 210  
211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227  
228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244  
245 246 247 248 249 250

Non-Standard Condition(s) Desired

check  
if  
recurring

1. \_\_\_\_\_ ( )
2. \_\_\_\_\_ ( )
3. \_\_\_\_\_ ( )
4. \_\_\_\_\_ ( )
5. \_\_\_\_\_ ( )

Date select: as of \_\_\_\_\_

USID Select: From \_\_\_\_\_ To \_\_\_\_\_

Output form desired: (Enclose additional request form if applicable)

- ( ) Summary report
- ( ) Information Report
- ( ) Plot
- ( ) Management Summary Report
- ( ) Historical Report
- ( ) Area Profile Analysis
- ( ) Question Response Report
- ( ) Conditions Requiring Attention Report
- ( ) condition sequence ( ) USID sequence-expanded ( ) USID sequence-compressed

SPECIAL INSTRUCTIONS

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Special Printing Instructions: \_\_\_\_\_

SAMPLE GENERALIZED CONDITION ANALYSIS REQUEST FORM

ANNEX E

HES/70

STANDARD GENERALIZED CONDITION ANALYSIS CONDITIONS

(INITIAL SET - MAY 1970)

E-1

Change 1

## STANDARD GENERALIZED CONDITION ANALYSIS CONDITIONS

(INITIAL SET - MAY 1970)

### Village Monthly Conditions

- 200 Village rated A, B or C in security has VCI operating openly.  
VSS = A, B or C and  
VQB1 = 3 or 4.
- 201 Village trade and travel possibly restricted on main surface route to province capital by enemy activity.  
VMB2 = 1 or 2 and  
VQL1 less than 2 and  
VQL2 less than 2.
- 202 Village has no hamlets physically controlled by VC and no serious VC attack but has friendly artillery/air strike(s).  
VMC2 = 2 or 3 and  
HMB1 = 0 and  
HMB3 = 0 or 1.
- 203 Village rated A, B or C in pacification has few VCI and guerrillas identified.  
VPS = A, B or C and  
VQD5 less than 2 and  
VQD6 less than 2.
- 204 Village rated A, B or C in security has one or more hamlets rated D or E in security.  
VSS = A, B or C and  
HSS = D or E.
- 205 Village rated A, B or C in pacification has inadequate village administration.  
(VQE5 = 0 or VQE7 = 0) and  
VPS = A, B or C, and  
VQE1 less than 2 and  
VQE4 less than 2.

### Hamlet Monthly Conditions

- 206 Hamlet rated A or B in pacification has no enemy infrastructure but has had more than one enemy propaganda incident.

206 (Cont'd)

HPS = A or B and  
HMB8 = 2 and  
HQB1 = 0.

207 Urban hamlet physically controlled by VC.  
HQZ1 = 1 and  
HMB1 = 1 or 2.

208 VC may take over hamlet as evidenced by extensive military activity and inadequate protection.  
HMB2 = 2 or 3 and  
HMB3 = 2 or 3 or 4 or 5 and  
VQB4 = 1 or 2 or 3 and  
VQC4 = 0 and  
VQC1 = 0 and  
VQC5 = 0 or 1.

209 GVN adverse actions may alienate hamlet populace from GVN.  
HMC1 = 2 or  
HMC2 = 2 or  
HMD3 = 1 or 2 or 3 or  
HMD4 = 2 or 3.

210 VC may be taking over hamlet as evidenced by friendly force defeat and significant number of households leaving hamlet.  
HMD5 = 4 or 5 and  
HMD7 = 1 or 2.

211 Outstanding PSDF performance in hamlet should be recognized by higher authorities.  
HMC4 = 3.

212 Hamlet not physically controlled by VC has no GVN hamlet chief.  
HMB1 = 0 and  
HQE1 = 0.

213 Hamlet not physically controlled by VC but many households have members in enemy service.  
HMB1 = 0 and  
HQF1 = 3 or 4.

Village Quarterly Conditions

214 Village has excellent local security plan and control of forces.

E-3

Change 1

- 214 (Cont'd)  
VQC5 = 3 and  
VQC6 = 3 and  
VQD2 = 3 and  
VQF6 = 3.
- 247 Village has excellent administration.  
VQE1 = 4 and  
VQE2 = 5 and  
VQE3 = 1 and  
VQE4 = 3 and  
VQE5 = 1 and  
VQE6 = 0 and  
VQE7 = 3.
- 248 Village has excellent responses to local aspirations and grievances.  
VQF1 = 2 or 3 and  
VQF2 = 3.
- 249 Village Chief should be commended for outstanding village organization and administration.  
Condition 214 and  
Condition 247 and  
Condition 248.
- 215 Elected village chief lax in taking care of local grievances.  
VQE3 = 1 and  
VQF2 = 0 or 1.
- 216 RD Cadre perform badly and should be improved or removed.  
VQF5 = 1 and  
VQF6 = 1 and  
VQF7 = 1 or 2 and  
HQF5 = 0.
- 217 Village Information/PSYOPS needs attention.  
(VQG2 less than 2 or VQG3 less than 3) and  
VQG1 less than 2.
- 218 Village is economically stagnant.  
VQL1 = 0 and  
VQL2 = 0 and  
VQL3 = 0.

- 219 Outstanding village administration but Info/PSYOPS needs attention.  
Condition 247 and  
Condition 217.
- 220 Village development and/or public works projects non-existent and  
no visits by GVN technical personnel.  
  
[(VQN1 = 0 and  
VQN2 = 0 and  
VQN3 = 0) or  
VQN4 = 0] and  
VQN5 = 0.
- 221 Village rated A, B or C in pacification but is economically stagnant.  
VPS = A, B or C and  
Condition 218.
- 222 Village rated A or B in security has no public health services.  
(VQP2 less than 2 or  
VQP3 less than 3) and  
VSS = A or B and  
VQP1 less than 2 and  
VQP4 = 0.
- 223 Village rated A or B in security has no educational opportunities.  
VSS = A or B and  
VQR1 less than 2 and  
VQR2 less than 2 and  
VQR3 less than 2.
- 224 Village land reform committee action needed but committee either  
not organized or inactive.  
(VQT1 = 3 or 4 or  
VQT3 = 3 or 4) and  
VQT2 less than 2 and  
VQT5 less than 2.
- 225 Village isolated from surrounding communities.  
VQZ1 less than 2 and  
VQZ2 = 0.
- 226 Village rated A, B or C in pacification has insufficient water for  
household needs.  
VPS = A, B or C and  
VQZ3 = 0.

E-5

Change 1

Hamlet Quarterly Conditions

- 227 Hamlet rated A, B or C in security has VCI operating openly.  
HSS = A, B or C and  
HQB1 = 3 or 4.
- 228 Hamlet rated A, B or C in security has regular enemy taxation of hamlet households.  
HSS = A, B or C and  
HQB2 = 2.
- 229 Hamlet rated A, B or C in security has no infrastructure but has regular enemy taxation of hamlet households.  
Condition 228 and  
HQB1 = 0.
- 230 Security forces may be needed as evidenced by hamlet security rating of D or E and PSDF primarily responsible for security operations.  
HSS = D or E and  
HQC1 = 1
- 231 Hamlet rated A, B or C in security possibly has RF/PF in excess because no enemy threat exists.  
HSS = A, B or C and  
HQC1 = 4 or 5 and  
HQC2 = 3 and  
HQC3 = 3.
- 232 Hamlet rated A, B or C in security possibly has RF/PF in excess because no enemy threat but PSDF needs attention.  
Condition 231 and  
HQC6 less than 2 and  
HQC7 less than 2.
- 233 Hamlet rated D or E in security has adequate law enforcement but no curfew established in or around hamlet.  
HSS = D or E and  
HQD2 = 2 and  
HQD3 = 2 and  
HQD5 = 1 and  
HQD6 = 1.
- 234 Hamlet rated A or B in security has inadequate law enforcement.  
(HQD2 = 0 or HQD3 = 0) and  
HSS = A or B.

- 235 'Outstanding Hamlet' award for participation in GVN self-defense and development programs.  
 (HQF3 = 3 or 4 or HQF6 = 3 or 4 or HQG4 = 3 or 4) and  
 (HQN1 = 3 or 4 or HQN2 = 3 or 4) and  
 HQC6 = 3 or 4 and  
 HQC7 = 4 or 5.
- 236 'Outstanding Hamlet Chief' award in hamlet having outstanding participation in GVN self-defense and development programs.  
 Condition 235 and  
 HQE1 = 2 and  
 HQE2 = 3 and  
 HQE3 = 2 and  
 HQE5 = 1.
- 237 Urban hamlet has other than National Police or National Police Field Force as primary law enforcement unit.  
 HQZ1 = 1 and  
 HQD1 = 0 or 1 or 4 or 5 or 6.
- 238 RD Cadre worked in hamlet last quarter but no good results carried over.  
 HQF4 = 1 and  
 HQF5 less than 2 and  
 HQF6 less than 2 and  
 HQG4 = 1.
- 239 Hamlet split on allegiance, but hamlet rated A, B or C in pacification.  
 HPS = A, B or C and  
 HQF1 = 3 or 4 and  
 HQG4 = 3 or 4.
- 240 Hamlet rated A, B or C in security has failed to exploit Info/PSYOPS opportunities.  
 HSS = A, B or C and  
 HQG1 less than 2 and  
 HQG2 less than 2.
- 241 Hamlet rated A, B or C in security has poor hamlet administration.  
 HSS = A, B or C and  
 HQE2 = 0 or 1 and  
 HQE3 = 0 or 1 and  
 HQE5 = 0.

E-7

Change 1

- 242 Hamlet rated D or E in security but has good economic activity.  
HQG3 = 2 or 3 or  
[(HQL1 = 2 or 3 and HQL2 = 1) or HQL3 = 2 or 3] and  
HSS = D or E.
- 243 Poor participation in hamlet development projects.  
HQN1 = 1 and  
HQN2 = 1.
- 244 Inadequate hamlet public health services.  
HQP1 less than 2 and  
HQP2 less than 2.
- 245 Good hamlet primary educational facilities but attendance restricted  
because of security conditions.  
HQR1 = 2 or 3 and  
HQR2 = 2 or 3 and  
HQR3 = 0 and  
HQR4 = 0 and  
HQR5 = 1.
- 246 Hamlet lacks educational opportunities and resources.  
(HQR3 = 1 or HQR4 = 1) and  
HQR1 less than 2 and  
HQR2 less than 2.

ANNEX F

VILLAGE AND HAMLET LEVEL FLAGS

F1

**Change 2**

HES/70 flags are special indicators representing unique features of some of the hamlets and villages. The following flags are currently being used:

Hamlet Flag #1 - Urban/Rural indicator (as taken from the response to Hamlet Quarterly Question Z1).

- 0 = Rural
- 1 = Urban
- 8 = Not applicable
- 9 = Unknown/unable to answer

Hamlet Flag #2 - District Capital (indicates those hamlets located within the city limits of the District Capital Town).

- 1 = Within the District Capital Town
- 9 = Not within the District Capital Town

Hamlet Flag #3 - Province Capital (indicates those hamlets located within the city limits of the Province Capital Town).

- 1 = Within the Province Capital Town
- 9 = Not within the Province Capital Town

Hamlet Flag #4 - Not currently in use.

Hamlet Flag #5 - Village Administrative Center (as taken from response to Hamlet Quarterly Question Z6).

- 0 = Not the Village Administrative Center
- 1 = Village Administrative Center
- 8 = Not applicable
- 9 = Unknown/Unable to Judge

Hamlet Flag #6 - Not currently in use.

Hamlet Flag #7 - Not currently in use.

F2

Change 2

Hamlet Flag #8 - Refugee Camp Indicator

- 0 = Non Normalized Camp (camps completely dependent on the refugee directorate for support).
- 1 = Normalized camp (camps recognized by Province officials as hamlets and not completely dependent on the refugee directorate for support).
- 2 = Non Norm/Norm Camp (indicates hamlets containing more than one refugee camp where one or more are normalized while others are not).
- 9 = Not a Refugee Camp

Hamlet Flag #9 - RTV Hamlet Indicator

- 0 = Return to Village Hamlet (a hamlet made up primarily of former refugees that have returned to their ancestral villages).
- 9 = Not a Return to Village Hamlet

Village Flag #1 - Urban/Rural Village Indicator

- 0 = Rural Village (more than 50% of the population is Rural)
- 1 = Urban Village (more than 50% of the population is Urban)
- 9 = Neither Urban nor Rural Village

Village Flag #2 - Revolutionary Committee Village Indicator

- 1 = Elected
- 2 = Appointed
- 9 = Not a Revolutionary Committee Village

Village Flag #3 - District Capital Village (indicates those villages completely within the city limits of the District Capital town)

1 = District Capital Village

9 = Not a District Capital Village

Village Flag #4 - Province Capital Village (indicates those villages completely within the city limits of the Province Capital Town)

1 = Province Capital Village

9 = Not a Province Capital Village

Village Flag #5 - Not currently in use.

Village Flag #6 - Not currently in use.

Village Flag #7 - Not currently in use.

Village Flag #8 - Not currently in use.

8/1/17